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FOOD AND DRUG ADMINISTRATION  
CENTER FOR DRUG EVALUATION AND RESEARCH  
  
Pulmonary-Allergy Drugs Advisory Committee

THURSDAY, NOVEMBER 19, 2009  
8:00 a.m. to 3:00 p.m.

Washington Hilton/Silver Spring  
8727 Colesville Road  
Silver Spring, Maryland

1    **Pulmonary-Allergy Drugs Advisory Committee**

2    **Voting Members**

3    **Leslie Hendeles, Pharm.D.**

4    Professor of Pharmacy and Pediatrics

5    University of Florida

6    Health Science Center (Box 100486)

7    1600 Southwest Archer Road, Room PG-05

8    Gainesville, Florida 32610

9

10

11   **Richard Honsinger, M.D.**

12   Los Alamos Medical Center Clinic, Ltd.

13   3917 West Road

14   Los Alamos, New Mexico 87544

15   **Daren Knoell, Pharm.D.**

16   Associate Professor,

17   Department of Medical Pharmacology

18   The Ohio State University, College of Pharmacy

19   500 W. 12th Avenue

20   Columbus, Ohio 43210

21

22   **Thomas Alexander Platts-Mills, Ph.D.**

1 Director, Asthma and Allergy Disease Center,  
2 University of Virginia Medical Center  
3 Box 801355  
4 Charlottesville, Virginia 22908

5

6 **Peter Terry, M.D.**

7 Professor of Medicine  
8 Johns Hopkins Medical Institutions  
9 Division of Pulmonary and  
10 Critical Care Medicine  
11 1830 E. Monument Street, Suite 500  
12 Baltimore, Maryland 21205

13

14

15 **Drug Safety and Risk Management Advisory Committee**

16 **Voting Member**

17 **Sydney Wolfe, M.D.** (Consumer Representative)  
18 Director Health Research Group of Public Citizen  
19 1600 20th Street NW Washington, DC 20009

20

21 **Temporary Voting Members**

22 **Mark Brantly, M.D. (Acting Chair)**

1 Professor of Medicine  
2 Pulmonary and Critical Care Medicine  
3 University of Florida, JHMSC  
4 Room M452A , P.O. Box 100225  
5 Gainesville, Florida 32610

6

7 **Sean Hennessy, Pharm.D., Ph.D.** Assistant Professor  
8 of Epidemiology University of Pennsylvania School  
9 of Medicine 423 Guardian Drive, 803 Blockley Hall  
10 Philadelphia, Pennsylvania 19104

11

12

13

14

15 **Andrea Holka** (Patient Representative)

16 Executive Director

17 Attack on Asthma Nebraska

18 8901 West Fletcher Ave

19 Malcolm, Nebraska 68402

20

21 **Timothy Lesar, Pharm.D.**

22 Department of Pharmacy

1 Albany Medical Center  
2 43 New Scotland Avenue  
3 Mail Code A-85  
4 Albany, New York 12208

5

6 **Lee Newman, M.D.**

7 Director, NIOSH Mountain and Plains Education  
8 and Research Center  
9 Professor, Colorado School of Public Health  
10 and School of Medicine  
11 University of Colorado Denver  
12 Mail Stop B119  
13 13001 East 17th Place, Room E3326

14 Aurora, Colorado 80045

15 **David Schoenfeld, Ph.D.**

16 Professor of Medicine  
17 Biostatistics Center  
18 Massachusetts General Hospital  
19 50 Stanford Street, Suite 560  
20 Boston, Massachusetts 02114

21

22 ***FDA Participants (Non-voting)***

1     **Curtis Rosebraugh, M.D.**

2     Director, Office of Drug Evaluation II

3     CDER/FDA

4

5     **Badrul Chowdhury, M.D., Ph.D.**

6     Director, Division of Pulmonary and Allergy

7     Products

8     CDER/FDA

9

10    **Solomon Iyasu, M.D.**

11    Director, Division of Epidemiology

12    Office of Surveillance and Epidemiology

13    CDER/FDA

14

15

16    **Theresa Michele, M.D.**

17    Medical Officer

18    Division of Pulmonary and Allergy Products

19    CDER/FDA

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10 P R O C E E D I N G S

11 8:00 a.m.

12 DR. BRANTLY: Good morning, ladies and  
13 gentlemen. We'd like to begin the meeting now. My  
14 name is Mark Brantly. I'm the acting chairman of  
15 this particular session.

16 This meeting is to discuss a supplemental  
17 NDA from Boehringer Ingelheim to add a labeling  
18 claim for reduction in exacerbations in patients  
19 with chronic obstructive pulmonary disease to the  
20 labeling of Spiriva HandiHaler.

21 Discussion will also include recent  
22 safety concerns about Spiriva HandiHaler, including

1 stroke, myocardial infarction, cardiovascular  
2 death, and that has been cited in the public domain  
3 recently.

4 I'd like to first begin with the  
5 introduction of the committee. We'll start at the  
6 far end over there with Curtis.

7 DR. ROSEBRAUGH: Curt Rosebraugh,  
8 Director, Office of Drug Evaluation II.

9 DR. CHOWDHURY: I'm Badrul Chowdhury,  
10 Director, Division of Pulmonary and Allergy  
11 Products.

12 DR. MICHELE: Terri Michele, Medical  
13 Officer, Division of Pulmonary and Allergy  
14 Products.

15 DR. IYASU: Solomon Iyasu, Director of  
16 Epidemiology, Office of Surveillance and Epi, CDER.

17 DR. KNOELL: Daren Knoell, Professor of  
18 Pharmacy and Internal Medicine at Ohio State  
19 University.

20 DR. PLATTS-MILLS: I'm Tom Platts-Mills.  
21 I'm Professor of Medicine at the University of  
22 Virginia.

1 DR. SCHOENFELD: David Schoenfeld,  
2 Professor of Medicine at Harvard Medical School and  
3 Professor in the Department of Biostatistics at  
4 Harvard School of Public Health.

5 DR. WOLFE: Sid Wolfe, Health Research  
6 Group of Public Citizen. I am a consumer  
7 representative on the Drug Safety and Risk  
8 Management Advisory Committee of FDA.

9 DR. KHUC: Kristine Khuc, Designated  
10 Federal Official.

11 DR. BRANTLY: Mark Brantly, University of  
12 Florida, Professor of Medicine.

13 DR. NEWMAN: Lee Newman, Professor of  
14 Medicine and Professor of Public Health, University  
15 of Colorado School of Medicine.

16 DR. LESAR: Timothy Lesar, Director of  
17 Clinical Pharmacy Services, Albany Medical Center,  
18 Albany, New York.

19 DR. TERRY: Peter Terry, Professor of  
20 Medicine, Pulmonary and Critical Care Medicine,  
21 Johns Hopkins.

22 MS. HOLKA: Andrea Holka, Patient

1 Representative.

2 DR. HENNESSY: Good morning. I'm Sean  
3 Hennessy. I do pharmacoepidemiology research at  
4 the University of Pennsylvania.

5 DR. HENDELES: Leslie Hendeles. I'm  
6 Professor of Pharmacy and Pediatrics at the  
7 University of Florida.

8 DR. HONSINGER: Richard Honsinger. I  
9 practice internal medicine and allergy in Los  
10 Alamos, New Mexico and am clinical professor at the  
11 University of New Mexico.

12 DR. BRANTLY: Thank you very much. I'd  
13 like to thank the audience for joining us.

14 For topics such as those being discussed  
15 at today's meeting, there are often a variety of  
16 opinions, some of which are quite strongly held.  
17 Our goal is that today's meeting will be a fair and  
18 open forum for discussion of these issues and that  
19 individuals can express their views without  
20 interruption. Thus, as a gentle reminder,  
21 individuals will be allowed to speak into the  
22 record only if recognized by the chair. We look

1 forward to a productive meeting.

2 In the spirit of the Federal Advisory  
3 Committee Act and the Government in the Sunshine  
4 Act, we ask that the Advisory Committee members  
5 take care that their conversations about this topic  
6 take place in the open forum of this meeting.

7 We are aware that members of the media  
8 are anxious to speak with the FDA about these  
9 proceedings. However, the FDA will refrain from  
10 discussing these details of the meeting with the  
11 media until its conclusion.

12 I would like to remind everyone present  
13 to please silence your cell phones and other  
14 electronic devices, if you have not already done  
15 so. The committee is reminded to please refrain  
16 from discussing the meeting topic during breaks or  
17 lunch. Thank you very much.

18 Ms. Khuc will read the conflict of  
19 interest statement.

20 DR. KHUC: The Food and Drug  
21 Administration is convening today's meeting of the  
22 Pulmonary-Allergy Drugs Advisory Committee of the

1 Center for Drug Evaluation and Research under the  
2 authority of the Federal Advisory Committee Act of  
3 1972.

4 With the exception of the industry  
5 representative, all members and temporary voting  
6 members of the committee are special government  
7 employees or regular federal employees from other  
8 agencies and are subject to federal conflict of  
9 interest laws and regulations.

10 The following information on the status  
11 of this committee's compliance with federal ethics  
12 and conflict of interest laws covered by, but not  
13 limited to, those found at 18 USC Section 208 and  
14 Section 712 of the Federal Food, Drug and Cosmetic  
15 Act is being provided to participants in today's  
16 meeting and to the public.

17 FDA has determined that members and  
18 temporary voting members of this committee are in  
19 compliance with federal ethics and conflict of  
20 interest laws. Under 18 USC Section 208(b)(3),  
21 Congress has authorized FDA to grant waivers to  
22 special government employees who have potential

1 financial conflicts when it is determined that the  
2 agency's need for a particular individual's  
3 services outweighs his or her potential financial  
4 conflict of interest.

5 Under Section 208(b)(1), Congress has  
6 authorized FDA to grant waivers to regular  
7 government employees who have potential conflicts  
8 of interest when it is determined that the  
9 financial interest is not so substantial to be  
10 likely to affect the integrity of the individual's  
11 service to the government.

12 Under Section 712 of the Federal Food,  
13 Drug and Cosmetic Act, Congress has authorized FDA  
14 to grant waivers to special and regular government  
15 employees with potential financial conflicts when  
16 necessary to afford the committee essential  
17 expertise.

18 Related to the discussions of today's  
19 meeting, members and temporary voting members of  
20 the committee who are special and regular  
21 government employees have been screened for  
22 potential financial conflicts of interest of their

1 own, as well as those imputed to them, including  
2 those of their spouses or minor children and, for  
3 purposes of 18 USC Section 208, their employers.  
4 These interests may include investments,  
5 consulting, expert witness testimony, contracts,  
6 grants, CRADAs, teaching, speaking, writing,  
7 patents and royalties, and primary employment.

8           For today's agenda, the committee will  
9 discuss and make decisions regarding Supplemental  
10 New Drug Application 21-395, Spiriva HandiHaler,  
11 tiotropium inhalation powder, for the reduction and  
12 exacerbation, worsening of symptoms in patients  
13 with chronic obstructive pulmonary disease. This  
14 is a particular matter involving specific parties.

15           Based on the agenda and all the financial  
16 interests reported by the members and temporary  
17 voting members of the committee, it has been  
18 determined that all interests in firms related by  
19 the Center for Drug Evaluation and Research present  
20 no potential for conflict of interest.

21           To ensure transparency, we encourage all  
22 standing members and temporary voting members to



1     disclose any public statements that they have made  
2     concerning the products at issue.

3             With respect to FDA's invited industry  
4     representative, we would like to disclose that Dr.  
5     Richard Hubbard is the industry representative for  
6     this committee. However, Dr. Hubbard has been  
7     recused from this meeting due to his prior work on  
8     Spiriva HandiHaler, the product at issue.

9             We would like to remind members and  
10    temporary voting members of the committee that if  
11    the discussions involve any other products or firms  
12    not already on the agenda for which an FDA  
13    participant has a personal or imputed financial  
14    interest, the participants need to exclude  
15    themselves from such involvement and their  
16    exclusion will be noted for the record.

17            Thank you.

18            DR. BRANTLY: Thank you, Dr. Khuc.

19            We'd like to proceed now with the FDA  
20    opening remarks, with Dr. Chowdhury.

21            DR. CHOWDHURY: Good morning. Honorable  
22    Chairman, Dr. Brantly, and members of the Advisory

1 Committee, representatives from Boehringer  
2 Ingelheim, and others in the audience, I welcome  
3 you at this meeting on behalf of the U.S. Food and  
4 Drug Administration.

5 Dear members of the committee, in this  
6 brief presentation, I will introduce the objective  
7 of this meeting and questions that we'll discuss  
8 and vote upon. We have two objectives for this  
9 meeting; first, to discuss Spiriva HandiHaler  
10 efficacy claim for the reduction of exacerbations  
11 in patients with chronic obstructive pulmonary  
12 disease; second, to discuss potential safety  
13 issues, which are stroke, myocardial infarction,  
14 and cardiovascular mortality, and all cause  
15 mortality.

16 As you hear the presentations,  
17 particularly that cover the second objective, you  
18 will hear data presented with Spiriva HandiHaler,  
19 as well as a different product, called Spiriva  
20 Respimat. Both HandiHaler and Respimat deliver the  
21 same active moiety, tiotropium bromide, but the  
22 devices are different and the drug products are

1 different. Just keep in mind that these are two  
2 different, distinct products and carefully consider  
3 whether data generated from one product is  
4 applicable to the other or not.

5           We, the FDA, have a long history and  
6 prior precedence where we have not transferred  
7 efficacy findings from one product to the other for  
8 such locally acting drugs. From an efficacy  
9 standpoint, we have considered these products as  
10 unique and distinct, each requiring their own set  
11 of data to support efficacy claims.

12           From a safety standpoint, we have been  
13 conservative and have applied safety findings  
14 generated from one product to other products  
15 containing the same active moiety.

16           I'll now introduce the questions very  
17 briefly before I close. There are a total of five  
18 questions. Questions 1 and 2 are nonvoting.  
19 Questions 3, 4 and 5 are voting. I will show the  
20 questions in this and four subsequent slides. I  
21 will not read all the questions, because they are  
22 available in print at this meeting.

1                   In Question 1, which is shown here on the  
2 slide, we are asking you to discuss and comment on  
3 mortality data for Spiriva HandiHaler. In Question  
4 2, we are asking you to discuss and comment on  
5 mortality data for Spiriva Respimat. Question 3 is  
6 on efficacy for COPD exacerbation for Spiriva  
7 HandiHaler. This is a voting question.

8                   Question 4 is also a voting question and  
9 this is on safety signal of stroke. Question 5 is  
10 a voting safety question on cardiovascular safety  
11 outcomes.

12                  We look forward to an interesting meeting  
13 and I thank you for your time, effort and  
14 commitment to this important public health service.  
15 Thank you very much.

16                  DR. BRANTLY: Both the Food and Drug  
17 Administration and the public believe in a  
18 transparent process for information-gathering and  
19 decision-making. To ensure such transparency at the  
20 Advisory Committee meeting, the FDA believes that  
21 it's important to understand the context of  
22 individuals' presentations. For this reason, the

1 FDA encourages all participants, including the  
2 sponsor's non-employee presenters, to address the  
3 committee about any financial relationship they may  
4 have with the firm at issue, such as consulting  
5 fees, travel expenses, honorarium and interest in  
6 the sponsor, including equity interests and those  
7 based on the outcome of this meeting.

8 Likewise, FDA encourages you, at the  
9 beginning of your presentation, to advise the  
10 committee if you do not have any such financial  
11 relationships. If you choose not to address this  
12 issue of financial relationships at the beginning  
13 of the presentation, it will not preclude you from  
14 speaking.

15 So let's begin with our sponsors in  
16 speaking. Thank you very much.

17 DR. VOIGT: Good morning, Mr. Chairman,  
18 members of the panel, representatives of the FDA.  
19 My name is Thor Voigt. I am Senior Vice President-  
20 Medicine and Director-Regulatory Affairs with  
21 Boehringer Ingelheim.

22 COPD is a very serious disease; not only

1   that patients and their families are losing quality  
2   of life, COPD patients are suffering, and,  
3   unfortunately, many COPD patients are dying  
4   prematurely. COPD is the fourth leading cause of  
5   death in the United States and there is no cure for  
6   this disease.

7           Boehringer Ingelheim has a longstanding  
8   commitment to develop therapies and compounds to  
9   help treat COPD patients. Almost 25 years ago,  
10   Atrovent inhalation aerosol was introduced in the  
11   United States, and in 1996, Combivent inhalation  
12   aerosol, and since 2004, we have Spiriva HandiHaler  
13   available. I would like to emphasize that  
14   specifically for COPD patients, exacerbations play  
15   an important role during their daily life and for  
16   the disease itself.

17           Our understanding of the role of Spiriva  
18   HandiHaler is informed by a large database which  
19   covers, in the meantime, some 17,000 patients from  
20   development studies. Furthermore, we have, in the  
21   meantime, extensive post-marketing information  
22   covering more than 60 million patient years.

1                   For those of you not too familiar with  
2   Spiriva HandiHaler, here is a picture of the actual  
3   drug product, as well as the current U.S. label  
4   indication statement.

5                   Boehringer Ingelheim is seeking approval  
6   to obtain a new indication, which is shown here in  
7   yellow. Spiriva HandiHaler is indicated to reduce  
8   exacerbations in COPD patients.

9                   The focus of today's meeting will be  
10   basically on two topics; firstly, Boehringer  
11   Ingelheim's proposal for a new label expansion,  
12   reduction in COPD exacerbation. This is based on  
13   data from two studies; firstly, the Veterans'  
14   Affairs exacerbation study, which was a study  
15   solely done in the United States in 1,800 patients  
16   for six months in a Veterans' Affairs setting; and,  
17   secondly, on UPLIFT.

18                  UPLIFT is, to the best of our knowledge,  
19   one of the largest, if not the largest study ever  
20   done in COPD patients. The study enrolled 6,000  
21   patients. The study had a duration of four years.  
22   The study was done worldwide in almost 500 sites.

1           UPLIFT was designed to test the very  
2   challenging hypothesis that the maintenance use of  
3   Spiriva HandiHaler could alter the progression of  
4   the disease and this is even within the context of  
5   permitted use of other respiratory medications,  
6   except anticholinergics.

7           Also, UPLIFT did not meet its primary  
8   hypothesis to show reduction in long-term decline  
9   of lung function. It provided valuable important  
10   and robust data on Spiriva HandiHaler in COPD  
11   patients; for example, reductions in exacerbations.

12           The second topic of today will be the  
13   safety of Spiriva. For this, again, we will  
14   discuss the data from UPLIFT. We will discuss data  
15   from observational studies, as well as data from  
16   two large pooled safety analyses.

17           The first covers 26 Spiriva HandiHaler  
18   trials, all placebo controlled, and the second  
19   covers five Spiriva Respimat studies. Spiriva  
20   Respimat is an alternative formulation which is not  
21   available in the United States. It's registered in  
22   Europe and some ex-European countries.



1                   We at Boehringer Ingelheim believe that  
2 UPLIFT and the VA studies support the new  
3 indication, reduction of exacerbations. We,  
4 furthermore, believe that we have important and  
5 compelling safety information about Spiriva  
6 HandiHaler specifically with regard to  
7 cardiovascular events, stroke and mortality.

8                   This is an overview of today's  
9 presentation. I already very briefly alluded to the  
10 role and importance of exacerbations in COPD  
11 patients, and in a few sessions, you will hear  
12 Professor Tashkin, Professor Emeritus Don Tashkin  
13 from UCLA, talking somewhat more in detail about  
14 the role and importance of exacerbations for COPD  
15 patients.

16                   He will be followed by Dr. Steven Kesten.  
17 Dr. Kesten is Vice President-Medicine, Marketed  
18 Products-Respiratory at Boehringer Ingelheim. Dr.  
19 Kesten will, in depth and detail, describe to you  
20 available safety information and efficacy  
21 information on Spiriva.

22                   I want to thank the following

1 consultants, who are with us today, in order to  
2 help answer questions you may have. With this, I  
3 would like to hand over to Dr. Tashkin.

4 I would like to thank the following  
5 consultants on this list, and I would like to thank  
6 you for your time and your attention.

7 DR. TASHKIN: Good morning. I'm Don  
8 Tashkin, Emeritus Professor of Medicine at the  
9 David Geffen School of Medicine at UCLA in the  
10 Pulmonary and Critical Care Division.

11 By way of disclosure, I have received  
12 grant support and/or financial compensation for  
13 consultative services, including serving on  
14 advisory boards and for speaking, from Boehringer  
15 Ingelheim and Pfizer, as well as from the other  
16 pharmaceutical companies listed on this slide.

17 I'd like to begin with a little  
18 discussion, or description really, of my background  
19 and experience. COPD has been a major focus of my  
20 research for over 30 years. I have been principal  
21 investigator of a number of NIH studies related to  
22 COPD, including the UCLA population study on

1 chronic obstructive respiratory disease, which is a  
2 study that looked at the relationship between air  
3 pollution and the development and progression of  
4 airflow obstruction in various census tracts in and  
5 around Los Angeles.

6 I've also been the principal investigator  
7 of the various Lung Health Studies. Lung Health  
8 Study I was an early intervention study in COPD and  
9 it was extended in Lung Health Study III for as  
10 long as 14 years.

11 I currently am principal investigator of  
12 the SPIROMICS study. It's an NHLBI initiative to  
13 examine biomarkers within distinct phenotypes of  
14 COPD to see whether or not they may be predictive  
15 of intermediate and long-term outcomes.

16 I also have served as the principal  
17 investigator of the UPLIFT trial and other  
18 industry-sponsored clinical trials involving COPD.  
19 I think most importantly of all, I've been involved  
20 in the care of patients with COPD over the 40-plus-  
21 year history of my professional involvement.

22 In discussing the clinical course of

1 COPD, which is impacted by periodic exacerbations,  
2 I think it -- I'm sorry -- in discussing the  
3 importance of exacerbations, I think it's important  
4 to consider the clinical course of COPD that's  
5 impacted by recurrent exacerbations.

6           Now, COPD is a chronic progressive  
7 disease with underlying inflammation. The  
8 inflammation in predisposed individuals leads to  
9 structural changes, as well as physiologic  
10 abnormalities that are listed here, airflow  
11 obstruction, air trapping and hyperinflation,  
12 which, in turn, lead to the cardinal symptom of  
13 COPD, which is shortness of breath with exertion.

14           So when patients experience increasing  
15 difficulty breathing during physical activity, they  
16 naturally reduce their level of activity, leading  
17 to physical deconditioning, with structural and  
18 functional changes in the skeletal muscle that  
19 makes it harder for them to exercise, causing  
20 increasing breathlessness, with a vicious cycle  
21 that impairs their quality of life. This process  
22 is progressive and eventually leads to physical

1 disability, respiratory failure and death.

2           Now, the course of COPD is punctuated by  
3 recurrent exacerbations. These are acute events  
4 that are characterized by an increase in  
5 respiratory symptoms, particularly dyspnea, cough  
6 and the production of sputum, with sputum purulence  
7 on occasion.

8           Now, these exacerbations then are  
9 associated with an increase in the impairment in  
10 the mechanics, the mechanical function of the lung,  
11 more airflow obstruction, particularly more  
12 hyperinflation that actually are responsible for  
13 the symptoms.

14           As the exacerbation recovers, there is a  
15 gradual improvement in lung mechanics, along with  
16 an improvement in dyspnea. But patients may not  
17 return to their baseline level of lung function.  
18 Associated with these symptoms is an impairment in  
19 quality of life, which is at least temporary, and  
20 sometimes the quality of life, which takes a long  
21 time to resolve, if it resolves completely at all,  
22 does not return to the baseline level of health

1 status.

2           There is also evidence that  
3 exacerbations, particularly more frequent  
4 exacerbations, are responsible for accelerating the  
5 progression of the disease, leading to earlier  
6 development of disability, respiratory failure and  
7 death.

8           Now, regarding the risk of mortality from  
9 exacerbations, there have been a number of studies  
10 that have shown a reduced survival in association  
11 with exacerbations, with the figures ranging from  
12 about 2 and a half percent to 30 percent in the  
13 literature. This well conducted longitudinal study  
14 in which patients who were hospitalized for an  
15 exacerbation of COPD were followed for up to three  
16 years.

17           Eight percent of them died in the  
18 hospital. This is consistent with data from other  
19 studies. At six months, 24 percent of them were  
20 dead; at one year, 33 percent; two years, 39  
21 percent; four years, 49 percent.

22           Now, this figure compares with about a 33

1 percent mortality in patients with COPD in general,  
2 according to David Mannino's analysis from  
3 surveillance data in the U.S. Also, a very recent  
4 publication in Respiratory Medicine from a Spanish  
5 group showed a 14 percent increased risk of death  
6 with each succeeding exacerbation.

7           This slide illustrates the changes in  
8 lung function that occur during recovery from an  
9 exacerbation. We see, in the blue line,  
10 improvement and gradual improvement in airflow,  
11 reflected by the FEV1, and gradual and greater  
12 improvement in entry capacity, which is a  
13 reflection of the reduction in hyperinflation.

14           Now, these changes mirror reciprocal  
15 changes that occur in the run-up to an  
16 exacerbation, which are very difficult to  
17 ascertain, because you don't know what patient is  
18 going to exacerbate. So it's easier actually to  
19 look at the changes that occur during recovery from  
20 an exacerbation.

21           I think that these findings provide a  
22 possible rationale that might explain why a drug

1    like tiotropium may prevent exacerbations or reduce  
2    the frequency of exacerbations. Tiotropium does  
3    lead to a sustained improvement in FEV1 and  
4    sustained improvement or reduction in  
5    hyperinflation. And it's very possible and, I  
6    think, likely that this effect of tiotropium  
7    impacts on the -- that is, mitigates, rather, the  
8    impact of any trigger that might insult the lung  
9    that might otherwise cause an exacerbation or a  
10   worsening of symptoms that would lead the patient  
11   to seek additional treatment from his health care  
12   provider.

13                So I'd like to close with some  
14   observations and considerations. COPD is a  
15   chronic, progressive disease. It is associated  
16   with recurrent exacerbations that add to the  
17   morbidity and mortality of the disease.  
18   Exacerbations are clinically meaningful events.  
19   They have short and long-term consequences,  
20   worsening of symptoms, lung function, quality of  
21   life, at least temporary disability, the need for  
22   additional treatment, including hospitalization,



1    which is the major driver of the cost of COPD, and  
2    an increased risk of death.

3               The totality of evidence, including  
4    results from clinical trials, which are mirrored by  
5    my own clinical experience and that of my  
6    colleagues, has shown that tiotropium is safe and  
7    effective in the COPD, including the reduction of  
8    the risk for and the rate of exacerbations and  
9    hospitalizations related thereto.

10              Finally, as a clinician, I think it is  
11    important to communicate the benefits of tiotropium  
12    on exacerbations to patients and their healthcare  
13    providers in order to make them aware, or make sure  
14    that they are aware, of this important treatment  
15    benefit or treatment option in reducing morbidity  
16    from COPD.

17              Thank you very much.

18              DR. KESTEN: Good morning. My name is  
19    Steven Kesten. I am a pulmonary physician and I  
20    previously practiced medicine at the University of  
21    Toronto and at Rush-Presbyterian St. Luke's Medical  
22    Center in Chicago. I'm currently Vice President-

1 Medicine for Marketed Products in Respiratory for  
2 Boehringer Ingelheim.

3           My task today is to summarize an  
4 extensive efficacy and safety program in a focused  
5 and concise presentation and in a manner that  
6 provides you with the critical information that  
7 will allow you to assess the benefits of tiotropium  
8 on exacerbations of COPD and respond to the  
9 questions posed by the agency today.     The data  
10 demonstrate that tiotropium reduces exacerbations  
11 of COPD and does not increase the risk for  
12 cardiovascular events, fatal events, or stroke.

13           I will begin my presentation with a brief  
14 overview of the development program. I will then  
15 describe the study design and exacerbation outcomes  
16 from the VA study. Next, I will discuss the UPLIFT  
17 study, including the study design, FEV1 endpoints,  
18 and exacerbation endpoints. I'm also going to show  
19 how an analysis of the safety data provides further  
20 supportive information for the exacerbation  
21 benefits.

22           Regarding safety, I'm going to be

1 focusing on fatal events, cardiovascular events and  
2 stroke and predominantly rely on the largest single  
3 trial that we have with tiotropium, which is the  
4 four-year UPLIFT trial with tiotropium HandiHaler.

5 I will also supplement this information  
6 with data from the larger pooled clinical trial  
7 database with HandiHaler, as well as bringing in  
8 information from the alternative formulation of  
9 tiotropium, not available in the United States,  
10 that's tiotropium Respimat, and some summary  
11 information from observational studies. My  
12 conclusions will be based on the totality of the  
13 data.

14 There were approximately 2,600 patients  
15 who participated in Phase III trials of six to 12  
16 months duration. For the purposes of the analysis  
17 I'm going to show today, I'm restricting that  
18 population to those who either received tiotropium  
19 HandiHaler or the matching placebo; that is, anyone  
20 who received other active drugs, such as  
21 ipratropium or salmeterol are excluded.

22 This leaves 1,723 patients. The

1 registration trials demonstrated tiotropium's  
2 bronchial dilator efficacy over 24 hours with once-  
3 daily dosing. Secondary endpoints showed sustained  
4 improvements in symptoms and reductions in  
5 exacerbations.

6           It was these secondary endpoints that  
7 formed the basis of the much larger Phase III-B and  
8 IV clinical trial program, which includes the  
9 Veterans' Affairs exacerbation trial involving  
10 1,829 patients, as well as the four-year UPLIFT  
11 trial, involving 5,992 patients. The results from  
12 the VA study were published in the Annals of  
13 Internal Medicine and the results from the UPLIFT  
14 study were published in the New England Journal of  
15 Medicine last year.

16           In addition, there are approximately  
17 7,500 patients who participated in other Phase III-  
18 B and IV clinical trials that formed a larger  
19 pooled clinical trial database. Now, for inclusion  
20 into the safety database, the trials had to be  
21 parallel grouped, placebo controlled, and at least  
22 four weeks in duration and in the COPD indication.

1           All together, there are 26 trials,  
2   yielding over 17,000 patients. This is all with  
3   the HandiHaler formulation and, as I said, there is  
4   information from the alternative formulation,  
5   Respimat, which I will discuss later in the  
6   presentation.

7           The basis for the request for an  
8   indication for a reduction in exacerbation comes  
9   from the VA exacerbation trial and the UPLIFT  
10   trial. I will begin the discussion of data with  
11   the VA study. Again, the Phase III trials showed  
12   that tiotropium HandiHaler reduced exacerbations of  
13   COPD as a secondary endpoint. We, therefore, sought  
14   to design a prospective study specific for  
15   exacerbations.

16           The VA trial was a randomized, double  
17   blind, placebo controlled, parallel group trial of  
18   six months duration, conducted in 26 VA centers  
19   from across the country. As compared to the  
20   original Phase III-B and IV -- Phase III clinical  
21   trials, the inclusion and exclusion criteria were  
22   liberalized. All patients were allowed to take all

1 respiratory medications, other than inhaled  
2 anticholinergics, throughout the trial. So they  
3 could use inhaled steroids, long-acting beta  
4 agonists, combinations of the two, theophyllines,  
5 et cetera.

6           The co-primary endpoints, which were  
7 tested sequentially, were, first, the proportion of  
8 patients with at least one exacerbation and,  
9 second, the proportion of patients with at least  
10 one hospitalization. Of note, we asked that the  
11 investigators follow all patients, even those who  
12 discontinued drug prematurely, for the exacerbation  
13 endpoint for the full duration of the trial.

14           Secondary endpoints included other  
15 exacerbation outcomes, including time to first  
16 exacerbation and time to first hospitalized  
17 exacerbation. Other secondary endpoints included  
18 spirometry comparisons at clinic visits.

19           As expected in the VA system, the vast  
20 majority of the patients were men. The average age  
21 was 68 years and the mean FEV1 was 36 percent of  
22 predicted normal. Concomitant respiratory

1 medication use was common, with 38 percent of  
2 patients using a long-acting beta agonist and 58 to  
3 61 percent of patients using an inhaled  
4 corticosteroid.

5           We screened approximately 2,500 patients,  
6 of which 1,829 were randomized, with roughly equal  
7 distribution to both treatment groups. More  
8 patients prematurely discontinued study medication  
9 in the placebo group compared to the tiotropium  
10 group. As I said, we asked at the investigators  
11 follow all patients throughout the trial, even  
12 those who discontinued study medications, but still  
13 more patients in the placebo group prematurely  
14 discontinued the study compared to the tiotropium  
15 group.

16           For the first co-primary endpoint,  
17 tiotropium reduced the proportion of patients  
18 experiencing at least one exacerbation, with a P  
19 value of 0.037. Hospitalizations for COPD were  
20 experienced by less than 10 percent of the  
21 population. Tiotropium was associated with a  
22 relative reduction of 26 percent for the proportion

1 of patients with at least one hospitalized  
2 exacerbation, with the P value being 0.056.

3 A cumulative incidents rate display for  
4 an exacerbation is shown in this figure. The  
5 probability of an exacerbation is on the vertical  
6 axis and time through six months on the horizontal  
7 axis. The number of patients at risk by treatment  
8 group at each visit are shown at the bottom.

9 Now, a patient remains at risk until  
10 they've had the event of interest, exacerbation, or  
11 have been discontinued from the trial for another  
12 reason. The green solid line represents  
13 tiotropium. The yellow dashed line is placebo.  
14 The hazard ratio is an expression of the relative  
15 risk of tiotropium to placebo. The hazard ratio of  
16 0.83 indicates a 17 percent reduction in the risk  
17 for an exacerbation, the nominal P value being  
18 0.03.

19 A similar figure is displayed here for  
20 the risk of a hospitalized exacerbation, again with  
21 the probability of a hospitalized exacerbation on  
22 the vertical axis and time through six months on



1 the horizontal axis. The hazard ratio of 0.72  
2 shows a 28 percent reduction in the risk for a  
3 hospitalized exacerbation, with a nominal P value  
4 of 0.05.

5 The number of exacerbations and number of  
6 hospitalized exacerbations per patient year while  
7 in the study is shown in this table. Other  
8 secondary exacerbation endpoints are shown in your  
9 briefing document.

10 The number of events were compared using  
11 Poisson regression, adjusted for treatment and  
12 center effects and corrected for treatment exposure  
13 and over-dispersion. The rate ratio is that of  
14 tiotropium to placebo. Tiotropium was associated  
15 with a lower rate of exacerbations and a lower rate  
16 of hospitalized exacerbations.

17 Subgroup analyses were performed to  
18 examine for the risk of an exacerbation according  
19 to various baseline characteristics in order to see  
20 if there were some population that were skewing the  
21 results. In this figure, I have displayed age,  
22 smoking behavior and antibiotics, steroids or

1 hospitalizations for COPD in the year preceding  
2 randomization.

3           Now, the number of patients by treatment  
4 group and subgroup are in your briefing document  
5 and have been omitted from this and similar figures  
6 simply for ease of viewing. These squares  
7 represent the hazard ratios and the horizontal  
8 lines are the associated 95 percent confidence  
9 intervals.

10           The yellow vertical line represents  
11 unity, with values on the left favoring tiotropium,  
12 values on the right favoring placebo. Across these  
13 groups, there does not appear to be any subgroup  
14 that is predominantly responsible for the results  
15 or skewing the results.

16           Further subgroups are shown here  
17 according to concomitant respiratory medication use  
18 and baseline FEV1 severity. The medications  
19 include long-acting beta agonists, inhaled  
20 steroids, the combination of the two, theophylline  
21 and anticholinergics. Anticholinergics were the  
22 only medications that were discontinued at

1 randomization.

2 FEV1 severity is based on the American  
3 Thoracic Society criteria, which was used at the  
4 time of the study. Again, there's a relatively  
5 homogeneous reduction in the risk of an  
6 exacerbation, with no particular subgroup that  
7 appears to be predominantly responsible for the  
8 results.

9 I'd like to now move on to describe the  
10 UPLIFT trial. The Phase III results have led us to  
11 hypothesize that tiotropium might alter the rate of  
12 decline of FEV1, which is characteristic of the  
13 progression of COPD.

14 We sought to test this and other  
15 hypotheses with the UPLIFT trial. UPLIFT is a  
16 randomized, double blind, placebo controlled,  
17 parallel group trial of four years' duration,  
18 involving over 30 countries from around the world.  
19 As with the VA study, the inclusion and exclusion  
20 criteria were liberalized compared to the Phase III  
21 trials. All patients were permitted to use all  
22 respiratory medications other than inhaled

1 anticholinergics.

2           Now, we specifically sought to have this  
3 incorporated into both the VA and UPLIFT trials in  
4 order to have trials that would have a real world  
5 setting, as much as possible within the confines of  
6 controlled clinical trials, in order to have  
7 results that would be clinically applicable to the  
8 type of patients that you and others may see in the  
9 community, despite the challenges it creates in  
10 trying to show treatment effects.

11           The co-primary endpoints were the yearly  
12 rate and decline in pre and post-bronchodilator  
13 FEV1. Key secondary endpoints that were specified  
14 in the statistical analysis plan were time to first  
15 exacerbation and time to first hospitalized  
16 exacerbation.

17           Other secondary endpoints include  
18 spirometry comparisons at each time point, other  
19 COPD exacerbation variables, health-related quality  
20 of life as measured by the St. George's Respiratory  
21 Questionnaire, and mortality.

22           Now, regarding mortality, we introduced

1 two amendments during the trial. The first  
2 amendment established the procedure for collecting  
3 vital status information from prematurely  
4 discontinued patients. By vital status, I'm  
5 referring to whether the patient was alive or dead,  
6 and if they had died, what was the cause of death  
7 and the date of death. The second amendment  
8 established the Mortality Adjudication Committee so  
9 that there would be an independent judgment of the  
10 primary cause of death in a standardized fashion.

11 We screened over 8,000 patients of which  
12 5,992 were randomized and received medication,  
13 3,006 to placebo, 2,986 to tiotropium. More  
14 patients prematurely discontinued study medication  
15 in the placebo group. The most common reason was  
16 an adverse event, which was also more common in the  
17 placebo group.

18 Approximately three-quarters of the  
19 population were men, but this still led to the  
20 population including over 1,500 women who were  
21 randomized to study medication for up to four  
22 years. The average age was 65 years. The mean

1 baseline pre-bronchodilator FEV1 was about 40  
2 percent of predicted, which improved to  
3 approximately 48 percent of predicted following  
4 sequentially administered ipratropium-four puffs  
5 and albuterol-four puffs. The UPLIFT cohort,  
6 therefore, had a population which included  
7 approximately 46 percent of patients in Gold Stage  
8 2 or considered as having moderate COPD.

9           Concomitant respiratory medication use  
10 was extremely common in the UPLIFT trial, with 60  
11 percent of patients using a long-acting beta  
12 agonist and 62 percent receiving an inhaled  
13 corticosteroid.

14           FEV1 is shown in this figure from 1 to  
15 1.5 liters on the vertical axis and time over 48  
16 months is on the horizontal axis. The values  
17 displayed are the estimated means, morning pre-  
18 bronchodilator FEV1. Green, again, is tiotropium,  
19 yellow is placebo.

20           As you can see, the slopes of these lines  
21 do appear similar and there was no difference in  
22 the first co-primary endpoint of rate of decline in

1 morning pre-bronchodilator FEV1. However, we did  
2 see the expected improvements in lung function,  
3 reflecting the bronchodilator effects of  
4 tiotropium, and these improvements were maintained  
5 throughout the trial with no evidence of tolerance,  
6 the average changes ranging from 87 to 103 ml.  
7 However, as the primary endpoint of rate of decline  
8 did not show statistical significance, this and  
9 subsequent statistical testing is considered  
10 descriptive and P values are nominal.

11           These two lines represent the estimated  
12 mean post-bronchodilator FEV1 as clinic visits.  
13 Again, these slopes of the line are similar and  
14 there was no difference in the rate of decline in  
15 FEV1. But we did see improvements in lung function  
16 throughout the trial, with no evidence of  
17 tolerance, and this is despite both groups having  
18 received eight puffs of short-acting  
19 bronchodilators.

20           I'd like to now move on to the  
21 exacerbation findings. The cumulative incidence  
22 rate for an exacerbation is shown in this figure,

1 with the probability of an exacerbation on the  
2 vertical axis and time through 48 months on the  
3 horizontal axis. The hazard ratio of 0.86 shows a  
4 14 percent reduction in the risk for an  
5 exacerbation. The upper limits of the confidence  
6 interval are 0.91 and the nominal P value is less  
7 than 0.001.

8           A similar figure is shown here for the  
9 probability of a hospitalized exacerbation, with  
10 the same hazard ratio, 0.86, showing the 14 percent  
11 reduction in the risk of a hospitalized  
12 exacerbation. The nominal P value is 0.002.

13           Now, consider that these exacerbation  
14 findings are seen in the setting of substantial use  
15 of concomitant respiratory medications, including  
16 medications that are known to have an effect on  
17 exacerbations.

18           The number of exacerbations and number of  
19 hospitalized exacerbations per patient year while  
20 in the study is shown in this figure. Again, the  
21 other exacerbation endpoints are included in the  
22 briefing document. Tiotropium was associated with



1 a lower rate of exacerbations. However, the number  
2 of hospitalized exacerbations was not different,  
3 despite the fact that there was a lower risk for  
4 hospitalized exacerbations. It's possible that the  
5 decisions and interventions that occur after a  
6 first hospitalized exacerbation may have influenced  
7 the risk of a subsequent event.

8           We've looked at subgroup analyses for the  
9 risk of an exacerbation according to various  
10 baseline characteristics to see if there is a  
11 subgroup that might have been predominantly  
12 responsible for the results.

13           In this and the next series of figures, I  
14 am showing various subgroups. This figure has age,  
15 smoking behavior, and antibiotic, steroids or  
16 hospitalizations for COPD in the year preceding  
17 randomization. What you see is a generally  
18 homogeneous reduction in exacerbation risk across  
19 subgroups, with no particular subgroup  
20 predominantly responsible.

21           Further subgroups are shown here  
22 according to gender and concomitant respiratory

1 medication use, long-acting beta agonist, inhaled  
2 steroids, the combination of the two, and  
3 anticholinergics.

4 I'd like to note that the finding of  
5 exacerbation reductions here with men confirms the  
6 results that we've seen in the VA study and  
7 highlight that there were over 1,500 women in the  
8 UPLIFT trial who were followed for up to four years  
9 and the exacerbation findings appear to equally  
10 apply to women with COPD in the UPLIFT trial.

11 Further subgroups are shown according to  
12 Gold Stage, moderate, severe and very severe  
13 disease, as well as regions across the world. For  
14 the United States, there were over 1,500 patients  
15 and the findings for exacerbations in the UPLIFT  
16 trial, again, are equally applied to residents of  
17 the United States.

18 An analysis was conducted of low  
19 respiratory tract events, such as exacerbations,  
20 respiratory failure, pneumonia, that were reported  
21 as adverse events by investigators during the  
22 UPLIFT trial. Now, the purpose of this analysis

1 was to look for a consistency in the database and  
2 perhaps further supportive evidence for the  
3 exacerbation outcomes. This analysis has also  
4 been repeated for the entire pooled clinical trial  
5 safety database, which is included in your briefing  
6 document.

7           Adverse events that are reported in are  
8 coded with a standard dictionary to diagnostic  
9 terms referred to as preferred terms. These are  
10 lumped together into organ classes. So for this  
11 example, in the table I'm going to show, it's for  
12 all low respiratory tract disorders.

13           The table displays all adverse events,  
14 serious adverse events, and fatal adverse events  
15 for low respiratory tract disorders. The N refers  
16 to the number of patients with at least one event.  
17 IR is the incidence rate per 100 patient years of  
18 exposure.       The incidence rate is determined by  
19 taking the total number of patients with an event  
20 and dividing it by the total patient time at risk  
21 within a group.

22           RD is incidence rate difference incidence

1 rate of tiotropium minus the incidence rate of  
2 placebo. Now, we've chosen incidence rate  
3 differences for this display and all of the  
4 subsequent displays for adverse events that I will  
5 be showing as incidence rate differences are able  
6 to show either increased or decreased risk, even  
7 when there are zero events within a treatment  
8 group. As well, this is an approach that has been  
9 used in the past by the agency and it also has the  
10 advantage of providing the information regarding  
11 the number of patients affected per person time.

12           So looking at the incidence rate for the  
13 tiotropium group, it appears that it is lower than  
14 the incidence rates in the placebo group, and this  
15 is reflected by these negative rate differences.  
16 Attached to the rate differences are 95 percent  
17 confidence intervals, and this is to show the  
18 statistical reliability of the rate difference  
19 estimates.

20           A star is attached wherever the 95  
21 percent confidence interval's upper or lower limits  
22 exclude zero, implying a nominal P value of less

1    than 0.05. So the upper limit of the confidence  
2    interval excluded zero for adverse events and  
3    serious adverse events on the low respiratory tract  
4    disorders.

5               One of the specific preferred terms under  
6    low respiratory tract disorders is respiratory  
7    failure and we conducted an analysis of respiratory  
8    failure as reported and coded through adverse event  
9    reporting.

10              This table is identical to what I've just  
11    shown you; adverse events, all adverse events,  
12    serious adverse events and fatal adverse events for  
13    the term "respiratory failure." N is the number of  
14    patients with an event, IR is the incidence rate,  
15    and RD is the rate difference per 100 patient years  
16    at risk.

17              There are over 200 patients with this  
18    event. The incidence rates in the tiotropium group  
19    are lower than the placebo group, reflected by the  
20    negative rate differences. With all three values,  
21    the upper limits of the confidence interval exclude  
22    zero.

1                   Now, I certainly recognize the  
2 limitations of this kind of an approach and there  
3 have been no corrections for multiple comparisons.  
4 However, consider that the investigators in the  
5 UPLIFT trial are predominantly pulmonary physicians  
6 or physicians with specific expertise in  
7 respiratory medicine and there is no trial-related  
8 factor that would cause a preferential reporting of  
9 the term "respiratory failure," other than the  
10 treatment allocation itself.

11                   Again, the purpose of this analysis is to  
12 show the consistency in the database with regard to  
13 exacerbation reduction and further supportive  
14 information of the potential meaningfulness of the  
15 findings.

16                   So to summarize the exacerbation results,  
17 tiotropium HandiHaler 18 micrograms reduces  
18 exacerbations of COPD and this is seen as a primary  
19 outcome in the Veterans' Affairs trial of 1,829  
20 patients. The results in the VA study confirmed  
21 what we initially saw in the registration trial and  
22 we see a consistency across subgroups.

1           While the UPLIFT trial did not show  
2   statistical significance on the primary outcome of  
3   rate of decline of FEV1, we're asking you to  
4   consider the data from nearly 6,000 patients  
5   participating for up to four years in your  
6   deliberations in this trial.

7           The UPLIFT trial is a very rich and large  
8   database that shows reductions of COPD and a  
9   remarkable consistency across subgroups. The  
10   findings are also consistent with what we've seen  
11   in the VA study, the registration trial, and, for  
12   that matter, other trials we have conducted.

13          There is also a consistency within the  
14   safety database when we've analyzed that and it is  
15   what we anticipated based on the biology of our  
16   understanding with an intervention that provides  
17   24-hour airway patency and pharmacological lung  
18   volume reduction.

19          I'd like to now move on to discuss safety  
20   of tiotropium. The Phase III trials formed the  
21   basis of approval in the United States in January  
22   2004. Since initial registration began in Europe

1 in 2002, there's an estimated over 16 million  
2 patient years of use in the community.

3 Now, over this time, we've continued to  
4 follow safety through ongoing and completed trials,  
5 through literature review, observational studies  
6 and analysis of spontaneous reports. All of this  
7 is part of our routine pharmacovigilance activities  
8 for which we periodically report information into  
9 regulatory authorities.

10 In March 2008, the FDA posted information  
11 in an early communication based on information  
12 voluntarily forwarded by Boehringer Ingelheim.  
13 Based on data from a pooled clinical trial  
14 database, the incidence rate for stroke was 0.8  
15 events per 100 patient years of time at risk in the  
16 tiotropium group compared to 0.6 in the placebo  
17 group. The FDA described that the UPLIFT trial  
18 would provide further data regarding stroke and  
19 further insights regarding safety.

20 The early communication was updated in  
21 October 2008. The FDA noted that upon their  
22 preliminary review of the UPLIFT trial, there did



1 not appear to be an increased risk for stroke with  
2 tiotropium. The FDA also noted that there had been  
3 two recent publications that associated a potential  
4 risk for mortality in cardiovascular events with  
5 inhaled anticholinergics, including tiotropium.

6 So it's within this context and, of  
7 course, the general public health issues that are  
8 raised that I'll be presenting my next series of  
9 slides.

10 So based on the early communications,  
11 there were three safety issues that had been  
12 identified -- fatal events, cardiovascular events,  
13 and stroke. Now, with regard to the identification  
14 of fatal events, that was described in the context  
15 of a publication of an observational study with  
16 ipratropium, not tiotropium.

17 The mention of cardiovascular events in  
18 the early communication was based on the  
19 publication of a meta analysis that had both  
20 ipratropium and tiotropium. Stroke, of course, was  
21 based upon the information that Boehringer  
22 Ingelheim had provided from a clinical trial safety

1 database.

2           So for observational studies, I will  
3 describe summary results of all cause mortality  
4 from three observational reports performed or  
5 sponsored by Boehringer Ingelheim. For the issue  
6 of clinical trials, I'm going to predominantly rely  
7 on the largest single trial we have with  
8 tiotropium, which is the UPLIFT trial, to  
9 specifically address each of the three safety  
10 issues.

11           I'll also show additional data based on  
12 the entire pooled HandiHaler clinical trial  
13 database and supplement this with the information  
14 from the alternative formulation of tiotropium, not  
15 available in the U.S., tiotropium Respimat.

16           There are three reports of observational  
17 studies that are described in detail in your  
18 briefing document. The first is a study conducted  
19 in Denmark using their health care registries and a  
20 cohort design. The adjusted hazard ratio for all  
21 cause mortality, tiotropium relative to the  
22 control, was 0.77, with an upper limit of the

1 confidence interval of 0.91.

2           The second study used the Health  
3 Information Network database from the U.K., cohort  
4 design, with a hazard ratio of 0.70 for all cause  
5 mortality and an upper limit, again, of less than  
6 1.

7           The third study used the ICPI PHARMO  
8 database in the Netherlands. This was conducted by  
9 investigators at Erasmus University. The hazard  
10 ratio in this case, control study was 0.76 with  
11 wider confidence intervals.

12           Additionally, there have been two  
13 independent recent publications of observational  
14 studies, which I have listed here. The fourth line  
15 refers to databases from the Ontario Health Care  
16 System in Canada. It was a cohort design and the  
17 adjusted hazard ratio was 0.80 and, again, the  
18 upper limit of the confidence interval being less  
19 than 1.

20           Now, the last report is actually from the  
21 same database and investigators that was noted in  
22 the observational report publication in the early

1 communication.

2           In this case, whereas they used  
3 ipratropium first, this is with tiotropium, and  
4 tiotropium in combination with inhaled steroids and  
5 long-acting beta agonists, showed a reduction in  
6 the hazard ratio for all cause mortality of 0.60,  
7 but they also noted that this apparent benefit  
8 wasn't consistently seen across treatment groups.

9           Now, while there are certainly well  
10 recognized limitations with all observational  
11 reports, what we can say is that based on the  
12 information, there doesn't appear to be an  
13 increased risk or a safety signal suggesting an  
14 increased for all cause mortality with tiotropium.

15           I'd like to now move on to the issue of  
16 mortality within clinical trials, and I'm going to  
17 rely on the UPLIFT trial. For the UPLIFT trial, we  
18 conducted several analyses on mortality, all of  
19 which are included in your briefing document.

20           The next series of slides is an analysis  
21 based on the intention to treat cohort, including  
22 the vital status information from prematurely

1 discontinued patients up until the end of the  
2 protocol-defined treatment period, which we refer  
3 to as Day 1440.

4           So the figure shows the probability of  
5 all cause mortality on the vertical axis and time  
6 through 48 months on the horizontal axis. Green,  
7 again, is tiotropium, yellow is placebo.

8           At the bottom here, I've placed a number  
9 of fatal cases just to emphasize the size of the  
10 database that we're looking at in the UPLIFT trial.  
11 There were 491 fatal cases in the placebo group and  
12 430 fatal cases in the tiotropium group. This also  
13 reflects the unfortunate morbidity and mortality  
14 that is a feature of this chronic disease.

15           So over 900 cases. The hazard ratio is  
16 0.87, indicating a 13 percent reduction in risk,  
17 with a nominal P value of 0.03. I also want to  
18 emphasize that we are not seeking a claim on  
19 survival and that the purpose of displaying this  
20 data is to specifically address the issue  
21 identified in the early communication regarding  
22 mortality.

1           We have also conducted subgroup analysis  
2 of all cause mortality to see if there was a  
3 subgroup who might be considered an increased risk.  
4 The subgroups displayed in this figure are age,  
5 gender, smoking behavior, and concomitant use of  
6 respiratory medications; again, long-acting beta  
7 agonists, inhaled steroids, the combination and the  
8 two, and anticholinergics. There does not appear  
9 to be a subgroup who one would consider at  
10 increased risk of a fatal event. Further subgroups  
11 are shown according to Gold Stage of severity,  
12 moderate, severe and very severe disease, as well  
13 as regions.

14           Overall, the UPLIFT data, as a large,  
15 single clinical trial, does not indicate that there  
16 is an increased risk of tiotropium HandiHaler with  
17 all cause mortality in patients with COPD.

18           I'd like to now move on to the second  
19 safety issue identified in the early communication,  
20 which is cardiovascular events. So this is a  
21 summary table showing adverse events, serious  
22 adverse events, and fatal adverse events under the

1 cardiac system organ class and the vascular system  
2 organ class.

3 N refers to number of patients with an  
4 event, IR is the incidence rate per 100 patient  
5 years' exposure, RD is the incidence rate  
6 difference tiotropium to placebo. This is the same  
7 analysis and the same type of display as I've shown  
8 you with low respiratory tract events and for  
9 respiratory failure.

10 So examining the patterns here for the  
11 incidence rates in the tiotropium group compared to  
12 the placebo group, and there doesn't appear to be  
13 an increased risk. Indeed, for most of these  
14 variables, the incidence rate is slightly lower in  
15 the tiotropium group and that's reflected by most  
16 of these rate differences being negative five of  
17 the six. And for serious cardiac adverse events  
18 and for fatal vascular events, actually, the upper  
19 limit of the confidence interval excludes zero.

20 This table shows categories of cardiac  
21 events. These categories have been determined by  
22 taking clinically similar preferred terms and

1 placing them together. For example, for myocardial  
2 infarction, we've added the specific terms "acute  
3 myocardial infarction" and "myocardial infarction,"  
4 which are actually separate preferred terms. So  
5 that's been combined and all of the terms in  
6 myocardial infarction have been included in the  
7 broader category of ischemic heart disease.

8 Looking across, again, of the patterns of  
9 incidence rates in tiotropium versus placebo,  
10 overall, there is a lower incidence rate for these  
11 cardiac events, and these categories of cardiac  
12 events with the tiotropium group, there is a slight  
13 positive for supraventricular tachycardia, which is  
14 a known and expected event with inhaled  
15 anticholinergics. In your briefing document, there  
16 are identical tables for serious cardiac adverse  
17 events and fatal cardiac adverse events, and the  
18 patterns are the same.

19 So when we consider the UPLIFT trial, we  
20 do not see an associated increased risk of cardiac  
21 or vascular events with tiotropium HandiHaler.

22 I'd like to now move to the third safety



1 issue identified in the early communication, which  
2 is stroke. This is a summary of adverse events,  
3 serious adverse events, and fatal adverse events  
4 for stroke from the UPLIFT trial.

5 I would like to mention that the analysis  
6 approach here and the approach to stroke is  
7 identical to what was submitted earlier to the FDA  
8 that formed the basis of the early communication.  
9 The only difference here, or the major difference,  
10 is that there are 162 cases of stroke, which is  
11 approximately four times the database that was  
12 originally submitted. Looking across, again, the  
13 incidence rates, the incidence rate differences  
14 indicates that there is no associated increased  
15 risk of tiotropium HandiHaler with stroke.

16 As an additional step, we have analyzed  
17 the composite endpoints of major adverse  
18 cardiovascular events. This composite endpoint is  
19 composed of adding all fatal events in the cardiac  
20 system organ class, all fatal events in the  
21 vascular system organ class, fatal and nonfatal MI,  
22 fatal and nonfatal stroke, as well as the preferred

1 term sudden death, cardiac death and sudden cardiac  
2 death. These latter three terms are not coded as a  
3 primary path to either cardiac or vascular and,  
4 hence, have been included here. We also have a  
5 subgroup of major adverse cardiovascular events,  
6 which is fatal cardiovascular events.

7           In this analysis, there are over 450  
8 patients with a major cardiovascular event. The  
9 incidence rate for both of these endpoints is lower  
10 in the tiotropium group compared to the placebo  
11 group, reflected by negative rate differences and  
12 upper limits of the confidence interval that are  
13 less than zero.

14           We have also gone back to the large  
15 tiotropium clinical trial safety database. As a  
16 reminder, this includes 26 trials, including all of  
17 these trials. It involves over 17,000 patients and  
18 an estimated 12,000 patient years of exposure to  
19 tiotropium HandiHaler 18 micrograms in clinical  
20 trials.

21           In the next two slides, I'll summarize  
22 key cardiovascular endpoints. We've looked at the

1 entire database. There is no evidence of an  
2 increased risk for all cause mortality and the same  
3 applies to cardiovascular events.

4           This is a table that is similar to what  
5 I've just shown you from UPLIFT, with adverse  
6 events, serious adverse events, and fatal adverse  
7 events for the cardiac and vascular system organ  
8 classes. The difference here is I've just added in  
9 the stroke endpoint.

10           Overall, looking at the incidence rates  
11 compared to the placebo group, there isn't a  
12 pattern suggesting an increased risk associated  
13 with tiotropium HandiHaler. Indeed, for cardiac  
14 events as a whole, all adverse events or serious  
15 cardiac adverse events or fatal cardiac adverse  
16 events, the upper limit of the confidence interval  
17 excludes zero.

18           This is a table using the entire  
19 tiotropium HandiHaler clinical trial database for  
20 the composite endpoints of major adverse  
21 cardiovascular events and fatal cardiovascular  
22 events. So there is more information compared to

1 the UPLIFT trial alone, but the patterns are  
2 identical, with lower incidence rates and negative  
3 rate differences. It is acknowledged, however,  
4 that the major contributor to this pooled clinical  
5 trial database for these endpoints is the UPLIFT  
6 trial. So I've displayed here the UPLIFT trial for  
7 comparison.

8           Just to note, the UPLIFT trial, as a  
9 single, long-term, large, prospective study, not  
10 only shows lower incidence rates for these  
11 endpoints, but, again, upper limits of the  
12 confidence intervals that exclude zero, implying a  
13 relatively high degree of confidence that excludes  
14 an increased risk for major adverse cardiovascular  
15 events and fatal cardiovascular events.

16           So to summarize, the updated safety  
17 database provided by the UPLIFT trial specifically  
18 addresses each of the concerns regarding safety  
19 that have been identified or raised in the early  
20 communication in that the data do not demonstrate  
21 an increased risk for fatal events, cardiovascular  
22 events or stroke with tiotropium HandiHaler in

1 patients with COPD.

2 Earlier in the presentation, I referred  
3 to the alternative formulation of tiotropium,  
4 tiotropium Respimat, which is not available in the  
5 United States, but was approved in Europe in 2007.

6 Tiotropium Respimat 5 micrograms, the  
7 approved formulation in Europe, was developed to  
8 have a similar pharmacokinetic and pharmacodynamic  
9 profile as tiotropium HandiHaler 18 micrograms.  
10 Now, although there are differences in dosing from  
11 each device, the actual lung dose is similar and  
12 this simply reflects the improved efficiency in the  
13 Respimat delivery system.

14 There are five trials with tiotropium  
15 Respimat that meet the same criteria as we've used  
16 for pooling of the tiotropium HandiHaler clinical  
17 trial database. Three of these trials are one year  
18 in duration. The trials with tiotropium Respimat  
19 have shown that tiotropium Respimat improves lung  
20 function, provides sustained improvements in  
21 symptoms, and reduces exacerbations of COPD.

22 Regarding safety, we have observed an

1 unexpected numerical increase in fatal events in  
2 the tiotropium Respimat arm compared to the  
3 matching placebo, which you are being asked to  
4 consider in your deliberations today.

5           Now, just as a quick example of the  
6 efficacy results, these are exacerbation findings  
7 from the largest one-year tiotropium Respimat  
8 trial, Trial 205.372. The figures are for  
9 exacerbations which are identical to what I've  
10 displayed for the VA trial and the UPLIFT trial.

11           The figure on the left shows the  
12 probability of exacerbation -- again, green is  
13 tiotropium, yellow is placebo -- with a significant  
14 reduction in risk for an exacerbation with  
15 tiotropium Respimat. The figure on the right is  
16 the probability of a hospitalized exacerbation  
17 tiotropium versus placebo. Again, there is a  
18 reduced risk for hospitalized events with  
19 tiotropium Respimat.

20           This is a table summarizing all cause  
21 mortality observed in the three one-year trials, as  
22 well as a recently unblinded six-month trial with

1 the tiotropium Respimat 5 microgram arm that is  
2 included in your briefing document.

3 I want to note that 254 and 255 were the  
4 one-year trials that were the basis of registration  
5 in Europe and they also included a 10 microgram  
6 formulation as part of dose finding.

7 All of these trials in this table include  
8 vital status information of prematurely  
9 discontinued patients, although it was a  
10 retrospective collection in Trials 254 and 255.  
11 This display shows number of events, incidence rate  
12 per 100 patient years of exposure, and incidence  
13 rate differences.

14 As you can see, there are more cases,  
15 more fatal cases in the tiotropium Respimat arm.  
16 However, among the trials, there are some  
17 differences in the patterns, as well as variability  
18 in the incidence rate estimates; for example, an  
19 incident rate estimate as low as 0.66 in one of the  
20 tiotropium and the placebo Respimat arm in one of  
21 the trials.

22 Now, overall, the numbers are somewhat

1 smaller compared to the HandiHaler formulation.  
2 Indeed, in UPLIFT alone, there are over 900 fatal  
3 events. But as a comparison to the HandiHaler  
4 formulation, I've displayed UPLIFT data, but  
5 truncated at one year to have a similar timeframe  
6 as the other one-year trial. So with the  
7 HandiHaler formulation in UPLIFT, there were six  
8 fewer deaths at one year. However, it is also  
9 recognized that all of these confidence internals  
10 do overlap.

11           We have analyzed the composite endpoints  
12 of major adverse cardiovascular events and fatal  
13 cardiovascular events from the tiotropium Respimat  
14 5 microgram trials. Now, this is a pooled analysis  
15 and, as I stated earlier, we have five trials that  
16 meet the same criteria as we used for pooling in  
17 the HandiHaler formulation, and that is displayed  
18 in this table.

19           As a comparison, I've now displayed the  
20 pooled HandiHaler analysis, which I have shown in a  
21 previous slide. These are different pooled  
22 analyses and the major difference is that the



1 trials here go up to one year. However, the  
2 HandiHaler formulation does include the UPLIFT  
3 trial, which is a database up to four years.

4           The rate difference for major adverse  
5 cardiovascular events is negative in the Respimat  
6 trials and in the HandiHaler trials, implying lower  
7 incidence rates in the tiotropium formulation,  
8 although the magnitude is larger, certainly here,  
9 and there were significantly more patients and an  
10 upper limit of the confidence interval excluding  
11 zero.

12           For the endpoint of fatal cardiovascular  
13 events, the direction of the differences are  
14 different. So a positive rate difference based on  
15 13 versus 25 cases, a higher incidence rate here,  
16 and a negative rate difference with the HandiHaler  
17 formulation based on over 200 cases.

18           I've highlighted the actual incidence  
19 rates for the four treatment groups and it's  
20 somewhat similar in the tiotropium active  
21 formulation, but the major difference appears to be  
22 with the 13 cases and 0.55 events per 100 patient

1 years at risk with the tiotropium Respimat arm --  
2 sorry -- the placebo Respimat arm.

3 We've also looked at the endpoints of  
4 stroke from the pooled clinical trial analysis.  
5 The display is similar to what I've shown  
6 previously, where there's adverse events, serious  
7 adverse events, and fatal adverse events for the  
8 endpoint of stroke.

9 This is a smaller dataset compared to the  
10 HandiHaler formulation. However, the patterns are  
11 the same in that when we look at the number of  
12 events and the incidence rates and rate  
13 differences, there doesn't appear to be an  
14 increased risk of stroke with tiotropium Respimat.

15 So to summarize, we have observed an  
16 unexpected numerical increase in fatal events in  
17 the tiotropium Respimat trials with tiotropium  
18 Respimat compared to the matching placebo.

19 We carefully examined, reviewed,  
20 analyzed, scrutinized all of the available data  
21 from the tiotropium Respimat formulation and, for  
22 that matter, the HandiHaler formulation. Based on

1   our review, we cannot determine a mechanistic  
2   rationale for the apparent safety differences based  
3   on our understanding of the pharmacology of the  
4   substance, the pharmacokinetics and the excipients  
5   of each formulation.

6               Now, it is possible that there might be  
7   some prior related factor that could be  
8   contributing to the differences in the reporting of  
9   adverse events among these trials; for example,  
10   there is a differential and preferential withdrawal  
11   of the most severe COPD patients in the placebo  
12   group, but it's difficult to be certain as to the  
13   contribution of such phenomena when looking  
14   retrospectively.

15              Nevertheless, Boehringer Ingelheim is  
16   committed to fully evaluating these results and we  
17   are planning to initiate a large long-term study  
18   early in 2010 to evaluate the relative benefits of  
19   tiotropium Respimat and safety compared to  
20   tiotropium HandiHaler.

21              I'd like to now return to the focus of my  
22   presentation today and, indeed, the major topic of

1 discussion in this Advisory Committee, and that's  
2 with the approved formulation of tiotropium in the  
3 United States, tiotropium HandiHaler 18 micrograms  
4 in the treatment of COPD.

5           The data from tiotropium indicates that  
6 tiotropium HandiHaler, 18 micrograms, based on a  
7 large, extensive clinical trial program,  
8 demonstrates that there are reductions in  
9 exacerbations with tiotropium HandiHaler, and this  
10 is observed from a primary outcome study, a VA  
11 study involving 1,829 patients.

12           It's supported by information from a very  
13 large, long-term clinical trial, the UPLIFT trial.  
14 We see the results with consistency across numerous  
15 subgroups, and further support when we look at the  
16 consistency of the adverse events reported into the  
17 clinical trial safety database.

18           Additionally, when we examined the safety  
19 database with UPLIFT alone and in combination with  
20 the remainder of our clinical trials, we did not  
21 see an association with tiotropium HandiHaler and  
22 all cause mortality, cardiovascular events and

1 stroke.

2           So in conclusion, the data presented here  
3 today provides substantial and meaningful evidence  
4 that support the proposed revisions to the Spiriva  
5 HandiHaler label. Specifically, we are seeking to  
6 add reductions in exacerbations to the indication  
7 statement for Spiriva HandiHaler and insert the  
8 applicable data from both clinical trials into the  
9 clinical study section.

10           As described by Dr. Tashkin,  
11 exacerbations of COPD are meaningful events that  
12 can result in prolonged and profound effects and  
13 impacts on the lives of patients, as well as their  
14 families. Information regarding exacerbations and  
15 treatment effects of exacerbations constitutes  
16 meaningful information for patients and prescribers  
17 when assessing the safety and benefits of Spiriva  
18 HandiHaler and, for that matter, when making  
19 treatment decisions in COPD.

20           Finally, we are seeking confirmation  
21 today that the updated safety information provided  
22 by the UPLIFT trial addresses the issues raised in

1 the early communication and that the data do not  
2 demonstrate an association of increased risk for  
3 fatal events, cardiovascular events and stroke with  
4 Spiriva HandiHaler in the treatment of COPD.

5 I'd like to thank you today for the  
6 opportunity of presenting the tiotropium data.

7 DR. BRANTLY: Thank you very much. I  
8 would like to invite members of the committee to  
9 address questions to the sponsor at this point.  
10 Let me remind you, for the purpose of keeping track  
11 of our comments, that you please read your name  
12 into the record prior to your comment.

13 Sean?

14 DR. HENNESSY: Thank you. I have a  
15 comment and two questions for Dr. Kesten. One is  
16 that my wife used to work in pharmaceutical  
17 advertising and when I would counsel her on trying  
18 to make small differences look big, I would talk  
19 about cutting off vertical axes on benefits, which  
20 was done in many of the slides here. So,  
21 consequently, a 13 percent relative reduction looks  
22 much more impressive than a 4.4 percent absolute

1 reduction. That was the comment.

2           The two questions are, on the VA study,  
3 slide 31 shows patients that have a lower FEV1,  
4 indicating worse function, appear to have better  
5 benefit. Yet, slide 46, from the other study, the  
6 name of which escapes me, shows that a lower Gold  
7 Stage was associated with less benefit. I'm  
8 wondering if the FEV sub-analysis was done on the  
9 UPLIFT trial and if those two observations can be  
10 rectified.

11           DR. KESTEN: So the question relates to  
12 FEV1 and, specifically, you're referring to the  
13 lower stages, the more severe patients, or the  
14 higher stage? Just to clarify.

15           DR. HENNESSY: Right. Your slide 31 --

16           DR. KESTEN: Yes, slide 31.

17           Can I have that slide up, please?

18           DR. HENNESSY: -- which is from the VA  
19 trial shows that if you had worse FEV1, you  
20 appeared to benefit more from the drug; is that  
21 right?

22           DR. KESTEN: Yes.

1 DR. HENNESSY: Yet, in the UPLIFT trial,  
2 you don't present results stratified by FEV1, but  
3 you do by Gold, and that's slide 46. And that  
4 appears to show, if I'm reading it right, that  
5 patients with less severe disease benefitted more.

6 Am I understanding that correctly?

7 DR. KESTEN: Yes. The Gold Stage is  
8 based on FEV1 severity and there are slight  
9 differences between ATS and Gold Stage. Gold Stage  
10 is post-bronchodilator and the cutoff is 30 percent  
11 versus 35 percent in the VA study, so similar. But  
12 your observation is correct that the benefits were  
13 not observed to the extent that we see in the VA  
14 study.

15 DR. HENNESSY: It seems to go the  
16 opposite way, though, right?

17 DR. KESTEN: Yes. For example, again --  
18 can I have the VA slide just to highlight that?

19 The FEV1 differences are most apparent in  
20 this category of disease. But in the UPLIFT trial  
21 -- can I have the UPLIFT hazard ratios according to  
22 Gold Stage, slide up? The benefits appear to be in



1 the larger population here.

2           The UPLIFT trial, these patients are  
3 about 10 percent of the population, but you're  
4 correct that we didn't see the same magnitude of  
5 benefit. There are wider confidence intervals that  
6 do overlap.

7           We've tried to look at other means to  
8 identify this severe population of patients to look  
9 for supportive data. The UPLIFT data was designed  
10 to look at the COPD cohort as a whole, showing  
11 those reductions.

12           DR. HENNESSY: Let me ask you  
13 specifically. Did you stratify UPLIFT based on  
14 baseline FEV1?

15           DR. KESTEN: Yes. That's this slide.

16           DR. HENNESSY: So Gold Stage is the same  
17 as FEV1.

18           DR. KESTEN: Yes. I'm sorry. I'd like  
19 to clarify, if I may. So Gold Stage of severity is  
20 the recent classification that's identified  
21 internationally for using FEV1 severity as a means  
22 for characterizing patients with moderate, severe

1 and very severe disease.

2           So, for example, Gold Stage 2 moderate  
3 disease is patients with an FEV1 greater than 50  
4 percent post-bronchodilator, two is 30 to 50, and  
5 four is less than 30 percent. So it's a little  
6 different from the ATS staging which was used then,  
7 but the concept is the same and the values are  
8 close.

9           DR. HENNESSY: I'm still puzzled as to  
10 why the trend goes in opposite directions in the  
11 two trials. I won't belabor the point anymore.

12           But my third question is, did you do a  
13 pooled analysis of all cause death across the  
14 different Respimat studies like you had done pooled  
15 analyses of other outcomes, and if so, can we see  
16 those results?

17           DR. KESTEN: First, if I may finish about  
18 the FEV1 severity to address that and then go to  
19 all cause mortality for Respimat.

20           Could I have the slide from the safety  
21 database on FEV1 less than 35 percent?

22           So what we've done for that is we had a

1 smaller cohort for the more severe patients in  
2 UPLIFT and went back to our pooled clinical trial  
3 safety database and looked for adverse event  
4 reporting to see if we found discrepant findings or  
5 supportive findings.

6           So this is a table based on reports by  
7 investigators of exacerbations with a narrow  
8 definition, exacerbations with a broader  
9 definition, such as including worsening of  
10 bronchitis, and then exacerbation when you included  
11 pneumonia for adverse events, serious adverse  
12 events and fatal adverse events for this cohort.

13           So now we have a lot more patients. And  
14 this is pre-bronchodilator FEV1 less than 30  
15 percent. The display is number of patients,  
16 incidence rates and rate differences for these  
17 observations. And across the categories, for  
18 adverse events and serious adverse events, we're  
19 seeing negative rate differences, indicating that  
20 there is data suggesting a lower risk for  
21 exacerbations as an adverse event or serious  
22 adverse event with severe disease.

1                   Now, with regard to your second question  
2   regarding mortality in the Respimat trials, I'd  
3   like to refer to Dr. Bernd Disse, who has been  
4   involved with the development program of Respimat  
5   since the very beginning, who is therapeutic area  
6   head for respiratory.

7                   DR. DISSE: My name is Bernd Disse. I am  
8   area head for Respiratory Medicine at Boehringer  
9   Ingelheim. If I understand your question correctly  
10  as addressed, it is if the mortality in the  
11  Respimat trial set is Gold Stage or it's severity  
12  dependent.

13                  DR. HENNESSY: No. I'm sorry. That  
14  wasn't my question. My question was there is a  
15  slide presenting all cause mortality differences  
16  from the individual Respimat trials, but I didn't  
17  see one that pooled across all of those.

18                  I wanted to know if there was a summary  
19  effective measure for all cause mortality across  
20  those trials with the 95 percent confidence  
21  interval, because oftentimes, individual studies  
22  will show a trend and not be statistically

1 significant, but when you pool across them, they  
2 will be.

3 DR. DISSE: Yes. This has been done and  
4 I can even give the numbers, as I recall them.

5 DR. HENNESSY: You don't have a slide for  
6 it?

7 DR. DISSE: Yes, certainly, but it needs  
8 to be dug out. In the group safety, for adverse  
9 events, let me give you the numbers. They are  
10 reported in the briefing document.

11 From the pooled dataset, the rate ratio  
12 was 1.33. The confidence interval included one and  
13 the P value was about 0.15. So that is the pooled  
14 result. We will provide the slide after the break.

15 DR. HENNESSY: Thank you.

16 DR. BRANTLY: Les?

17 DR. HENDELES: Leslie Hendeles. Two  
18 questions. Interestingly, the data does show that  
19 tiotropium adds bronchodilator effect in patients  
20 who are already on bronchodilators, which might be  
21 important for this group who has such a poor  
22 response to bronchodilators.

1                   The two questions I have, first of all,  
2   how many patients have to be treated to prevent one  
3   exacerbation?

4 DR. KESTEN: Would you like me to address  
5 the first question?

6 DR. HENDELES: Sure.

7 DR. KESTEN: Okay. The issue is  
8 regarding NNT. I'll give you the answer and then  
9 I'd like to comment on it. The NNT from the UPLIFT  
10 trial is between 16 and 24, depending upon the  
11 timeframe.

12 I think one of the issues of NNT is to  
13 consider some of the limitations, because you have  
14 to recognize the timeframe. So are you talking  
15 about a number you need to treat for six months,  
16 three months, one year, two years, three years, as  
17 well as some of the issues regarding study design,  
18 concomitant medication use, which can influence the  
19 interpretation of the NNT.

20 DR. HENDELES: My second question is, how  
21 did you measure it here and in the UPLIFT study?

22 DR. KESTEN: In the trial, we looked at

1 capsule counts and patients were asked to return  
2 their capsules to clinic visits.

3 DR. HENDELES: Do you have a mean value  
4 with a range on what the adherence was?

5 DR. KESTEN: Approximately 80 percent  
6 adherence at four years.

7 DR. HENDELES: Thank you.

8 DR. KESTEN: That was over 90 percent at  
9 one year.

10 DR. HONSINGER: Richard Honsinger. As I  
11 look at the data, if it's just a bronchodilator  
12 effect, I'd like to see what the results were after  
13 the patients stopped. Do you have any information  
14 on pulmonary function tests or availability of data  
15 after the patients have completed their course of  
16 medications?

17 As we're seeing a bronchodilator effect,  
18 we might expect them to go back to the norm line,  
19 like we see on the slides 38 and 39.

20 DR. KESTEN: One, we did request,  
21 actually, in the UPLIFT trial that patient return  
22 to clinic with a 30-day follow-up or washout

1 period. So it's somewhat difficult to interpret.

2 I'll give you the results.

3           The bronchodilator effects went down,  
4 approaching to the mean of both groups, not quite  
5 the same. But the issue on that is the  
6 differential withdrawal of patients over four  
7 years, so that we have a substantially lower number  
8 of patients at the end of four years who agreed to  
9 come in again for a 30-day follow-up. So it's  
10 limited in what we can interpret, but it does  
11 appear that the effect wanes over 30 days.

12           DR. NEWMAN: I have a question about  
13 comparative study design, specifically related to  
14 the mortality question and the exclusion criteria  
15 that are used in the Respimat studies as compared  
16 to the UPLIFT.

17           Could you please comment on -- I know you  
18 had exclusion for prior cardiovascular disease,  
19 arrhythmias, et cetera. Are there any differences  
20 in those exclusion criteria that might lead us to  
21 suspect some differences -- to explain some of the  
22 differences that are being seen in mortality?



1                   DR. KESTEN: Actually, just to clarify,  
2 I'll begin by clarifying what the exclusion  
3 criteria were for cardiac. The exclusion criteria  
4 were myocardial infarction the preceding six  
5 months, hospitalization for congestive heart  
6 failure in the preceding year, and unstable  
7 arrhythmia or life-threatening arrhythmia. So  
8 someone on stable arrhythmia medications would be  
9 included.

10                   The inclusion and exclusion criteria were  
11 fairly similar, just about the same for the UPLIFT  
12 trial and the largest one-year Respimat trial.

13                   DR. BRANTLY: Dr. Terry?

14                   DR. TERRY: Peter Terry. There appears  
15 to be, at first glance, a reduced number of  
16 exacerbations in the tiotropium group. I've been  
17 involved in a number of studies, however, where it  
18 turned out that the average number of visits that  
19 the patients had in the experimental group was  
20 different than the control group and that that  
21 appeared to have influenced outcome.

22                   So I wanted to know, what was the average

1 number of visits in the experimental group versus  
2 the control group? Did you track changes in use of  
3 other bronchodilators and/or steroids over time to  
4 see if there were changes in the groups?

5 DR. KESTEN: So two questions. The first  
6 relates to additional health care utilization in  
7 the UPLIFT trial. We did not track the additional  
8 unscheduled visits. We can say it looked at not  
9 only the number of exacerbations, but the number of  
10 antibiotic exacerbations, the number of steroid  
11 exacerbations, the number of hospitalizations. So  
12 I can't really give you the information on  
13 scheduled visits.

14 For the second question, which was  
15 concomitant respiratory medication, if we look at  
16 the entire trial overall across and at the end,  
17 there seems to be similar use of other respiratory  
18 medications.

19 Now, the exception was where the patients  
20 came into the trial not on maintenance medications  
21 and then in that population, comparing the  
22 tiotropium group to placebo, there actually was a

1 delay in the time to the prescription of first  
2 maintenance medication.

3 The other groups, which have already had  
4 the medications, are confounded groups and we  
5 didn't overall see much difference.

6 DR. BRANTLY: Dr. Platts-Mills?

7 DR. PLATTS-MILLS: Tom Platts-Mills.

8 Thank you. I have two minor points and then a  
9 serious question.

10 Are the strokes consistently bleeding or  
11 clotting? Can you see data of that kind?

12 DR. KESTEN: I'm sorry. Could you repeat  
13 the question?

14 DR. PLATTS-MILLS: In the strokes,  
15 presumably, they all got head CTs. Were they  
16 bleeding or clotting or is there any difference  
17 between the two groups?

18 DR. KESTEN: So the question relates to  
19 the etiology of stroke, hemorrhagic or ischemic.  
20 Unfortunately, not all the cases had CT scans or  
21 not all the cases, when we saw the narratives,  
22 recorded CT or other imaging.

1                   We have actually looked at the  
2   standardized medical queries of ischemic events  
3   versus hemorrhagic events. It is limited. I don't  
4   want to over-interpret that data, but we don't see  
5   any pattern suggesting increased risk with one  
6   versus the other.

7                   DR. PLATTS-MILLS: The second question, I  
8   may have missed it in the data, but there's another  
9   treatment for COPD, which is pulmonary rehab.  
10   Pulmonary rehab, was that standardized? Both  
11   inpatient and outpatient pulmonary rehab, was it  
12   standardized and was it different in the Respimat  
13   study than in the UPLIFT study?

14                  DR. KESTEN: I'm certainly, as a  
15   pulmonologist, very glad you raised it, because  
16   pulmonary rehab is one of the most important  
17   therapies in these patients and I wholeheartedly  
18   agree with you.

19                  We didn't capture pulmonary rehab during  
20   the UPLIFT trial. What we did is patients who  
21   entered the UPLIFT trial shouldn't have been in a  
22   pulmonary rehab program at that point. Then we

1 randomized them and we wanted to try to create a  
2 real world setting and let the patients go into the  
3 trial as they would and have their prescriptions  
4 and their treatments as they would over four years.

5 With the variabilities, we still were  
6 able to see these reductions in exacerbations,  
7 reduced risk in lower respiratory morbidity, and  
8 reduced risk for hospitalized exacerbations.

9 DR. PLATTS-MILLS: Dr. Tashkin provoked  
10 that question because he actually had in one of his  
11 slides decreased physical activity and the obvious  
12 possible cardiovascular effect.

13 Can I ask a third question? That is, do  
14 you know anything about the nature of the  
15 exacerbations? That is, exacerbations of COPD  
16 could be viral, they could be bacterial or they  
17 could be fungal.

18 I don't know. Do you have any  
19 information? Was any attempt made to identify  
20 those, because some of the -- there's old history  
21 about fungal and sometimes people who get fungus in  
22 their lungs with COPD become very resistant to

1 treatment. Was there any attempt to identify those  
2 cases and could it explain differences?

3 DR. KESTEN: No. We did not, in this  
4 large trial of four years, attempt to capture  
5 microbiology or ask the investigators to record any  
6 sputum evaluation. So I can't specifically address  
7 that, although what I can tell you is that we've  
8 looked at the antibiotic treatments versus steroid  
9 versus combination, which sometimes gives some  
10 thought into physician prescribing and we saw  
11 reductions in the risk for an antibiotic-treated  
12 exacerbation and both the steroid-treated  
13 exacerbation.

14 Actually, if I may, I just want to come  
15 back to your last point of rehab, because you  
16 mentioned it in the context of Dr. Tashkin's  
17 presentation. We have conducted and published a  
18 study where we gave the combination of tiotropium  
19 plus pulmonary rehab versus pulmonary rehab alone.

20 That was published by Rich Casaburi and  
21 showed that having tiotropium onboard amplified the  
22 benefits in terms of exercise tolerance at the end

1 of rehab with a rehab program. It supports what  
2 you're saying and certainly supports what Dr.  
3 Tashkin was saying.

4 DR. BRANTLY: Other questions? Dr.  
5 Knoell?

6 DR. KNOELL: Daren Knoell. A couple of  
7 simple questions. This is related to the compound  
8 itself. My understanding is it's a charged  
9 quaternary ammonium, so it's not going to be  
10 appreciably absorbed with repeated administration.

11 But you commented that with the Respimat  
12 comparison, in particular, you guys have conducted  
13 extensive analyses and found no evidence to suggest  
14 that it's a difference in perhaps drug absorption.  
15 So that's one point of just clarification, if you  
16 can expand upon that.

17 Then the second question, or first  
18 question, is now that you have a four-year trial  
19 and thousands of patients, following those patients  
20 in any way kinetically, do you have any evidence to  
21 raise concern or put to rest that with chronic use  
22 over years, that this compound could be absorbed at

1 appreciate levels to account for systemic toxicity?

2 DR. KESTEN: Well, the pharmacokinetic  
3 questions, for the long-term and also between the  
4 formulation, I'm going to also ask, again, Dr.  
5 Disse to address that. He also has a background in  
6 pharmacology.

7 But while he's coming up, I just want to  
8 say that we did not see anything in terms of the  
9 adverse event profile over time in the UPLIFT  
10 trial. So the effects on exacerbations, the  
11 analysis of mortality didn't suggest that there  
12 would be anything clinically meaningful. We  
13 continue to see the effects as we had at the  
14 beginning of the trial and the end of the trial.

15 DR. DISSE: As you rightly pointed out,  
16 this is a quaternary ammonium drug and, as such, it  
17 has a very low absorption from the gastrointestinal  
18 tract. It is about 10 percent, then by the first  
19 pause, it's reduced to 3 percent.

20 But all drug that reaches the lung,  
21 that's our experience, becomes absorbed, which  
22 means from the HandiHaler, which is about 18



1 micrograms in the capsule, some 10 micrograms leave  
2 the device. Then the fine particle fraction then  
3 goes to the lung. It is has to be calculated, and  
4 this makes a lung dose of 3 micrograms and that  
5 dose becomes absorbed.

6           Similarly, the Respimat, 5 micrograms  
7 come out of the device, with calculating the fine  
8 particle accessible to the airways and the lungs,  
9 some 3 micrograms is the lung dose and that becomes  
10 completely absorbed.

11           So we have compared, in pharmacokinetic  
12 studies, the two devices. And there's four weeks  
13 treatment, fully into steady-state, then evaluated  
14 the systemic levels. And the excretion in urine  
15 over 24 hours is an appropriate measure, reflecting  
16 total exposure.

17           With that, studies in Caucasian patients  
18 have shown about a 1.2 to 1.3-fold exposure  
19 following Respimat. In Asian patients,  
20 surprisingly, it was equivalent, so similar  
21 exposure. Our conclusion at this point is the  
22 exposure following Respimat is similar, maybe up to

1 1.3-fold higher in total exposure.

2           Your second question is a very difficult  
3 one, because pharmacokinetics, over time, hasn't  
4 been done. But following adverse event profiles  
5 over time doesn't give an indication that adverse  
6 events may increase.

7           There is one point to be made.  
8 Certainly, this drug is slowly equilibrating, which  
9 means it takes some time to reach steady-state,  
10 about two to three weeks, and typical systemic  
11 anticholinergic events then come up after this  
12 period in time, but rarely before.       Other than  
13 that, we are not aware of any time sequence or  
14 delayed toxicity effect.

15           DR. BRANTLY: Dr. Platts-Mills?

16           DR. PLATTS-MILLS: Can I ask another  
17 related question? There was a period in the '80s  
18 when we were using another anticholinergic. It's  
19 either called glycopyrrolate or paroglycolate;  
20 glycopyrrolate in a nebulized form. You don't have  
21 any data comparing tiotropium to glycopyrrolate.

22           DR. DISSE: No. A direct comparison has

1 never been done. From published literature, you  
2 can conclude that glycopyrrolate is also a longer-  
3 acting anticholinergic. Maybe it's in the middle  
4 between ipratropium and tiotropium. But a direct  
5 comparison hasn't been done.

6 DR. BRANTLY: I have a couple questions,  
7 also. Dr. Brantly. The first question is, it was  
8 mentioned that one of the hypotheses for the  
9 mechanism for reduction of exacerbations is airway  
10 patency.

11 Are there any studies that directly  
12 provide data to support that particular hypothesis?

13 DR. KESTEN: Yes. So the question  
14 relates to the airway patency that was also  
15 mentioned by Dr. Tashkin and I'll ask Dr. Tashkin  
16 to also address this. There are two studies, the  
17 one that Dr. Tashkin referred to and then another  
18 study published in the European Respiratory  
19 Journal; a similar design where they took patients  
20 who came into the hospital with an exacerbation and  
21 followed their lung function, both spirometry and  
22 lung volumes, over time as they recovered.

1                   You could see the pattern where there was  
2 quite dramatic improvements in lung volumes over  
3 time, suggesting that the event was accompanied by  
4 hyperinflation, which is, I think, well understood  
5 when you see any trigger reducing airway patency.

6                   So that if you maintained airway patency,  
7 you are giving yourself more volume, more reserve,  
8 so that you can withstand an impact and  
9 intervention and the consequences, which not only  
10 can be symptoms, but also gas exchange  
11 abnormalities and other mechanical abnormalities  
12 and stress on a respiratory muscle.

13                  I'd ask Dr. Tashkin, again, with his long  
14 history in clinical research, to elaborate.

15                  DR. TASHKIN: Thank you for that  
16 question. The mechanism that I proposed in my  
17 presentation was really hypothetical. We really  
18 didn't have any direct proof, that that is the  
19 reason why a drug like tiotropium reduces  
20 exacerbations, but I think it's a reasonable  
21 hypothesis given the fact that tiotropium probably  
22 doesn't have any clinically meaningful anti-

1 inflammatory effects.

2 DR. BRANTLY: I have a second question  
3 regarding actually EKG findings in particularly the  
4 UPLIFT trial. That is, was there any safety signal  
5 with the EKG findings that would suggest some kind  
6 of a primary mechanism that we've seen in some of  
7 the previous reports?

8 DR. KESTEN: In the UPLIFT trial, we did  
9 not monitor ECGs during the trial. So I cannot  
10 comment on that. We have certainly done extensive  
11 ECG recordings in the development program,  
12 including Holter studies, and have not been able to  
13 see ECG findings.

14 In addition, we've done a thorough QT  
15 study with above therapeutic doses with 54  
16 micrograms of HandiHaler and the thorough QT study  
17 was negative, as well.

18 DR. BRANTLY: Thank you very much.

19 Dr. Honsinger?

20 DR. HONSINGER: You've mentioned  
21 anticholinergic drugs and their absorption. As we  
22 look at the adverse events, were many of your

1 dropouts due to the adverse events of the effect on  
2 the cholinergic system?

3 I wouldn't expect people to have eye  
4 difficulty in this age group. Their lenses are  
5 already relatively stiff. I wouldn't expect them  
6 to notice much difference in their perspiration.  
7 But I would expect them to notice more difficulty  
8 with the cholinergic effect on the GI tract. I'd  
9 expect more constipation. I would expect more  
10 urinary symptoms, particularly in the men. As you  
11 get urinary symptoms, of course, you have  
12 incomplete emptying and then you have increased  
13 urinary tract infections.

14 Were these causes for dropouts?

15 DR. KESTEN: Yes. There were a small  
16 number of dropouts that we could attribute to  
17 anticholinergic effects, including those on the  
18 gastrointestinal tract and the urinary system, such  
19 as urinary retention.

20 However, overall, these events are  
21 relatively infrequent, quite infrequent, and if you  
22 look at the overall safety profile, there are more

1 discontinuations for adverse events, significantly  
2 more in the placebo group compared to the  
3 tiotropium group. But we did see the expected  
4 anticholinergic events with tiotropium.

5 DR. BRANTLY: Dr. Terry?

6 DR. TERRY: COPD was defined as emphysema  
7 and/or chronic bronchitis. Was the chronic  
8 bronchitis evenly distributed between the  
9 experimental and control groups?

10 DR. KESTEN: I cannot tell you with  
11 certainty on that, because we asked the physicians  
12 or the investigators to recruit patients with COPD  
13 that they have diagnosed, including chronic  
14 bronchitis and emphysema.

15 We did have a questionnaire going into  
16 the trial asking them to tic off chronic  
17 bronchitis, emphysema, not verified in any manner,  
18 just reporting it on entry. There was nothing  
19 there to suggest that, but, again, this is soft  
20 data. It's really taking all COPD patients  
21 according to a clinical diagnosis in the community.

22 DR. BRANTLY: Dr. Platts-Mills?

1 DR. PLATTS-MILLS: If we take the data  
2 that there has been a decrease in exacerbations,  
3 there are two possibilities. One is that the  
4 exacerbations are milder and, therefore, they don't  
5 end up needing an event or that they don't occur.

6 I don't know whether you have an opinion  
7 about which way, but if you really argue that it  
8 decreases exacerbations and they don't occur, then  
9 there should be a decreased rate of decline of lung  
10 function, and that you clearly didn't see.

11 DR. KESTEN: Those are both very, very  
12 interesting questions and you've asked me to a  
13 speculate a bit, so I'm more than pleased to do so.

14 So the issue of how is this drug working,  
15 which was, I think, your earlier question, is I do  
16 believe it is providing improvements in lung  
17 volumes and airflow and having that in a sustained  
18 manner that you can tolerate triggers or insults.

19 So in the true sense of the word, it  
20 doesn't prevent the trigger. It doesn't prevent  
21 the insult from occurring. I don't think other  
22 drugs will prevent the trigger or insult from



1 occurring. It's then your ability to tolerate what  
2 happens and the reserve that you have available  
3 that mitigates the consequences.

4           So if you have this much volume, your IRV  
5 has shrunk down so low that any further reduction  
6 will get you into hospital. But if you have more  
7 room, then maybe instead of hospital, you go to the  
8 physician's office. Instead of going to the  
9 physician's office, on one occasion, maybe you were  
10 able to treat yourself at home without  
11 consequences. So I think it all is consistent with  
12 an understanding.

13           Then the next question you had is  
14 regarding the rate of decline. Perhaps when we  
15 went into this, we were too ambitious and too  
16 aggressive in saying we're going to allow  
17 everything to have a real world setting here. If  
18 you're asking, again, me to speculate, I think that  
19 there are so many confounders when you're allowing  
20 medications and treatments and options to occur  
21 during four years, that it's very difficult to see  
22 patterns.

1                   We have done a subgroup analysis, which  
2 we submitted to the American Thoracic Society just  
3 actually for the next year's meeting, where we're  
4 able to see, as a group, if you look at  
5 exacerbation frequency, there seemed to be a  
6 pattern with rate of decline, but not by treatment  
7 group, and I think there's a lot of confounding.  
8 And our understanding of the impact of  
9 exacerbations on rate of decline I think is still,  
10 if I may, a little too immature right now.

11                  DR. BRANTLY: Dr. Knoell and then Dr.  
12 Wolfe.

13                  DR. KNOELL: Daren Knoell. Again, I just  
14 wanted to follow-up with the last question. So,  
15 obviously, tiotropium affects the lung by causing  
16 bronchodilation. You show us nice evidence that  
17 that does happen, although it doesn't influence the  
18 rate.

19                  Inflammation, we predict that this has  
20 nothing to do with inflammation, which I think kind  
21 of undermines what -- I shouldn't say undermine --  
22 gets at what you were trying to tell us.

1           What I want to know is do you have any  
2 corollaries with this cohort where you've actually  
3 tracked indices of inflammation to see if there is  
4 modulation of that over time, in any way, shape or  
5 form?

6           DR. KESTEN: So the question is about  
7 inflammation and antimuscarinics and particularly  
8 tiotropium. There is data published in the basic  
9 science arena showing potential anti-inflammatory  
10 effects of anticholinergics, a number of studies  
11 actually published, including data with tiotropium,  
12 not in man, not in COPD, in Petrie dishes and  
13 animals.       Clinical significance is entirely  
14 unclear and unknown. So there are some factors  
15 that may be at play that we don't understand.

16           As well, there is also the potential of  
17 indirect anti-inflammatory effects. For example,  
18 lung stretch actually is pro-inflammatory. We know  
19 that from the ICU literature. So if you're  
20 reducing hyperinflation and stretch on muscles,  
21 potentially, you have an indirect mechanism there.  
22 However, that being said, I think the major

1 mechanism is going to be the sustained airway  
2 patency and lung volume reduction.

3           Then to your last question, we did not  
4 measure inflammatory indices in that trial. We  
5 have some information from a smaller trial where  
6 IL6 was measured and IL8 and we didn't see any  
7 changes.

8           DR. BRANTLY: Dr. Wolfe, you have the  
9 last question here.

10           DR. WOLFE: This is a slight corollary of  
11 other people's questions and you've given a partial  
12 answer. You were overenthusiastic. But let's go  
13 back to UPLIFT study design.

14           You were, obviously, aware of what other  
15 medicines people were taking. What was the  
16 thinking on the part of the company as to designate  
17 as the co-primary endpoints the yearly rate of  
18 decline in pre and post-FEV1?

19           What was the mechanism that you thought  
20 would be operating in addition to or independent of  
21 the other meds? That's a question, because it was  
22 a mistake, as it turns out. But I just want to

1     hear, if possible, what the thinking was.

2                     What did you think was going to happen?

3                     DR. KESTEN:   Thank you for that, because  
4     you always look back retrospectively at what you  
5     did and why you've done it.   When we looked at the  
6     Phase III trials, we saw effects that were  
7     sustained with quality of life and we saw  
8     improvements in lung function with once daily  
9     dosing.   We saw reductions in exacerbations. And we  
10    said, you know, if you put all this together and  
11    you're providing sustained airway patency, all  
12    these things must suggest maybe we do have  
13    something here that could have a long-term impact  
14    on the rate of decline, and other factors, such as  
15    if we believe that the drug may be influencing  
16    activity levels or exercise tolerance, the ability  
17    to participate.

18                    We know that being a couch potato is not  
19    a good thing.   So we put all that together and  
20    said, you know what, let's test this out.   We had,  
21    also, a number of people coming to us who are  
22    reputable in the pulmonary field and said, "This is

1 a reasonable hypothesis to test."

2           It's really not much more than that into  
3 it and we sought to test it. We did include,  
4 though, other important clinical endpoints, because  
5 we did believe it's important to follow  
6 measurements of symptoms such as quality of life  
7 and exacerbations over the time and the lung  
8 function. So those were important secondaries for  
9 us.

10           DR. WOLFE: But, again, what did you  
11 think the mechanism was going to be for this  
12 differential -- didn't happen -- improvement in  
13 terms of FEV1? How did you think that was going to  
14 work in the face, again, of all these other  
15 medicines that you know people were taking?

16           DR. KESTEN: From the mechanistic point  
17 of view, the thinking was that airway collapse,  
18 which you have when you use short-acting agents or  
19 shorter-acting agents, over the long term, is pro-  
20 inflammatory and can have consequences. So the  
21 issue of airway collapse may influence into that  
22 and providing airway patency.

1           When you are reducing exacerbations, as  
2 we saw in the Phase III registration trial, which  
3 was a consistent finding, that it's possible that  
4 when you have more severe exacerbations, there are  
5 going to be long-term impacts on lung structure and  
6 function.

7           So by reducing them, preventing them or  
8 reducing the consequences, you may lower  
9 inflammatory burden and structural changes over  
10 time. So our thoughts were exacerbations, yes,  
11 that fits in; the issue of airway collapse, that  
12 fits in; and then these indirect measures of people  
13 indicating that their quality of life is better,  
14 that they're up and around, which also was thinking  
15 that this could impact long-term function in terms  
16 of getting the lungs open rather than having them  
17 around with constricted volumes.

18           DR. WOLFE: Just to follow-up. If that  
19 was what your thought was, that if I neutralize  
20 some of the inflammatory effects, why was there not  
21 more measurement, as I think you responded to  
22 someone, about inflammatory processes, such as, Dr.

1 Platts-Mills, looking at intercurrent infections,  
2 other measures of improvement in terms of  
3 inflammation?

4 DR. KESTEN: I think it's a valid point.  
5 The problem is if we had a single biomarker or even  
6 a few biomarkers which we could rely on for this  
7 disease, then I'd say, yes, we should have done  
8 that.

9 But the problem is, and even now, this  
10 day, unfortunately, we don't have reliable  
11 inflammatory markers, biomarkers that reflect the  
12 ongoing progression of the disease. We have  
13 biomarkers and cytokines that go up during certain  
14 periods. A lot of them are nonspecific. It's been  
15 a very complex disease to follow. So there wasn't  
16 a marker, and there still isn't one we can rely on.  
17 Actually, the NIH is funding several approaches. I  
18 know Dr. Chowdhury is involved in some of this.

19 Then there's the issue of, well, okay,  
20 you're doing this 6,000-patient trial over four  
21 years around the world. If you happen to show  
22 something on a biomarker, but you didn't actually



1 show anything clinically, people would just say,  
2 "Okay. Well, the biomarker didn't mean much."

3 DR. BRANTLY: I'm going to actually stop  
4 the discussion at this point and remind the  
5 committee that we have another opportunity during  
6 the discussion phase to ask the sponsor questions,  
7 if we wish.

8 We have now a 15-minute break. I'd like  
9 you to return at 10:20. Again, I also want to  
10 remind committee members that any discussion about  
11 the topic should be withheld. Thank you.

12 (Whereupon, a recess was taken.)

13 DR. BRANTLY: Thank you very much. We're  
14 going to begin right now. I'd like to begin with  
15 the FDA presentations. Dr. Michele will be  
16 presenting.

17 DR. MICHELE: Good morning. My name is  
18 | Terr<sup>i</sup> Michele and I'm adult pulmonologist with FDA  
19 |  
19 in the Division of Pulmonary and Allergy Products.  
20 On behalf of the division, it's my pleasure to once  
21 again welcome you to Washington.

22 I'd like to thank Dr. Brantly and members

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1 of both the Pulmonary and Allergy Drug Advisory  
2 Committee, as well as our guest members from the  
3 Drug Safety and Risk Management Committee for being  
4 here today to share your expertise.

5 Over the next 45 minutes or so, I will be  
6 walking you through data from NDA 21-395 efficacy  
7 supplement for the approved drug product Spiriva  
8 HandiHaler, specifically focusing on information  
9 related to two primary objectives that you already  
10 heard from Dr. Chowdhury this morning; number one,  
11 to discuss the efficacy claim for the reduction of  
12 exacerbations in patients with chronic obstructive  
13 pulmonary disease; and, number two, to discuss  
14 three potential safety issues of stroke, myocardial  
15 infarction and cardiovascular mortality, and all  
16 cause mortality.

17 To begin, I will present some background  
18 information on Spiriva HandiHaler before moving on  
19 to efficacy data from the application. Here, I  
20 will cover two studies, Protocol 205.266, which  
21 I'll refer to as the VA study, because it was  
22 conducted in a Veterans' Affairs setting here in

1 the United States, and Protocol No. 205.235, which  
2 I'll refer to by its acronym of UPLIFT.

3           While I will touch on data for other  
4 efficacy claims in the application, the focus will  
5 be our first objective, COPD exacerbations. Next,  
6 I will move on to safety data from the UPLIFT  
7 trial, with a focus on our three potential safety  
8 issues of stroke, cardiovascular events, and all  
9 cause mortality.

10           For the final portion of the talk, I'm  
11 going to bring in data from the unapproved, but  
12 related product, Spiriva Respimat, that we feel is  
13 pertinent to a complete understanding of all of the  
14 safety issues for Spiriva HandiHaler. As you've  
15 already heard, Spiriva Respimat is another  
16 formulation of tiotropium bromide that's currently  
17 under development.

18           In order to avoid confusion with the  
19 Spiriva HandiHaler data, I will attempt to be very  
20 clear when I switch from talking about Spiriva  
21 HandiHaler to Spiriva Respimat and I'll highlight  
22 the differences between the products.

1           We will begin with the background.

2   Spiriva HandiHaler is a dry powdered formulation of  
3   tiotropium bromide, which, as you know, is a long-  
4   acting anticholinergic. It was approved in the  
5   United States in January of 2004 as a once-daily  
6   dose of 18 micrograms.

7           Again, as you've heard, the current  
8   indication for Spiriva HandiHaler is for the long-  
9   term, once-daily maintenance treatment of  
10   bronchospasms associated with chronic obstructive  
11   pulmonary disease, including chronic bronchitis and  
12   emphysema.

13           In this NDA supplement, the applicant has  
14   proposed the following efficacy claim: a  
15   description on the long-term effects of Spiriva  
16   HandiHaler on lung function, a reduction in  
17   exacerbations, which is the topic of this meeting.  
18   They've also proposed the following safety claims:  
19   a reduction in mortality, which was withdrawn by  
20   the applicant in July of this year, and a reduction  
21   in respiratory failure. And we'll touch on each of  
22   these claims during the discussion.

1           As I've already alluded to, there were  
2   two clinical trials submitted in support of this  
3   efficacy supplement. Trial No. 205.266, the VA  
4   study, was a six-month trial in approximately 1,800  
5   patients conducted in the U.S. and Trial No.  
6   205.235, the UPLIFT trial, was a four-year  
7   multinational study in about 6,000 patients.

8           With that background on the application,  
9   I will now turn your attention to the efficacy data  
10   for Spiriva HandiHaler. You've already heard from  
11   Dr. Kesten about the design of the VA study. I  
12   would just like to point out a few key similarities  
13   and differences between the VA study and other  
14   trials in the Spiriva HandiHaler dataset, in  
15   particular, UPLIFT.

16           Similar to other trials in the HandiHaler  
17   program, the VA study enrolled patients with  
18   moderate to severe COPD. Now, the VA study was a  
19   very real world study, with enrollment criteria  
20   that are the most liberal of any in the HandiHaler  
21   program.

22           Patients were permitted to be on any

1 pulmonary medication, except for anticholinergics.  
2 Oral steroids were permitted at a dose of up to 20  
3 milligrams today in contrast to the UPLIFT study,  
4 where it was capped at 10 milligrams per day. And  
5 patients were also permitted to be on any duration  
6 oxygen therapy.

7           The study was designed to look at COPD  
8 exacerbations, with the two primary endpoints being  
9 the proportion of patients with a COPD exacerbation  
10 and the proportion of patients hospitalized for a  
11 COPD exacerbation.

12           I will not be presenting any safety data  
13 from the trial, because only serious adverse events  
14 were collected in this trial and the study was of  
15 relatively short duration, six months. You do have  
16 this data, however, available in your background  
17 packages.

18           In the VA study, a COPD exacerbation was  
19 defined as a complex of respiratory symptoms that  
20 were either increased or of new onset with a  
21 duration of greater than or equal to three days.  
22 These symptoms included cough, sputum, wheezing,

1   dyspnea and chest tightness.

2               There was also a requirement for  
3   treatment with either prescription antibiotics,  
4   systemic corticosteroids, hospitalization or any  
5   combination thereof.

6               The primary endpoints for this study are  
7   highlighted in the table in yellow and I've also  
8   listed several secondary endpoints that are  
9   pertinent to labeling claims. As you can see, the  
10   study met the first primary endpoint with a  
11   reduction in the proportion of patients with an  
12   exacerbation and a trend towards a decreased number  
13   of patients with hospitalizations due to  
14   exacerbation. The time to first exacerbation and  
15   the number of exacerbations per patient year were  
16   also reduced.

17              Moving on to the UPLIFT study, for  
18   understanding potential long-term impacts on  
19   function with tiotropium, again, you've already  
20   heard about the design from the sponsor.

21              I would just point out that this was a  
22   very large study, nearly 6,000 patients followed

1 for a substantive period of time, four years,  
2 resulting in more than doubling the available  
3 safety database for the Spiriva HandiHaler program,  
4 with randomized controlled, placebo controlled  
5 data.

6           Note also the order of the endpoints,  
7 which becomes important later on when you hear the  
8 discussion of statistical issues for the program.  
9 The study was designed to show a difference in  
10 disease progression, as you've heard, with co-  
11 primary endpoints of the yearly rate of decline in  
12 trough or pre-bronchodilator FEV1 and the yearly  
13 rate of decline in post-bronchodilator FEV1. There  
14 were a number of secondary COPD endpoints.

15           Similar to the VA trial, UPLIFT was also  
16 a real world study, evaluating patients with  
17 moderate to severe COPD. In general, inclusion  
18 criteria are similar across all the Spiriva  
19 programs. Slightly less liberal than the VA study,  
20 patients were limited to steroid use of less than  
21 10 milligrams per day and oxygen use of less than  
22 12 hours per day. Note also that there was no



1 criteria for previous exacerbations used to enrich  
2 the patient population.

3 I'd like to spend just a moment on  
4 concomitant medication use for the trial, because  
5 there was some confusion in the literature on this  
6 point. Patients were permitted to be on a stable  
7 dose of all concomitant medications, other than  
8 anticholinergics. All anticholinergics, including  
9 long-acting, short-acting, combination  
10 anticholinergics and intranasal agents were  
11 prohibited by the protocol. The only exception to  
12 this was during life-threatening COPD  
13 exacerbations, when patients could be on any and  
14 all medications, including anticholinergics.

15 Looking at the demographics of the  
16 patients enrolled in the UPLIFT trial, as you heard  
17 from the sponsor, the population was predominantly  
18 white males around 65 years of age and the pre-  
19 bronchodilator FEV1 was just over a liter. As you  
20 can see, the groups appear to be fairly well  
21 matched.

22 Again, as you've heard from the sponsor

1 and you can see from this table, there was no  
2 difference between treatment groups in either of  
3 the primary endpoints, either pre or post-  
4 bronchodilator, rate of decline in FEV1.

5 Now, because there was a hierarchical  
6 testing approach to multiplicity, this raises some  
7 statistical concerns regarding the secondary  
8 endpoints that you'll hear from Dr. Buenconsejo in  
9 the next talk.

10 It doesn't, however, detract from the  
11 clinical data on COPD exacerbations that I'm about  
12 to present. As a litmus test for this, one of the  
13 things that we look at is if the study had been  
14 designed in a different way, with COPD  
15 exacerbations as the first primary endpoint and  
16 rate of decline as the secondary endpoint, would  
17 the study have been conducted any differently, and  
18 based on the design of the study, we believe that  
19 it would not have been.

20 Also of interest in the rate of decline  
21 data is the subgroup analysis in which sustained  
22 smokers had the highest rate of decline in FEV1

1 compared to sustained quitters, with intermittent  
2 smokers being somewhere in between. This provides  
3 a nice marker of internal validity for the trial.

4           So lest the panel be left with the  
5 impression that Spiriva HandiHaler has no effect on  
6 lung function, I wanted to show the graphical  
7 representation of the data. This, again, is the  
8 same slide that you saw from Dr. Kesten.

9           So we have time here on the X axis, FEV1  
10 on the Y axis, and Spiriva is here in the solid  
11 line, with placebo in the dotted line. You can see  
12 that the slopes of the lines look identical, but  
13 the difference between them, which represents about  
14 90 to 100 milliliters, is the bronchodilator effect  
15 of the drug, which is maintained throughout the  
16 four-year treatment period. This information  
17 augments the one-year data that's available in the  
18 current Spiriva HandiHaler label.

19           The definition of COPD exacerbation in  
20 the UPLIFT trial was very similar to that of the VA  
21 trial. The exception was that sputum purulence was  
22 considered as a symptom rather than chest

1   tightness. In both trials, the onset of an  
2   exacerbation was defined by the first symptom and  
3   the end was defined by the investigator.

4               In the analysis, two exacerbations were  
5   considered to be distinct if more than seven days  
6   occurred between exacerbations. In the statistical  
7   analysis plan, although there were a number of  
8   secondary endpoints for COPD exacerbations, two  
9   were defined as key, the time to first exacerbation  
10   and the time to first exacerbation leading to  
11   hospitalization.

12              For comparison purposes, I've laid out  
13   this table in an identical format to what you saw  
14   for the VA study. In UPLIFT, Spiriva HandiHaler  
15   significantly increased the time to first  
16   exacerbation, with a difference of nearly four  
17   months, as well as the time to first exacerbation  
18   leading to hospitalization.

19              There was no difference in the proportion  
20   of patients with an exacerbation or the proportion  
21   of patients with hospitalization due to an  
22   exacerbation, which were the two primary endpoints

1 for the VA trial. This is not surprising, because  
2 the trials were designed to measure different  
3 aspects of COPD exacerbations. Since UPLIFT was a  
4 long-term trial, most of the patients who were  
5 susceptible to COPD exacerbation presumably had  
6 one, limiting the usefulness of this endpoint.

7           Looking at the data graphically, we have  
8 time here on the X axis and the probability of COPD  
9 exacerbations on then Y axis. You'll see that the  
10 curve that you just saw from the sponsor is the  
11 inverse of this.

12           You can see here a separation between the  
13 two curves, which begins at about six months, is  
14 wider by 12 months, and is maintained throughout  
15 the four-year treatment period.

16           So to summarize the efficacy data from  
17 this application, there was no difference between  
18 Spiriva HandiHaler and placebo in rate of decline  
19 of FEV1, although the bronchodilator effect was  
20 maintained. The VA and UPLIFT trials support  
21 benefit on COPD exacerbations. Then we'll ask you  
22 to weigh that against the statistical

1 considerations related to multiplicity.

2           Now that you've heard about efficacy, I'm  
3 going to switch gears and talk about safety. As I  
4 mentioned early on, I'm going to limit my  
5 discussion of safety data for Spiriva HandiHaler to  
6 the UPLIFT trial. Again, to remind you of the  
7 objectives of the meeting to think about as you  
8 hear the data, we'll focus on three potential  
9 safety concerns; stroke, cardiovascular events, and  
10 mortality.

11           Two of these potential safety signals,  
12 stroke and cardiovascular, are related to Spiriva  
13 HandiHaler and I will discuss them in this portion  
14 of the presentation on Spiriva HandiHaler.

15           A mortality imbalance in favor of placebo  
16 was observed in the Spiriva Respimat Phase III  
17 clinical trial. So while I'll present a  
18 substantial amount of mortality data from the  
19 UPLIFT trial in this portion of the presentation,  
20 we won't get to a full discussion of the mortality  
21 issue until we get to the Respimat data.

22           As you heard earlier, the potential

1 stroke signal comes from a pooled analysis of  
2 safety data from 29 placebo controlled trials, 25  
3 with Spiriva Respimat and four with Spiriva --  
4 that's backwards -- 25 with Spiriva HandiHaler and  
5 four with Spiriva Respimat, creating a combined  
6 dataset of over 13,500 patients.

7           As with most exploratory safety reviews,  
8 there were no corrections for multiplicity. In  
9 this analysis, the risk ratio for adverse events of  
10 combined stroke terms was 1.37 for tiotropium  
11 compared to placebo, translating to approximately  
12 two excess cases of stroke per 1,000 patient years  
13 on therapy. Note that the confidence intervals for  
14 this risk ratio include 1, indicating that the  
15 result is not statistically significant.

16           Based on this analysis and in keeping  
17 with the agency's mandate for increased  
18 transparency of ongoing safety reviews, FDA issued  
19 an early communication to the public regarding the  
20 potential signal of stroke in March of 2008.

21           The potential safety signal for adverse  
22 cardiovascular events comes from a meta analysis

1 published in September of 2008. The analysis  
2 included 17 randomized active and placebo  
3 controlled studies for the combined outcome of  
4 cardiovascular death, MI or stroke. Studies of  
5 both Spiriva HandiHaler and the short-acting  
6 anticholinergic, ipratropium, were included.

7 In this meta analysis, the risk ratio for  
8 major adverse cardiovascular events was reported as  
9 1.58 for anticholinergics; remember, both  
10 HandiHaler and ipratropium versus control, both  
11 placebo and active. You will hear about the meta  
12 analysis in detail from Dr. Simone Pinheiro in the  
13 Office of Surveillance and Epidemiology.

14 Based on this meta analysis, FDA issued  
15 an early communication update for Spiriva  
16 HandiHaler to the public in October of 2008.

17 So now that we have an understanding of  
18 the potential safety signals involving Spiriva  
19 HandiHaler, I'll turn your attention to the safety  
20 design of the UPLIFT trial. All adverse events  
21 were collected in an ongoing fashion for the entire  
22 four-year study period of UPLIFT. Stroke and



1 combined stroke terms were predefined as an adverse  
2 event of interest in the statistical analysis plan.

3 All adverse events were monitored on a  
4 yearly basis by an independent data safety  
5 monitoring board. In addition to adverse event  
6 monitoring, the UPLIFT protocols prespecified  
7 prospective vital status collection, including  
8 cause of death on all discontinued patients.

9 So in other words, if a patient  
10 discontinued from the trial, he or she was  
11 followed-up until when the trial would have ended  
12 for that patient to determine if he was alive or  
13 dead.

14 A mortality adjudication committee,  
15 consisting of two pulmonologists and one  
16 cardiologist, independently assigned the cause of  
17 death for all 941 patients who died during the  
18 trial. The assignment was based on a review of  
19 primary data sources, such as narratives, death  
20 certificates, autopsy reports, and serious adverse  
21 event reports. Importantly, the committee assigned  
22 cause of death in predefined death categories

1 rather than by MedDRA preferred terms, which  
2 allowed prospective clinical grouping of  
3 categories, such as COPD, MI and stroke.

4           This table shows serious adverse events  
5 from the UPLIFT trial which occurred in at least 1  
6 percent of patients in either treatment group.  
7 Note that the rates are unadjusted for patient  
8 years. You can see the most common serious adverse  
9 events were cardiac and pulmonary, with COPD  
10 exacerbations and pneumonia leading the list.

11           Serious adverse events were generally  
12 balanced between treatment groups. There was a  
13 slight increase in angina events, but coronary  
14 artery disease and myocardial infarction were  
15 numerically decreased relative to placebo. For  
16 pulmonary events, COPD exacerbations and  
17 respiratory failure were decreased in the Spiriva  
18 HandiHaler group compared to placebo.

19           Looking more closely at respiratory  
20 failure, there is a statistically significant  
21 decrease, with a risk ratio of 0.67 compared to  
22 placebo. Based on this finding, Boehringer

1     Ingelheim has requested a safety claim for  
2     reduction of respiratory failure.

3             However, there are several issues with  
4     this claim. First, the claim was not predefined in  
5     the protocol. As such, it's completely subject to  
6     interpretation by investigators at nearly 500  
7     different sites in 37 countries.

8             Compounding the problem is the fact that  
9     there are multiple different MedDRA terms for  
10    respiratory failure. There is respiratory failure  
11    itself, which is what is shown here, and then  
12    there's acute respiratory failure and chronic  
13    respiratory failure, respiratory arrest, and a  
14    number of others. So finally, as this finding is  
15    part of the safety analysis, there is no correction  
16    for multiplicity.

17            Moving on to the previously identified  
18    potential safety signal of stroke, in the UPLIFT  
19    trial, the risk ratio for adverse events  
20    categorized under the combined stroke term was 0.93  
21    for Spiriva HandiHaler compared to placebo -- I'm  
22    sorry -- 0.95, right here.

1           Stroke was a predefined category used by  
2 the Mortality Adjudication Committee, providing  
3 confidence that there are not other causes of fatal  
4 stroke coded under different terms. While these  
5 results are reassuring, the upper bound of the  
6 confidence interval is greater than 1. So a small  
7 increase in stroke in the Spiriva HandiHaler group  
8 cannot be ruled out.

9           Cardiovascular safety was assessed in the  
10 UPLIFT trial by evaluation of adverse events and  
11 cause of death. A post-hoc analysis evaluated the  
12 combined term of cardiovascular death, which  
13 included all serious adverse events with an outcome  
14 of death in the cardiac and vascular system organ  
15 classes, in addition to the term "sudden death" and  
16 "sudden cardiac death."

17           Myocardial infarction was not increased  
18 in the Spiriva HandiHaler group compared to  
19 placebo, with a risk ratio of 0.73. Note that the  
20 upper bound of the confidence interval is not  
21 greater than 1 for both adverse events and serious  
22 adverse events for MI.

1               Likewise, cardiovascular death was not  
2   increased in the UPLIFT trial, with a risk ratio of  
3   0.73 and the upper bound of the confidence interval  
4   below 1.

5               This brings us to a discussion of  
6   mortality in the UPLIFT trial. As you can see from  
7   this table, overall mortality was significantly  
8   decreased in the Spiriva HandiHaler group compared  
9   to placebo, a finding that persisted across a  
10   variety of different analyses, with the risk ratio  
11   ranging from 0.83 to 0.89, depending on how it was  
12   calculated.

13              Just looking here, this first line is on  
14   treatment mortality at the end of the four-year  
15   treatment period. This includes the 30-day follow-  
16   up. This next line includes vital status out to the  
17   end of the planned treatment period, and this is  
18   vital status with a 30-day follow-up.

19              Based on this calculation, the difference  
20   in mortality rates translates to a number needed to  
21   treat of around 100 patients over a four-year  
22   period to prevent one death.

1                   Here is the Kaplan-Meier plot of the  
2 mortality data for adjudicated on treatment death  
3 at day 1470. You've got time on the X axis, the  
4 probability of all cause death on the Y axis. You  
5 can see that the curves start to separate here at  
6 about 12 months and the separation is greater the  
7 longer you follow the patients out.

8                   Over the four-year treatment period, the  
9 most frequent cause of death was COPD exacerbation,  
10 followed by lung cancer, which is not unexpected in  
11 this patient population. Comparing rates, you can  
12 see that there were fewer deaths in the Spiriva  
13 HandiHaler group compared to placebo for most  
14 categories, although the overall reduction was  
15 driven largely by a decrease in COPD exacerbations,  
16 which approached statistical significance with a  
17 risk ratio of 0.79. This gives a potential  
18 mechanism for the observed mortality reduction  
19 that's consistent with a postulated mechanism of  
20 the drug.

21                   You've already seen this data, as well.  
22 This is a forest plot of the subgroup analysis for

1 mortality and, as you can see, the hazard ratio was  
2 generally favorable towards Spiriva across all  
3 subgroups, including age, gender, Gold Stage and  
4 concomitant medication use.

5           Note that the anticholinergic subgroup  
6 shown in this slide represents baseline use and do  
7 not imply concomitant anticholinergic use during  
8 the trial.

9           So in conclusion, the data do not suggest  
10 a stroke or cardiovascular safety signal in the  
11 UPLIFT trial, although some of the confidence  
12 intervals were rather wide. Overall, the data  
13 support a decrease in mortality in the Spiriva  
14 HandiHaler group compared to placebo, with several  
15 factors in favor of this finding.

16           First, UPLIFT was a very large trial,  
17 which more than doubles the size of the available  
18 safety database for Spiriva HandiHaler. There was  
19 a prespecified mortality analysis, with an  
20 independent committee to adjudicate cause of death  
21 and prespecified vital status collection. In  
22 addition, the finding persist across several

1 different analyses and a plausible mechanism,  
2 namely, reduction in COPD exacerbations, was  
3 demonstrated.

4           But since this is a major claim to even  
5 describe in the label, substantive evidence must be  
6 demonstrated. I would ask you to consider the  
7 strength of the evidence in your discussions this  
8 afternoon. Complicating any potential claim is the  
9 mortality imbalance in the Respimat trial, which we  
10 will now turn our attention to.

11           So far, we've reviewed only data from  
12 Spiriva HandiHaler, primarily from the UPLIFT  
13 trial, and have covered the exacerbation claim and  
14 potential safety signals of stroke and  
15 cardiovascular events. The remainder of the  
16 presentation will focus on Spiriva Respimat, with a  
17 discussion of the adverse mortality imbalance  
18 observed in one-year trials.

19           In contrast to the dry powdered  
20 formulation of tiotropium that's observed on the  
21 Spiriva HandiHaler, Spiriva Respimat is a solution  
22 formulated as an inhalational spray. It was tested



1 in Phase III trials in both 5 and 10 microgram  
2 doses.

3           The 5 microgram dose was approved in  
4 Europe, as you've heard, in 2007 and the European  
5 indication is a maintenance bronchodilator  
6 treatment to relieve symptoms in patients with  
7 COPD. We have here a picture of the Respimat  
8 device.

9           So I believe this slide will attempt to  
10 address some of Dr. Knoell's questions that he  
11 asked earlier. Comparing the systemic  
12 pharmacokinetics of the Respimat with the  
13 HandiHaler, here is a graph of the mean tiotropium  
14 plasma concentrations over time. So here's time  
15 and the plasma concentration on the Y axis.

16           The open triangles on the bottom are  
17 Spiriva HandiHaler 18 micrograms. The closed  
18 circles are Spiriva Respimat 5 micrograms, which  
19 are just slightly above, and substantially above,  
20 in the open circles, are Spiriva Respimat 10  
21 micrograms. Note that this study is one of the  
22 trials in Caucasian that as mentioned by Dr. Disse.

1 The numbers look just slightly different in a study  
2 performed in Japan.

3           These PK data are consistent with the  
4 clinical adverse event profile from the Spiriva  
5 Respimat trial, which demonstrates increased  
6 systemic anticholinergic adverse events of dry  
7 mouth in patients receiving a 10 microgram dose  
8 compared to those who got the 5 microgram dose.

9           I'll also caution you that while the PK  
10 data between the Respimat 5 micrograms and the  
11 HandiHaler appear to be similar, the  
12 pharmacodynamic profile, as you heard from Dr.  
13 Chowdhury, of a locally acting product may not be  
14 comparable, given the fact that there are different  
15 aerodynamic particle size distributions, flow  
16 characteristics and lung deposition.

17           Thus far, there have been three one-year  
18 Spiriva Respimat trials in COPD patients showing a  
19 mortality imbalance in favor of placebo. All three  
20 trials were large, multinational studies. Trial  
21 numbers 205.254 and 205.255 were identically  
22 designed trials with three parallel treatment

1 groups -- Spiriva Respimat 5 micrograms, Spiriva  
2 Respimat 10 micrograms, and placebo.

3           The first primary endpoint for the trials  
4 was trough FEV1, with a planned pooling of data  
5 from the two trials for other endpoints, including  
6 COPD exacerbations. When the data were unblinded  
7 for these trials and a mortality imbalance was  
8 observed, Boehringer Ingelheim went back  
9 retrospectively and collected vital status data on  
10 patients who had discontinued from the trial to see  
11 if the imbalance could be explained by a healthy  
12 survivor effect in the placebo group occasioned by  
13 differential dropouts. Remarkably, the company was  
14 able to collect vital status and cause of death on  
15 97 to 98 percent of patients in the trial.

16           The third trial, number 205.372, was a  
17 double blind, parallel group study comparing  
18 Spiriva Respimat 5 micrograms to placebo. This  
19 trial was designed primarily to look at COPD  
20 exacerbations. Vital status and cause of death  
21 were collected prospectively, similar to the  
22 fashion that you heard about for the UPLIFT trial.

1           The enrollment criteria for the Respimat  
2 trials were similar to those of the HandiHaler  
3 program and demographics were generally balanced  
4 across treatment groups.

5           I show here the combined demographics for  
6 all patients in the three Respimat trials that  
7 we're discussing. Overall, the demographics are  
8 remarkably similar to those in UPLIFT for Spiriva  
9 HandiHaler, with patients being predominantly white  
10 males, with a mean age of 65 and a baseline FEV1 of  
11 just over a liter.

12           The one difference that I would point out  
13 is here in Trial 372, where we have nearly 30  
14 percent of the population being Asian. There were  
15 a number of sites in this trial in both India and  
16 China.

17           Turning our attention to Trials 205.254  
18 and 205.255, bronchodilator efficacy was  
19 demonstrated, with both active treatment groups  
20 showing a significant improvement in trough FEV1 at  
21 48 weeks of between 113 to 161 milliliters. While  
22 the 10 microgram group did show a larger treatment

1 effect in each study than the 5 microgram group,  
2 the difference between the active treatment groups  
3 was not statistically significant.

4           Now, looking at mortality in these  
5 trials, there was a small imbalance in favor of  
6 placebo in each trial. For Protocol 205.254, the  
7 numbers are seven in the Spiriva Respimat 5  
8 microgram group and five in the placebo group. For  
9 Protocol 205.255, we have five deaths in the  
10 Respimat 5 microgram group compared to none in the  
11 placebo group.

12           While these numbers do look a bit better  
13 with inclusion of vital status, the imbalance does  
14 not go away completely and the percentages don't  
15 quite add up here, because they're calculated based  
16 on Kaplan-Meier estimates that adjust for censored  
17 observations in the denominator.

18           While the numbers for Spiriva Respimat  
19 are fairly consistent between the trials, the  
20 placebo numbers are quite variable. Given the  
21 patient population, it's extremely unusual to have  
22 no deaths in a group of patients followed for a

1 year.

2           Looking at cause of death in these  
3 trials, you can see that no particular pattern  
4 emerges and the numbers overall are small compared  
5 to what you saw in the HandiHaler trial.

6 Myocardial infarction is slightly increased in the  
7 Respimat 5 microgram dose group, but there's no  
8 dose effect, so it kind of calls into question any  
9 conclusions that might be drawn from this result.

10           Looking at our third Respimat trial,  
11 number 372, both primary endpoints were met, with a  
12 significant improvement in trough FEV1, as well as  
13 an increase in the time to first COPD exacerbation.  
14 As you can see from this table, most of the  
15 secondary COPD exacerbation endpoints, including  
16 the proportion of patients with an exacerbation,  
17 the time to first exacerbation leading to  
18 hospitalization, and the number of exacerbations  
19 per patient year were also met.

20           Unfortunately, mortality was also  
21 increased in the Spiriva Respimat group, with a  
22 rate ratio ranging from 1.54 to 1.29, with

1 inclusion of vital status and 30-day follow-up  
2 data.

3           As you can see from an analysis of the  
4 cause of death, there is no particular signal that  
5 jumps out as driving the mortality imbalance.  
6 There were a few more lung cancer deaths in the  
7 Respimat group, but most of these occurred in the  
8 first 100 days of therapy, suggesting that they  
9 were a preexisting condition.

10           So to summarize, the Respimat data show a  
11 mortality imbalance in favor of placebo. The death  
12 rate in the Respimat group is not unexpected for  
13 the population, but the placebo rate is variable.  
14 There are no obvious other safety signals that  
15 could be linked to death in these studies.

16           As I pointed out previously, Spiriva  
17 Respimat is a completely different drug product  
18 from Spiriva HandiHaler that contains the same drug  
19 substance, tiotropium bromide. So the relevance of  
20 these data to Spiriva HandiHaler is unclear and I  
21 would leave it to the committee to consider during  
22 your deliberations.

1           Coming back to our outline, we've covered  
2 efficacy and safety data for Spiriva HandiHaler,  
3 along with pertinent mortality data from Spiriva  
4 Respimat. I will now bring us back to our  
5 objective for this session with some ideas to keep  
6 in mind for your discussion this afternoon.

7           Regulatory science is seldom black and  
8 white and this application is no exception. We've  
9 asked you to comment on the pros and cons of three  
10 different issues. First, do the data from the VA  
11 and UPLIFT trials provide substantial and  
12 convincing evidence that Spiriva HandiHaler reduces  
13 COPD exacerbations? While the data are supportive  
14 of the claim, you'll have to weigh this against the  
15 statistical issue of multiplicity due to failure of  
16 the primary endpoint in the UPLIFT trial.

17           Second, you're asked if the data from the  
18 UPLIFT trial adequately addresses the potential  
19 safety signals of stroke and cardiovascular events.  
20 On the one hand, you've got UPLIFT, which is the  
21 gold standard, randomized, placebo controlled,  
22 large trial with long duration of follow-up. On



1 the other hand, you've got these signals from  
2 pooled data and from meta analyses.

3           While the UPLIFT data do not suggest an  
4 increase in stroke or cardiovascular events, as  
5 I've pointed out, some of the confidence intervals,  
6 particularly for stroke, are rather wide.

7           Finally, you're asked to comment on the  
8 mortality in the UPLIFT trial, which showed a  
9 statistically significant mortality benefit in a  
10 large trial, with prespecified outcome measures for  
11 death. But complicating the issue are the three  
12 Respimat trials, with the mortality imbalance in  
13 favor of placebo.

14           So with that, I'll conclude the clinical  
15 portion of the presentation and turn the podium  
16 over to Dr. Joan Buenconsejo, the statistical  
17 reviewer for this application. Thank you for your  
18 attention.

19           DR. BUENCONSEJO: Thank you, Dr. Michele.

20           Good morning. I am Joan Buenconsejo and  
21 I'm a statistical reviewer supporting the Division  
22 of Pulmonary and Allergy Products.

1           The focus of my presentation is on the  
2 claim in the reduction of COPD exacerbation. I  
3 will also present results from both the UPLIFT  
4 study and the VA study. I will touch briefly on  
5 the mortality results from the UPLIFT study and the  
6 Respimat studies.

7           Dr. Lee had a slightly different view  
8 statistically on the claims in reduction of COPD  
9 exacerbation and on the mortality, and these are  
10 all presented in the briefing package. In this  
11 presentation, I am presenting my views.

12           The UPLIFT is a four-year study with  
13 almost 6,000 patients to compare the efficacy and  
14 safety of tiotropium versus placebo. The co-  
15 primary endpoints for the UPLIFT study are the  
16 yearly rates of decline in the pre and the post-  
17 bronchodilator FEV1 from day 30 to the end of  
18 double blind treatment. I will explain what co-  
19 primary means in this application later.

20           The primary analysis was conducted on all  
21 treated patients with at least three acceptable  
22 spirometric test sets. The goal was to compare the

1 yearly rates of decline in the pre and the post-  
2 FEV1 between the two treatment groups, and these  
3 are analyzed using a random effects model.

4           In the model, the pre and the post-  
5 bronchodilator FEV1 were assumed to follow linear  
6 trends over time. The intercept and slope were  
7 random coefficients and their covariance matrix  
8 were assumed to be unstructured. Several  
9 sensitivity analyses and subgroup analyses were  
10 performed for the primary endpoint.

11           The sponsor indicated two key secondary  
12 endpoints related to COPD exacerbation. These are  
13 the time to first COPD exacerbation and the time to  
14 first hospitalization due to COPD exacerbation.  
15 Both applied log rank tests and the hazard ratio  
16 was calculated using Cox regression.

17           The following is the multiplicity  
18 adjustment the applicant proposed in their  
19 statistical analysis plan prior to unblinding.  
20 First, the applicant proposed a sequential testing  
21 of the co-primary endpoints. The rate of decline  
22 in the pre-bronchodilator FEV1 is tested first at

1 0.049. If this is significant, then the rate of  
2 decline in the post-bronchodilator FEV1 is tested.

3 In parallel to this, the number of  
4 exacerbations leading to hospitalization will also  
5 be tested at 0.001. It is clear from this that the  
6 co-primary does not imply that the applicant has to  
7 win on both pre and post to get the claim of  
8 difference. Instead, this is done sequentially.

9 Now, for step two, if both co-primaries  
10 are significant, then sequential testing of key  
11 secondary endpoints is proposed, which is the time  
12 to first COPD exacerbation is tested first; then,  
13 if significant, then the time to first  
14 hospitalization is tested.

15 Now, the P values were adjusted due to  
16 interim analysis. There is no statistical  
17 significant difference in the yearly rate of  
18 decline in either the pre and the post-FEV1 between  
19 tiotropium and placebo.

20 The rates of decline for the two  
21 treatment groups are almost identical.  
22 Nonetheless, there is evidence of treatment

1 difference in the mean pre-bronchodilator FEV1  
2 supporting the approved label claim. There is also  
3 evidence that the difference between treatment  
4 groups is maintained throughout the whole four  
5 years. That also supports the approved label  
6 claim.

7           Now, from a statistical standpoint, the  
8 primary endpoints did not win, and with a  
9 prespecified step-down approach, no secondary  
10 results can be considered statistically  
11 significant.

12           In the next two slides, I'm going to  
13 present the results from the analysis of COPD  
14 exacerbation. The risk of COPD exacerbation is 14  
15 percent lower in the tiotropium arm than placebo.  
16 The median time to first exacerbation is about four  
17 months longer in the tiotropium-treated patients  
18 than in the placebo-treated patients. However, the  
19 proportion of patients with at least one COPD  
20 exacerbation is not different between the treatment  
21 groups over the four-year period.

22           The risk of hospitalization due to COPD

1   exacerbation is also 14 percent lower in the  
2   tiotropium arm than in the placebo arm.  
3   Hospitalization also occurred sooner in the  
4   placebo-treated patients than in the tiotropium-  
5   treated patients.

6           I am now going to shift focus and give a  
7   brief background on the VA study. The VA study is  
8   a six-month study of close to 2,000 COPD patients.  
9   The co-primary endpoints for this study are the  
10   proportion of patients experiencing a COPD  
11   exacerbation during the six-month treatment period  
12   and the proportion of patients hospitalized for an  
13   exacerbation during the six-month treatment period.

14           The goal was to compare whether there is  
15   a treatment difference in these primary endpoints  
16   using the Cochran-Mantel-Haenszel test, with center  
17   as the stratifying variable.

18           The sponsor indicated several secondary  
19   endpoints related to COPD exacerbation. This  
20   includes time to first COPD exacerbation and time  
21   to first hospitalization. Both applied log rank  
22   tests and the hazard ratio is calculated using Cox

1 regression. Of note, the analysis of time to first  
2 COPD exacerbation is of particular interest in this  
3 submission if the sponsor is proposing this claim  
4 in the label.

5           The following is the multiplicity  
6 adjustment the applicant proposed in their  
7 statistical analysis plan. Similar to the UPLIFT  
8 study, sequential testing of the co-primary  
9 endpoint is proposed. The proportion of patients  
10 experiencing a COPD exacerbation during six months'  
11 treatment period is tested first; then if this is  
12 significant, then the proportion of patients  
13 hospitalized will be tested at 0.05.

14           No multiplicity adjustment was mentioned  
15 for the secondary endpoints, like the time to event  
16 endpoints. The percent of patients with a COPD  
17 exacerbation was statistically significantly lower  
18 for tiotropium compared to placebo. The proportion  
19 of patients hospitalized for exacerbation was not a  
20 statistically significant difference between  
21 tiotropium and the placebo.

22           Kaplan-Meier plots associated with each

1 of the primary efficacy endpoints are given in the  
2 next two slides. The risk of COPD exacerbation is  
3 lower in the tiotropium arm than in the placebo  
4 arm. Exacerbation occurred sooner in the placebo-  
5 treated patients than in the tiotropium-treated  
6 patients. Similarly, the risk of hospitalization  
7 is also lower in the tiotropium arm than in the  
8 placebo. Hospitalization due to exacerbation  
9 occurred sooner in the placebo-treated patients  
10 than in the tiotropium-treated patients.

11 In summary, there is evidence from the VA  
12 study that the odds of a COPD exacerbation are  
13 reduced by tiotropium relative to placebo.  
14 However, we can't ignore the fact that the  
15 prespecified co-primary endpoints did not win and  
16 that multiplicity adjustment procedure was  
17 prespecified. That approach the applicant chose  
18 does not allow one to make statistical inference at  
19 the secondary endpoints when primary endpoints did  
20 not win.

21 Now, I'm going to switch gears and  
22 discuss mortality. Like COPD exacerbation,



1 mortality is classified as a secondary endpoint.  
2 However, unlike COPD exacerbation, mortality can be  
3 considered as a primary endpoint if analyzed  
4 properly and supported by other studies.

5           D'Agostino, O'Neill and others argue that  
6 the usual reason for designating mortality as a  
7 secondary endpoint is that the trialist believes a  
8 priori that there is little chance a treatment spec  
9 will be observed given the sample size and the  
10 power to detect an effect on mortality. But if  
11 this is observed, statistically significant  
12 findings of mortality is important.

13           In the UPLIFT study, there is some  
14 suggestion of a benefit of tiotropium on treatment  
15 mortality. In general, the hazard ratio is about  
16 0.85, with a confidence interval lying entirely  
17 below the norm. The survival curve for the  
18 tiotropium arm is above the placebo.

19           Because a different result was observed  
20 in another Spiriva application using the Respimat  
21 delivery system, the result from the UPLIFT needs  
22 to be explored further. Of note, in the Respimat

1 application, more deaths were observed in the  
2 Spiriva Respimat treatment groups compared to  
3 placebo in a one-year trial.

4 In summary, there is some suggestion of a  
5 benefit of tiotropium using the HandiHaler system  
6 on treatment mortality. However, a different  
7 result was observed when the Respimat delivery  
8 system is used.

9 Thank you for your attention. Dr. Simone  
10 Pinheiro from the Office of Surveillance and  
11 Epidemiology is going to present her findings.

12 DR. PINHEIRO: Good morning. My name is  
13 Simone Pinheiro and I am an epidemiologist at the  
14 Division of Epidemiology in the Office of  
15 Surveillance and Epidemiology. Today, I will  
16 present a review of the safety evidence concerning  
17 tiotropium bromide from an epidemiology  
18 perspective.

19 In this presentation, I will first  
20 describe the published evidence that raised  
21 questions regarding the safety of tiotropium  
22 bromide. I will then present an evaluation of the

1 strength of this evidence, particularly in light of  
2 UPLIFT, a four-year placebo controlled trial  
3 previously discussed both by the applicant and more  
4 recently by Drs. Michele and Buenconsejo.

5           Following, I will present a brief summary  
6 of findings of other studies. These include meta  
7 analysis of randomized trials that have been  
8 published in the literature and observational  
9 studies.

10           I will then conclude with a few slides to  
11 summarize the main point of this presentation.  
12 Please note that data from unpublished tiotropium  
13 Respimat trials will not be discussed in my  
14 presentation.

15           The safety of tiotropium bromide was  
16 recently questioned in a meta analysis of  
17 randomized clinical trials published in the Journal  
18 of the American Medical Association on September  
19 24th of 2008. This meta analysis, which included  
20 17 trials, of which 12 were tiotropium trials,  
21 suggested that use of tiotropium may be associated  
22 with a 43 percent increased risk of cardiovascular

1 events, which included nonfatal stroke, nonfatal  
2 myocardial infarction, and cardiovascular deaths.

3           The confidence intervals around these  
4 estimates overlapped the null value of 1, which  
5 means that one cannot be certain of the direction  
6 of the risk. This meta analysis also suggested  
7 that use of anticholinergics, including tiotropium  
8 and ipratropium, was associated with a near 60  
9 percent increase in risk of cardiovascular events  
10 compared to control.

11           The confidence intervals about this  
12 estimate did not include the null value of 1.  
13 Additionally, inhaled anticholinergic use was also  
14 associated with a near 30 percent increase in risk  
15 of all cause mortality in this meta analysis. The  
16 confidence intervals about this estimate overlap  
17 the null value of 1.

18           Please note that on this slide and  
19 throughout my presentation, I will denote point  
20 estimates for which the corresponding confidence  
21 interval does not include the value of 1 in bold.

22           Within two weeks of this publication, on

1   October 9th of 2008, the results of a four-year  
2   placebo controlled, randomized clinical trial named  
3   UPLIFT were published in the New England Journal of  
4   Medicine. This trial has been discussed today both  
5   by the applicant and by Drs. Michele and  
6   Buenconsejo.

7               In contrast with the meta analysis  
8   published in JAMA, this large, long-term,  
9   randomized clinical trial suggests that tiotropium  
10   may be associated with a 16 percent decreasing risk  
11   of cardiac events. These included terms such as  
12   angina, atrial fibrillation, cardiac failure,  
13   congestive heart failure, coronary artery disease,  
14   and myocardial infarction; also, with a 13 percent  
15   decreasing risk of all cause mortality compared to  
16   placebo. The confidence intervals about these  
17   estimates did not include the null value of 1.

18              I will now briefly describe the meta  
19   analysis published in JAMA, which raised concerns  
20   regarding the cardiovascular safety of tiotropium.  
21   The main objective of this meta analysis was to  
22   evaluate the risk of cardiovascular events

1 associated with use of inhaled anticholinergics in  
2 COPD randomized clinical trials.

3           Studies were included in the meta  
4 analysis if they met the following inclusion  
5 criteria: if they were randomized trials lasted  
6 more than 30 days; if they are trials of COPD  
7 patients; if they compared anticholinergics against  
8 active controls or placebo; and, if they reported  
9 data on incidence of serious cardiovascular events.

10           The primary outcome of this meta analysis  
11 is a composite of nonfatal stroke, nonfatal  
12 myocardial infarction, and cardiovascular deaths.  
13 The secondary outcome of this meta analysis was all  
14 cause mortality. Risk ratios for each individual  
15 trial were pooled using fixed effect models in the  
16 main analysis.

17           A total of 103 trials were then reviewed  
18 in detail. Of these, 86 trials were excluded for  
19 the following reasons: 15 because they were not  
20 randomized trials comparing anticholinergics  
21 against controls that lasted more than 30 days; 69  
22 because they did not report on cardiovascular

1 events; and two because they lacked events on both  
2 study arms; leaving 17 randomized clinical trials  
3 for these meta analyses, 12 of which were  
4 tiotropium randomized clinical trials that included  
5 over 8,000 patients.

6           Subsequently, it was noted that two of  
7 these trials had been captured by earlier  
8 publications, resulting in double counting of  
9 participants. Additionally, the number of controls  
10 in one of the trials was less than what was  
11 reported in the meta analysis published in JAMA.

12           A corrected meta analysis was published  
13 in the March issue of JAMA, of this year. It  
14 included 10 tiotropium randomized clinical trials,  
15 displayed on this table. In this second column,  
16 from left to right, I show the types of controls  
17 used in each of these randomized trials. You'll  
18 notice that seven of these trials are placebo  
19 controlled trials and three of them were active  
20 control trials. Active controls included either  
21 placebo and salmeterol or a combination of  
22 salmeterol and fluticasone.

1           The third column from left to right shows  
2   the duration of each of these trials and you'll  
3   notice that only four of the trials lasted more  
4   than six months.

5           The very last column to your right shows  
6   you the risk ratios of cardiovascular events for  
7   each of the trials. These ratios range from 0.3 to  
8   3.3, with wide confidence intervals.

9           The corrected meta analysis suggests that  
10   tiotropium was associated with a near 50 percent  
11   increase in cardiovascular events compared to  
12   controls. The confidence interval about this  
13   estimate included the no value of 1.

14           This increase in risk in cardiovascular  
15   events was restricted to trials of long duration,  
16   defined by the investigators of this meta analysis  
17   as those lasting longer than six months.

18           Among the long-term trials, among which  
19   follow-up ranged from 42 to 104 weeks, tiotropium  
20   use was associated with a near twofold increase in  
21   risk of cardiovascular events. Combined, these  
22   long-term trials included approximately 3,000



1 patients. Among short-term trials, the risk ratio  
2 of cardiovascular events was 0.9, with confidence  
3 intervals that overlapped the null value of 1.

4           Analysis combining both tiotropium and  
5 ipratropium randomized trials suggested that use of  
6 these products may be associated with a 60 percent  
7 increase in risk of cardiovascular events. This  
8 increase in risk was mostly driven by increase in  
9 risk of myocardial infarction and cardiovascular  
10 death.

11           The risk ratio for stroke was 1.5, with  
12 confidence intervals overlapping 1. This analysis  
13 also suggested that anticholinergics may increase  
14 the risk of all cause mortality by 30 percent  
15 compared to control, although the confidence  
16 intervals included the null value of 1.

17           I'll now discuss the strength of the  
18 evidence provided by this meta analysis published  
19 in JAMA and contrast it against the evidence  
20 provided by UPLIFT, particularly as it relates to  
21 the relevant safety outcomes.

22           I'll focus my discussion on six potential

1 limitations of the meta analysis published in JAMA.  
2 Most of these stem from the fact that meta analysis  
3 typically rely on reported data. These limitations  
4 include potential biased selection of studies,  
5 inability to properly account for imbalance in  
6 follow-up, potential for informative censoring,  
7 potential for confounding, incomplete outcome  
8 information, and combining of study drugs.

9           A discussion of each of these limitations  
10 and their potential implications will follow over  
11 the next few slides.

12           The first limitation refers to a  
13 potential biased selection of studies.  
14 Approximately two-thirds of the considered studies  
15 were not included in the meta analyses because they  
16 failed to report on cardiovascular adverse events.  
17 However, publication of trials are typically  
18 summary reports. Studies without an imbalance in  
19 cardiovascular adverse events may be also less  
20 likely to report on these events.

21           Therefore, the increase in risk in  
22 cardiovascular events reported in the meta analysis

1 may be due, at least in part, by a selection of a  
2 non-random subset of studies, where an imbalance in  
3 safety outcomes would have been observed.

4           The second limitation refers to inability  
5 to properly account for imbalance in follow-up  
6 time. Overall, discontinuation rates were higher  
7 among placebo compared to tiotropium-assigned  
8 participants. Shown here are the overall  
9 discontinuation rates for the trials comparing  
10 tiotropium against placebo.

11           Due to the higher discontinuation amongst  
12 placebo participants, person time data would more  
13 properly account for exposure to medication.  
14 However, person time data was not considered in the  
15 meta analysis.

16           The third limitation refers to a  
17 potential for informative censoring. It has been  
18 suggested that participants on an inferior  
19 treatment, such as placebo, may be more likely to  
20 discontinue participation due to deterioration of  
21 health status. Therefore, placebo participants who  
22 remain on the trial may be, in general, healthier

1    than participants in the tiotropium arm.

2                   The fourth limitation refers to a  
3    potential for confounding. Trial discontinuation  
4    may result in an imbalance of both known and  
5    unknown confounders between participants who remain  
6    on the trial. Analysis has stratified the  
7    predictors of cardiovascular adverse events,  
8    including, but not limited to, current smoking  
9    status and concurrent use of cardiac medications,  
10   would have been informative, but were not pursued.

11                  Fifth, outcome data is likely to have  
12   been incomplete as information on adverse events  
13   and vital status was not collected for participants  
14   who discontinued trial in at least 50 percent of  
15   the included studies.

16                  Finally, the main analysis of the meta  
17   analysis published in JAMA combines two different  
18   study drugs, tiotropium and ipratropium. The long  
19   versus short-acting nature of these products may  
20   have different implications for systemic effects,  
21   such as cardiovascular events. Therefore, summary  
22   estimates over tiotropium and ipratropium trials

1 are difficult to interpret.

2 I will now briefly discuss the findings  
3 of UPLIFT that concern the relevant safety issues  
4 raised by the meta analysis published in JAMA.  
5 This randomized trial has been discussed today in  
6 greater detail by both the applicant and by Drs.  
7 Michele and Buenconsejo. Briefly, UPLIFT is a  
8 multicenter, multinational, randomized clinical  
9 trial that compared four years of therapy with  
10 either tiotropium or placebo in COPD patients.  
11 This trial included approximately 6,000 patients.

12 Safety endpoints included all adverse  
13 events, including serious adverse events and all  
14 cause mortality. The vital status was collected on  
15 all patients, including those who prematurely  
16 discontinued trial and was known for 97 to 98  
17 percent of the patients. The primary cause of  
18 death was adjudicated by an independent committee.

19 This table shows the main results of  
20 UPLIFT related to cardiovascular adverse events.  
21 Contrary to the meta analysis published in JAMA,  
22 findings from UPLIFT did not suggest an increase in

1 risk of cardiovascular events with use of  
2 tiotropium.

3           A total of 672 participants developed  
4 cardiac events, including angina, atrial  
5 fibrillation, cardiac failure, congestive heart  
6 failure, coronary artery disease, and myocardial  
7 infarction. The incidence rate ratio of cardiac  
8 events comparing tiotropium against placebo was  
9 0.84, with confidence intervals that did not  
10 include the null value of 1. The rates of stroke  
11 were similar between tiotropium and placebo  
12 participants, but the estimate was imprecise and  
13 the upper bounds of the confidence limits reached  
14 1.3.

15           The rate ratio for cardiovascular events,  
16 including myocardial infarction, stroke, and  
17 cardiovascular deaths, which included the MedDRA  
18 preferred terms of sudden death, sudden cardiac  
19 death and death of unknown cause, therefore,  
20 approximating what was used in the meta analysis  
21 published in JAMA, was 0.81, with confidence  
22 intervals that did not include the null value of 1.

1           This next table shows the main results of  
2 UPLIFT that concern mortality. The first row shows  
3 results for adjudicated deaths while on treatment.  
4 The second and third rows show results for  
5 adjudicated deaths, including post randomization,  
6 discontinuation, and vital status, with a cutoff  
7 date of four years or four years plus 30 days.

8           Overall, the total number of deaths  
9 during treatment, which included the last day of  
10 study drug plus 30 days, was 792. The hazard ratio  
11 for all cause mortality was 0.84. The confidence  
12 interval for this estimate ranged from 0.73 to  
13 0.79.

14           Compared to on-treatment mortality, an  
15 additional 149 deaths were identified for patients  
16 that discontinued the trial. The mortality  
17 findings are robust and death risk was  
18 significantly lower or close to significantly lower  
19 in patients treated with tiotropium compared to  
20 placebo, regardless of the cutoff they used or the  
21 inclusion of vital status data after  
22 discontinuation.

1           The most common causes of adjudicated  
2 deaths on treatment were COPD exacerbations, lung  
3 cancer, and death of unknown cause. Therefore, in  
4 general, the findings of UPLIFT did not agree with  
5 the findings of the meta analyses published in JAMA  
6 and they did not suggest an increase in risk of  
7 cardiovascular events or mortality. However, some  
8 of the estimates are imprecise, including those for  
9 stroke. Due to limitations of the meta analysis,  
10 UPLIFT may provide stronger evidence regarding the  
11 safety of tiotropium.

12           I will next briefly summarize the  
13 findings of other meta analysis of randomized  
14 clinical trials that have been published in the  
15 literature. I will also briefly summarize the  
16 findings of observational studies conducted to  
17 evaluate the safety of tiotropium.

18           Six other meta analyses of tiotropium  
19 randomized clinical trials were identified in the  
20 published literature. These meta analyses included  
21 from 3,600 to close to 20,000 patients. Several  
22 trials overlapped across these meta analyses. Two



1 of these analyses included UPLIFT. Two of these  
2 meta analyses considered person time data, and one  
3 meta analysis examined the risk of cardiovascular  
4 events according to trial duration. However,  
5 contrary to the meta analysis published in JAMA,  
6 tiotropium was not associated with increase in risk  
7 of cardiovascular events regardless of the duration  
8 of the trial.

9           The main limitations of these meta  
10 analyses include the fact that cardiovascular  
11 events were not the primary endpoint in most of the  
12 included trials.

13           This table shows the range of relative  
14 risks for relevant cardiac events and mortality  
15 comparing tiotropium and control, reported by the  
16 aforementioned meta analyses. This column here  
17 shows a number of the analyses that examined each  
18 of the endpoints. This column here shows the  
19 lowest point estimates, along with their  
20 corresponding confidence intervals. This column  
21 shows the highest point estimate, along with its  
22 corresponding confidence interval.

1           The point estimates for myocardial  
2   infarction ranged from 0.7 to 0.1. For cardiac  
3   failure, point estimated ranged from 0.6 to 0.8.  
4   For stroke, they ranged from 1.0 to 1.1. Point  
5   estimates for all cause mortality ranged from 0.8  
6   to 0.9. Cardiovascular related mortality, these  
7   estimates ranged from 0.6 to 1.2. For respiratory  
8   related mortality, these estimates ranged from 0.5  
9   to 0.8.

10           Please note that most of these confidence  
11   intervals do include the null value of 1 and some  
12   of the estimates are rather wide.

13           Several observational studies also  
14   examined the safety of tiotropium and were  
15   identified in the literature. These include three  
16   published population-based cohort studies, a cohort  
17   study conducted in a Danish house care registry  
18   that included over 10,000 COPD patients, a study  
19   conducted in the U.K. THIN database that included  
20   close to 3,000 patients, and a Canadian study using  
21   the Canadian Institute of Health information  
22   hospital discharge database that included over

1 7,000 patients.

2           Additionally, an unpublished case-control  
3 study in a cohort of about 6,800 COPD patients in  
4 the integrated primary care information database in  
5 the Netherlands is also included in this review.  
6 This study was submitted to us by the sponsor.

7           One of these studies compared tiotropium  
8 use versus non-use, one study compared use of  
9 tiotropium, current use of tiotropium against no  
10 use of anticholinergics, and three of these studies  
11 compared the use of tiotropium against use of LABA.

12           The main limitation of these studies was  
13 the fact that most were unable to properly adjust  
14 for potential important confounders, including  
15 smoking, BMI, disease severity, and lung function.  
16 Additionally, insufficient power may be an issue in  
17 at least one of these studies.

18           This table summarizes the range of  
19 relative risks concerning relevant endpoints  
20 observed in these observational studies. The  
21 lowest and highest point estimates for each outcome  
22 are displayed in this table in these columns.

1 Corresponding confidence intervals are shown within  
2 parentheses.

3           The relative risk of myocardial  
4 infarction ranged from 0.8 to 1.3. For cardiac  
5 failure, it ranged from 0.7 to 1.3. Only one study  
6 reported on stroke, risk of stroke, and the point  
7 estimate was 0.9. The point estimates for all  
8 cause mortality ranged from 0.8 to 0.9.

9           Mortality related to specific cardiac  
10 events was reported in one study. The lowest  
11 estimate displayed here refers to the relative risk  
12 of sudden death and the highest relative risk shown  
13 here refers to mortality related to myocardial  
14 infarction. Only one study reported estimates for  
15 respiratory mortality; the relative risk was 0.8.  
16 It's important to note that these estimates are  
17 generally imprecise and overlapping the null value  
18 of 1.

19           In summary, similarly to the findings of  
20 UPLIFT, all the meta analyses of randomized  
21 clinical trials didn't seem to suggest an  
22 association between tiotropium and increased risk

1 of cardiovascular events or mortality. However,  
2 confidence limits overlapped the null value of 1  
3 and many of them were wide.

4           Additionally, most of the observational  
5 data did not indicate an association between  
6 tiotropium and increased risk of cardiovascular  
7 events or mortality. However, most estimates are,  
8 again, imprecise and many include the null value of  
9 1.

10           I'll conclude this presentation with a  
11 few summary slides. In summary, the data regarding  
12 safety of tiotropium are rather complex. Findings  
13 from a meta analysis of randomized trials published  
14 in JAMA suggest an increase in risk of  
15 cardiovascular events, particularly among trials of  
16 long duration, as well as an increase in mortality  
17 in the tiotropium group compared to control.

18           Data from three Respimat trials, not  
19 discussed in this presentation, but addressed in  
20 the previous presentations today, suggested a  
21 numerical imbalance in mortality that favored  
22 placebo.

1           On the other hand, UPLIFT did not suggest  
2   an increase in risk of cardiovascular events. It  
3   suggested a decrease in risk of death. UPLIFT is  
4   the largest and longest tiotropium trial.  
5   Mortality endpoints were prespecified and vital  
6   status was collected and adjudicated for all  
7   participants, including those who prematurely  
8   discontinued trial.

9           Mortality results were robust against  
10   different analyses. However, note that the primary  
11   endpoints of this trial related to lung function.  
12   Also, estimates for stroke were imprecise and the  
13   upper bounds of the confidence limits reached 1.29.

14           Six other meta analyses of tiotropium  
15   randomized clinical trials were identified in the  
16   literature. In general, the findings are  
17   consistent with results from UPLIFT in that they  
18   did not suggest an increase in risk of  
19   cardiovascular events or mortality. However, most  
20   confidence intervals reported in these meta  
21   analyses overlapped the null value of 1.

22           Additionally, most of the observational

1 data also didn't seem to suggest an association  
2 between tiotropium and increased risk of  
3 cardiovascular events or mortality. However, the  
4 reported estimates were rather imprecise and most  
5 confidence intervals overlapped the no value of 1.

6 Thank you for your attention.

7 DR. BRANTLY: Thank you very much. I'd  
8 like to invite the committee members to now present  
9 questions to the FDA evaluation team.

10 Dr. Hendeles?

11 DR. HENDELES: My question is I just want  
12 to confirm what I heard that there was no dose  
13 response relationship for adverse effects in the  
14 Respimat data.

15 DR. MICHELE: That's actually not  
16 correct. There was a dose response for some adverse  
17 events, most notably, dry mouth, which was  
18 increased in the 10 microgram group compared to the  
19 5 microgram group.

20 DR. HENDELES: But not in serious adverse  
21 events, such as cardiovascular.

22 DR. MICHELE: No. There was no increase

1 in that.

2 DR. BRANTLY: Dr. Platts-Mills?

3 DR. PLATTS-MILLS: In the efficacy data,  
4 it appears that there is no effect in the patients  
5 who are current smokers and there's a different  
6 prevalence of current smoking in the UPLIFT study,  
7 which is 29 percent, compared to the Respimat  
8 studies that are 36 percent.

9 Is that relevant? Do we actually have  
10 separate data showing that the bronchodilator  
11 effects of tiotropium are the same in current  
12 smokers and non-smokers?

13 DR. MICHELE: So if I could summarize  
14 that, you're asking me to compare the data from  
15 Respimat with regard to efficacy, and, in  
16 particular, the subgroup analyses for smokers, to  
17 the data from HandiHaler for efficacy for  
18 exacerbations; is that correct?

19 DR. PLATTS-MILLS: I recognize that might  
20 be more fairly a question for them.

21 DR. MICHELE: It might be. I would just  
22 throw out a caution, though. By our viewpoint, we



1 don't believe that those two datasets are directly  
2 comparable, because Respimat is a different drug  
3 product and, as we've noted, they're locally  
4 acting.

5           What I did with my presentation was I  
6 just wanted to provide a balanced viewpoint so that  
7 you weren't looking just at mortality data from the  
8 Respimat.

9           I'll now turn it over to Dr. Kesten for a  
10 comment on that.

11           DR. PLATTS-MILLS: Could I ask another  
12 specific question? Do we know why Respimat was not  
13 approved at 10 micrograms in Europe? That is, the  
14 trials were done with 10 micrograms and 5  
15 micrograms, but as far as I understand, it was only  
16 approved at 5 micrograms.

17           DR. MICHELE: Again, Dr. Kesten may wish  
18 to answer that with regard to what you requested  
19 for approval.

20           DR. KESTEN: I'd like to, if I may,  
21 address both the questions.

22           Could I have the slide with the subgroup

1 from UPLIFT with baseline smokers and ex-smokers?

2 Because the question was exacerbations based on

3 baseline smoking behavior.

4           While I'm waiting for that slide, I'll

5 address the other question. We requested approval

6 of Respimat 5 micrograms as we saw similar efficacy

7 and more inhaled anticholinergic-related effects,

8 such as dry mouth is related, and it was approved

9 at the 5 microgram formulation.

10           Slide up, please. So with regard to your

11 question of baseline smoking behavior, this is

12 people who -- I'm sorry. There's a lot of lines

13 there, but if you go to the third category of

14 smoking, this is based on self-report of saying,

15 "Yes, I'm a current smoker" or "I'm an ex-smoker.

16 I no longer smoke." And the hazard ratios are for

17 the risk of an exacerbation and it's 0.86 versus

18 0.85, so very similar effects according to baseline

19 smoking behavior.

20           DR. BRANTLY: Another question?

21           DR. TERRY: I wanted to ask the FDA

22 representatives how important they think it is that

1 the secondary analyses, endpoint analyses, be  
2 predicated on a successful analysis of the primary  
3 endpoints?

4 DR. CHOWDHURY: That's a question that  
5 actually we are asking you to comment on.

6 [Laughter.]

7 DR. CHOWDHURY: I will turn it back and  
8 we'll discuss this during the discussion period.

9  
10 DR. TERRY: Well, I just wanted to know.  
11 It's not in the discussion period, though. The  
12 questions in the discussion period don't actually  
13 include this. So can it be discussed now or can we  
14 discuss it during the discussion period, even  
15 though it isn't actually a topic of discussion?

16 DR. CHOWDHURY: I suggest we keep it for  
17 the discussion period.

18 DR. TERRY: Okay. We can talk about  
19 that.

20 DR. CHOWDHURY: We can talk about that at  
21 the discussion period.

22 DR. TERRY: Great.

1 DR. BRANTLY: Dr. Schoenfeld, do you have  
2 any other comments regarding the meta analysis and  
3 the UPLIFT trial?

4 DR. SCHOENFELD: I have no questions.  
5 This is the question period. I have no questions  
6 relative to the meta analysis or what was  
7 presented. But I'll comment on what you just  
8 commented on in the discussion period.

9 DR. BRANTLY: Dr. Newman?

10 DR. NEWMAN: I guess this question is for  
11 Dr. Michele, and maybe Dr. Kesten will need to  
12 comment on this, as well.

13 In terms of trying to reconcile the  
14 mortality differences, Dr. Kesten speculated that  
15 the numerical imbalance in deaths could conceivably  
16 be related to withdrawal, a differential withdrawal  
17 of severe COPD patients from placebo groups between  
18 the Respimat and the UPLIFT.

19 I'm just wondering if you have any  
20 comment or observation on that. You showed us some  
21 of the demographics, but the Gold criteria perhaps  
22 for the Respimat as compared to the UPLIFT might be

1 interesting to understand. Was there, in fact, a  
2 differential withdrawal, and if you modeled it,  
3 would it make a difference?

4 DR. MICHELE: We were actually concerned  
5 about that point, as well, when we saw the initial  
6 data on 205.254 and .255. So that had a lot to do  
7 with why the company went back retrospectively and  
8 collected vital status data.

9 So when you add in the vital status data,  
10 you can see that at least a portion of that  
11 mortality imbalance was explained by differential  
12 dropout, because it gets better, but it doesn't go  
13 away.

14 So then you're left with, okay, now what  
15 does it mean. And I think, again, this is a topic  
16 of discussion for the committee. But I'll throw it  
17 open to Dr. Kesten and see if you have further  
18 comments on that.

19 DR. KESTEN: Thank you, Dr. Michele, for  
20 allowing an opportunity to address that, because it  
21 is one of the important issues today.

22 We have some very experienced people in

1 epidemiology and particularly in the cardiovascular  
2 area and I'd ask, if I may, Dr. Hennekens, with his  
3 wealth of experience, to comment on the issue  
4 that's raised.

5 DR. HENNEKENS: My name is Charlie  
6 Hennekens. I'm the Sir Richard Doll Research  
7 professor at Florida Atlantic University. Today is  
8 the third occasion on which I've been asked to give  
9 my independent scientific views to Boehringer  
10 Ingelheim and for which I receive compensation for  
11 travel expenses and honoraria. I've had no other  
12 involvement with Boehringer Ingelheim since 1999,  
13 when I participated with them an FDA Advisory  
14 Committee meeting for Aggrenox.

15 I think it's also important to disclose  
16 that on December 4, 2009, with my coauthor, Dave  
17 DeMets, I published a manuscript in JAMA entitled  
18 "The Need for Large-Scale Randomized Evidence  
19 Without Undue Reliance on the Results of Small  
20 Trials, Their Meta Analyses, or Subgroup Analyses."

21 So with respect to total mortality, the  
22 large-scale randomized evidence derives from UPLIFT

1 and has about 915 deaths. The total number of  
2 deaths from the six small trials of Respimat has  
3 about 146. The UPLIFT gets divided about 430 in  
4 tiotropium and 491 in placebo, a relative risk  
5 reduction of about 17 percent, with 95 percent  
6 confidence intervals from 0.66 to 0.99.

7           If one looks at all the Respimat deaths,  
8 there are 85 versus 61, about, so that the totality  
9 of evidence is 515 versus 552, a possible, but non-  
10 significant seven percent reduction, but 95 percent  
11 confidence interval that suggests a possible  
12 benefit as big as about a quarter and a hazard  
13 about -- reassuring hazard no greater than about 10  
14 percent.

15           In addition, as Dr. Pinheiro pointed out,  
16 the observational studies did not really suggest  
17 any signal for any increasing mortality, although  
18 subject to the limitations of uncontrolled and  
19 uncontrollable confounding, which is about as big  
20 as the effect size that's being sought in the  
21 randomized evidence.

22           I do take Dr. Suissa's point that if

1   there were confounding by indication in the  
2   observational studies, then one would speculate  
3   that sicker patients might be more likely treated,  
4   but this is just speculation.

5           My own conclusion is that Dr. Michele's  
6   and Dr. Pinheiro's outstanding presentations were  
7   largely consonant. I find her proposed hypothesis  
8   about decrease in total deaths from exacerbations  
9   to provide a plausible mechanism for the observed  
10   decrease in mortality in UPLIFT to be insightful  
11   and intriguing and, also, unusual that that is  
12   proposed by the FDA, not the sponsor.

13           However, my own independent scientific  
14   view of the totality of evidence is that the  
15   evidence is very reassuring against any increased  
16   risk of total mortality, although I am somewhat  
17   less certain that there is a decrease in total  
18   mortality.

19           Finally, I concur with Dr. Buenconsejo's  
20   cogent argument about how to deal with total  
21   mortality as a secondary endpoint with FEV as a  
22   primary endpoint. Indeed, however, I would extend



1    this very same cogent argument to exacerbations in  
2    FEV1.

3                   This is because my own personal view is  
4    that these hierarchical models are important for  
5    the analyses of primary and secondary endpoints  
6    when the secondary endpoints really are dependent  
7    upon the primary, which would be the case, say, in  
8    a combined endpoint of all cardiovascular events,  
9    nonfatal, and nonfatal stroke and vascular deaths,  
10   where you find nothing and then propose that there  
11   is a benefit or harm due to one component of it.  
12   But here, these are largely independent.

13                   So I think that really summarizes my  
14   views on the issue. Thank you very much.

15                   DR. BRANTLY: Dr. Newman?

16                   DR. NEWMAN: Excuse me, before you leave.  
17   Thank you for your comments. But could I ask you  
18   what part of your answer answered my question?

19                   DR. HENNEKENS: I believe that you asked  
20   me to comment on the total mortality issue.

21                   DR. NEWMAN: I was trying to reconcile  
22   the speculation by Dr. Kesten that the potential

1 trial-related contributing factors may have been  
2 with the differential withdrawal of the most severe  
3 COPD cases in the placebo group of one set of  
4 studies versus the other.

5 DR. HENNEKENS: Well, I'm sorry, I  
6 misunderstood your question. Then I'll ask Dr.  
7 Kesten to respond.

8 DR. KESTEN: I'd like to actually  
9 directly respond to your question. When we look at  
10 the discontinued patients, they are clearly more  
11 severe. If you look at the Gold Stage and some of  
12 the co-morbidities, you see a higher load of  
13 disease there, and they do preferentially drop out  
14 of the placebo group.

15 Now, even with the vital status  
16 collection, it becomes very complicated,  
17 particularly in the Respimat trials, where there  
18 was widespread availability of tiotropium, which  
19 wasn't necessarily the case when we started the  
20 UPLIFT trial. So patients could decide, and their  
21 physicians, to drop out, go on an active product,  
22 which is actually in the trial. So I can't tell

1   you definitively. I think there are confounding  
2   factors here that need to be considered, and I hope  
3   that answers your question.

4               DR. NEWMAN: Thanks.

5               DR. BRANTLY: Dr. Platts-Mills?

6               DR. PLATTS-MILLS: I apologize. My  
7   previous question was phrased wrongly. I really  
8   wanted to look at Dr. Michele's slide number 34.  
9   First, before that, I'd like to thank Dr. Michele  
10  for her presentation, which I enjoyed a lot.

11              In that 34, it's the mortality in UPLIFT,  
12  where there was no effect in the current smokers.  
13  The question is, is that also true -- is there a  
14  difference between current smokers and former  
15  smokers in the other studies, where there's  
16  mortality?

17              DR. MICHELE: If I could address that.  
18  From the Respimat trials, I don't have a subgroup  
19  analysis on mortality to show you, because they  
20  were small numbers. In this one, I would note that  
21  the former smoker group is about twice as big as  
22  the current smoker group. So it's only about a

1 third of patients that were current smokers. So  
2 whether that has anything to do with the wider  
3 confidence intervals in the current smokers, I'm  
4 not sure, but it may be a contributing factor.

5 DR. PLATTS-MILLS: But given the change  
6 in the status of smoking, that it is now an FDA  
7 issue, this is clearly a drug interaction that we  
8 need to --

9 DR. MICHELE: I'm all for banning  
10 cigarettes.

11 DR. BRANTLY: Dr. Wolfe?

12 DR. WOLFE: If you combine 254 and 255  
13 and look at the deaths in three roughly comparable  
14 groups in terms of their size, you have five in the  
15 placebo, 12 in the 5 micrograms and 16 in the 10.  
16 So in addition to the anticholinergic dryness and  
17 so forth, there is some suggestion of an increased  
18 dose response adverse effect in this case.

19 I go back to the statement by the company  
20 this morning that there was roughly, in terms of  
21 the delivered amount of the drug, about 1.3 times  
22 higher with the Respimat than the other. So I'm

1 just putting forth an alternative hypothesis  
2 instead of just that there is some dropout of the  
3 placebo. There's actually a higher dose of  
4 something and there was, in fact, some at least  
5 suggestion -- the numbers are small, as Dr.  
6 Hennekens pointed out, but at least it's trending  
7 in the direction of 5 placebo -- 12 to the 5 and 16  
8 deaths is the total deaths.

9           So I don't see how one can dismiss the  
10 dose response possibility as in Respimat is  
11 delivering more drug than the version we're talking  
12 about. I agree with the statements that in terms  
13 of efficacy, that's not something -- it's good to  
14 see the information, but it's not something that we  
15 want to talk about. In terms of safety, it is the  
16 same drug and if we're getting more of it in one,  
17 that may be some insight into the fact that it's  
18 more dangerous.

19           DR. HONSINGER: The one bit of data that  
20 we have not seen is any compliance data. I don't  
21 know if either the FDA or the sponsor has  
22 compliance data. There must have been something in

1 the way of compliance. If it was a pill that can  
2 be used once a day, you must be counting pills or  
3 something.

4 I certainly think that patients are  
5 likely to take their medicines when they're having  
6 symptoms. They're likely to take their medicines  
7 on the day that they're having their appointment  
8 for their pulmonary function test. When it comes  
9 to the analysis of this drug, if somebody should  
10 advise that it be taken, should it be taken with  
11 symptoms or should it be taken all the time? Do we  
12 have any compliance data?

13 DR. MICHELE: I believe that Dr. Kesten  
14 addressed that point earlier. In the UPLIFT trial,  
15 they did do pill counts for pierced capsules. The  
16 compliance data did go down over time, but overall,  
17 in the study, it was fairly good. I believe he  
18 mentioned 90 percent at one year and 80 percent at  
19 four years, and those data are available in the  
20 background package in my review, the exact numbers.

21 DR. BRANTLY: Any further questions from  
22 the committee members? Dr. Newman?

1                   DR. NEWMAN: I have one for the FDA and  
2 I'm not sure who should answer this, but it's sort  
3 of a broader question. In the major studies that  
4 have been done, the researchers have been careful  
5 to exclude people with various kinds of  
6 cardiovascular risks and I understand why that is.

7                   How might I reconcile that with the  
8 labeling and instructions regarding Spiriva, which,  
9 as best I can tell, when you look at things like  
10 contraindications, warnings and precautions, it  
11 doesn't really say you shouldn't take this if you  
12 have cardiovascular risk?

13                  DR. MICHELE: So if you'll note that the  
14 warnings and precautions that are in the label for  
15 Spiriva HandiHaler, those patients were  
16 consistently excluded; that is, patients with  
17 narrow-angled glaucoma and patients with known  
18 urinary retention and prostatic hypertrophy and so  
19 forth.

20                  As far as Phase III trials, in general,  
21 there are a lot of exclusions that don't  
22 necessarily mean that the drug should never be used

1 in those patient populations. I would point out  
2 that in UPLIFT, as well as the VA trial, the  
3 exclusion criteria were significantly more liberal  
4 with regard to myocardial infarction and other  
5 cardiovascular events at baseline compared to the  
6 Phase III trials that were run for Spiriva  
7 HandiHaler.

8 I'll ask if Dr. Chowdhury has further  
9 comments on that.

10 DR. CHOWDHURY: I don't have much to add  
11 here, but just to point out that it is very true,  
12 when the early studies are done, usually pre-  
13 registration studies or studies for early  
14 development, we have these restrictions on entry  
15 criteria because the drug is not really very, very  
16 well characterized.

17 For that reason, actually, we depend on  
18 post-marketing when the drug is approved and has  
19 very frequent monitoring of the post-marketing  
20 report to pick up adverse effects if they come up,  
21 and depending on the registration criteria, as  
22 well, and what the findings were when they do the



1 post-marketing studies.

2               So what you're asking is a very valid  
3 question. Some of the real life studies, that  
4 actually happens very often after marketing.

5               But I'll ask if Dr. Iyasu has any comment  
6 on this or not.

7               DR. IYASU: I don't think I have any  
8 additional comments, but it's an important  
9 consideration in the balance of evidence that one  
10 has to consider pre-approval versus post-approval.  
11 I think we're looking forward to your advice on how  
12 you balance out that evidence base, pre-approval  
13 versus approval.

14              But I think in this case, we are faced  
15 with a situation where we have interesting  
16 information that needs some interpretation from  
17 your side as to how to go forward with it. But it  
18 all boils down to what is the right balance that  
19 one has to have. So it's a very global question  
20 that you are asking and it's a pertinent one.

21              DR. BRANTLY: Dr. Platts-Mills?

22              DR. PLATTSMILLS: I've got a point and a

1 question. First of all, I love the idea of  
2 excluding cardiovascular risk in 65-year-old men.

3 [Laughter.]

4 DR. PLATTS-MILLS: On the compliance  
5 issue, the two major risk factors -- on the  
6 compliance issue, in asthma, they have reached the  
7 point where you actually have timers on the  
8 inhalers so that you can actually tell at what time  
9 the thing was compressed.

10 With the pierced capsules, is it easy to  
11 pierce a capsule and so repeatedly pierce some  
12 capsules without taking the inhaler, or is it  
13 really almost inevitable that if someone pierces a  
14 capsule, they've actually taken the drug?

15 DR. KESTEN: Dr. Platts-Mills' question  
16 is related to the compliance issue. So what we  
17 did, as I said, is the patient puts the capsule in  
18 the device, pierces it, administers the medication,  
19 and we ask them to keep their medication, bring it  
20 to the clinic.

21 I certainly can't rule out the  
22 possibility that someone would go to the effort of

1 putting it in, piercing it, not taking it, and  
2 almost in a dose-dumping fashion that led to some  
3 of the studies we were referring to in asthma.  
4 Certainly, I can't rule out that possibility.

5 But what I would say is that if people  
6 were doing that and having reduced compliance, that  
7 would, if anything, work against showing the  
8 effects that we're seeing on lung function and  
9 exacerbations.

10 DR. BRANTLY: Dr. Hendeles, do you have a  
11 question?

12 I think that, at this point, we'll break  
13 for lunchtime. We'll be back at 1:00 on the dot.  
14 I remind the committee members to withhold from any  
15 discussions regarding the topic at hand.

16 (Whereupon, at 12:07 p.m., a lunch recess  
17 was taken.)

18

19 A F T E R N O O N S E S S I O N

20 DR. BRANTLY: We'll get started now. As  
21 promised, I wanted to start on the dot. It is now  
22 1:00. We have no one scheduled for the open public

1   hearing, therefore, we will go directly to Dr.  
2   Seymour's talk.

3               Are there any individuals in the audience  
4   that would like to speak?

5               Not hearing anybody volunteer, Dr.  
6   Seymour, thank you very much.

7               DR. SEYMOUR:   Good afternoon.   My name is  
8   Sally Seymour.   I'm the Deputy Director for Safety  
9   in the Division of Pulmonary and Allergy Products.  
10   I just want to reassure you that I don't have a  
11   presentation really.   This is just an introduction  
12   to the questions.

13              At this point in the meeting, we've heard  
14   the presentations from both the FDA and the  
15   sponsors, and I just want to present the questions  
16   that we pose for the committee to discuss.   These  
17   questions really relate to the objectives for  
18   today's meeting, which were to discuss the  
19   HandiHaler efficacy information regarding the  
20   reduction of exacerbations in patients with COPD.  
21   Also, we've talked a lot about safety signals  
22   related to stroke, MI, cardiovascular mortality,

1 and all cause mortality.

2               So with that in mind, we actually have  
3 five questions, two of which are discussion  
4 questions and three are voting questions. But I do  
5 want to remind you that you can certainly take time  
6 before the voting questions to have a discussion on  
7 the topic before you vote on it to make sure that  
8 all your comments are heard. Those are important  
9 to us.

10              So the first question for discussion is  
11 please comment on the mortality data from the  
12 Spiriva HandiHaler trial for UPLIFT. I think what  
13 we're interested in here in this question is really  
14 the strengths and weaknesses of the data that  
15 you've seen today.

16              This is a similar question. You've seen  
17 some mortality data for the Spiriva Respimat Phase  
18 III trial and we'd like you to comment on the  
19 mortality data that you've seen; again, information  
20 along the lines of what you see are the strengths  
21 and weaknesses of this information.

22              The first voting question is regarding

1 the COPD exacerbation claim, and let me read it.

2 Do the data from Trials 205.235, UPLIFT,  
3 and 205.266, the VA study, provide substantial and  
4 convincing evidence to support the claim that  
5 Spiriva HandiHaler reduces COPD exacerbations and  
6 if not, what additional data are needed? We  
7 certainly encourage you to discuss the efficacy  
8 data before you decide to vote on this question.

9 The next voting question has to do with  
10 the stroke issue. Do the data from Trial 205.235,  
11 UPLIFT, adequately address the potential safety  
12 signal of stroke events and if not, what additional  
13 data are needed? And, again, we would encourage  
14 discussion about the strength of the information  
15 regarding the stroke signal and the weaknesses of  
16 that information, as well.

17 Then, finally, the last voting question;  
18 do the data from Trial 205.235, UPLIFT, adequately  
19 address the potential safety signals of adverse  
20 cardiovascular outcomes, and if not, what  
21 additional data are needed?

22 We look forward to your discussions and

1     thank you very much.

2                 DR. BRANTLY:   Okay.   We'd like to begin  
3     the discussion portion.   First, I'd just like to  
4     remind the committee of a couple different things  
5     as we go into the voting section aspect.   I'll  
6     either go this direction or the other direction in  
7     asking.   I will vote last.

8                 The other thing is that it's not enough  
9     to give a yes or a no.   You have to give why.   You  
10    have to explain your vote.   We do it  
11    electronically, but we'll ask you also to go around  
12    the room and explain it, as well.   I'll explain  
13    last.

14                DR. HENNESSY:   I'm wondering if I can ask  
15    a question of the sponsor at this point.   Would  
16    that be allowed?

17                DR. BRANTLY:   Of course.

18                DR. HENNESSY:   Thank you.   So I'm not  
19    sure who for the sponsor would like to address  
20    this, but I wanted to see whether the sponsor  
21    agrees that the protocol or the analysis plan for  
22    UPLIFT specified that the secondary endpoints would

1 not be analyzed or would be analyzed and only  
2 considered to be exploratory information if the  
3 primary endpoint, which was rate of decline of pre  
4 and post-bronchodilator FEV1, was not achieved.

5 DR. KESTEN: I've come up all the way  
6 here, but I actually would like to have our  
7 statistician specifically respond to your request  
8 about the approach in the statistical analysis.

9 DR. MENJOGE: My name is Shailendra  
10 Menjoge. I am a statistician at Boehringer  
11 Ingelheim. UPLIFT was a very difficult trial. The  
12 primary endpoints were unusual and particularly  
13 difficult. So we had to somehow make sure that we  
14 had enough alpha for the primary endpoints.

15 So we did put all of our alpha in for the  
16 primary endpoints. A P value of a little bit above  
17 0.001 was kept for number of exacerbations leading  
18 to hospitalizations. That also came up during the  
19 EMC development and the charter.

20 So our interest was in actually looking  
21 for exacerbations and key secondary endpoints were  
22 time to first exacerbation and hospitalization. So



1 it is unfortunate that we had put all of our alpha  
2 into the primary endpoints.

3 That said, according to the prespecified  
4 analysis, really speaking of Dr. Buenconsejo is  
5 correct that we had to look at the secondary  
6 endpoints mostly as descriptive or exploratory and  
7 the P value should be considered nominal.

8 That said, I just wanted to also  
9 emphasize here that the evidence that we have in  
10 the exacerbation endpoint is very, very large. The  
11 log hazard ratio is five standards away from zero.  
12 So usually you expect about two standards away from  
13 zero to have a significant effect. So the  
14 evidence, we believe, is more than two independent  
15 trials we have given.

16 DR. HENNESSY: So just to clarify, you're  
17 asking for a label indication based on an analysis  
18 that you specified in the protocol would be  
19 interpreted only as exploratory.

20 DR. MENJOGE: I just want to further talk  
21 about that. If you strictly look at only one trial  
22 and if the endpoint was considered as one of, say,

1 15-20 endpoints, I would say that it would be a  
2 spurious finding. But I really don't believe, as a  
3 statistician, that one can argue that it's a  
4 spurious finding. There is a lot of evidence that  
5 we had before and everything should be considered  
6 as a part of the total evidence.

7 DR. HENNESSY: So that's a yes?

8 DR. KESTEN: Rather than going up there,  
9 I'll just try this one this time.

10 So just to clarify, though, we do have  
11 the VA study, which is the primary outcome had  
12 exacerbations that confirmed the results that we  
13 saw in registration trials.

14 Your points about the statistics, that we  
15 did not achieve the primary endpoint, are  
16 recognized and acknowledged in the data  
17 subsequently. It was tested. It is considered  
18 descriptive. However, we're asking you to consider  
19 that there is a very large database here on a  
20 clinically important endpoint that we did look at  
21 carefully in the UPLIFT trial.

22 We see tremendous consistency in that

1 database. It also confirms what we see in the VA  
2 study, registration trials, we see it in many  
3 subgroups. Also, it's what we expect.

4           So I think that's one of the main  
5 questions is the compelling evidence that exists  
6 and asking is there a drug effect here, and we  
7 believe that there is substantial evidence saying,  
8 yes, there is a drug effect here.

9           DR. BRANTLY: Dr. Schoenfeld?

10          DR. SCHOENFELD: I'd like to discuss the  
11 multiple comparison problem for a minute, because  
12 you asked the question earlier and it's come up  
13 again. This is one of the biggest paradoxes in  
14 biostatistics.

15          The way I usually explain it to clinical  
16 investigators that come to my office is I say does  
17 it make sense -- you've come in today and I have  
18 two appointments today with clinical investigators.  
19 I'm going to do two analyses today with two  
20 different clinical investigators.

21          Does it make sense that now, today, my P  
22 value for significance is 0.025 and not 0.05? If

1   you want to have a 0.05 P value, you better come  
2   back tomorrow when I don't have any appointments  
3   and you'll be the only appointment.

4               Now, everybody would probably agree that  
5   that makes no sense at all. But, in fact, the  
6   evidence from a finding is independent of what your  
7   plan was in the beginning. The evidence from the  
8   finding is based on the data of the finding. It  
9   has nothing to do with your evidence.

10              So then the question is why have all this  
11   rigmarole about primary endpoints and secondary  
12   endpoints and so on, which is what we're talking  
13   about. And, again, this has nothing to do with the  
14   evidence from findings. This has to do with  
15   decision-making.

16              If you have a single decision to make and  
17   then large numbers of different things that you're  
18   going to make the decision about, then you do have  
19   a concern, because if the null hypothesis is true,  
20   if there's no effect at all, there is sort of an  
21   increased chance that you'll make the wrong  
22   decision.

1               So I think that these primary endpoint  
2 things have -- definitely, these methods of  
3 avoiding multiple comparisons, which were used, for  
4 instance, in the statistical analysis plan of the  
5 UPLIFT study, do have a role, especially for a new  
6 drug that's unapproved and is going to be approved  
7 for the first time, and you want to make sure that  
8 you don't approve it on the basis of a spurious  
9 finding.

10              But I don't think they have a role in  
11 describing the effect of the drug, which is really,  
12 in a sense, what we're about. What we're about  
13 today is whether or not this can be added to the  
14 advertisement for the drug; that is, should it be  
15 included in the description of the drug.

16              In that case, I think it stands alone,  
17 except in the situation which Charlie Hennekens  
18 talked about. If you had three different ways of  
19 describing the same thing, you might also be in the  
20 position of looking at something three times to  
21 make one decision. In that case, I think multiple  
22 comparisons always has a role.

1           While I have the floor, something more  
2   germane to the mortality endpoint is another sort  
3   of theoretical concern, which is kind of, I think,  
4   interesting. That is, we know that we have this  
5   notion of level of evidence and we know, for  
6   instance, that if we have a large-scale clinical  
7   trial, it provides a greater level of evidence than  
8   a bunch of epidemiologic studies -- a bunch of  
9   observational studies. And that's well determined  
10   and that's sort of -- we didn't have any trouble  
11   with that.

12           Where we're having trouble here, to a  
13   certain extent, is how to deal with meta analysis,  
14   which is kind of a new problem. That is, what do  
15   we do when we're comparing a well designed, large  
16   clinical trial with meta analyses from other  
17   trials, and it's kind of a new problem.  
18   Philosophically, I think I would tend to favor the  
19   large-scale trial, especially if it's well designed  
20   for the endpoint that we're concerned about. Thank  
21   you.

22           DR. BRANTLY: Other questions,

1 particularly centered around mortality data? Dr.  
2 Hendeles?

3 DR. HENDELES: I have a question for Dr.  
4 Michele.

5 Does either Advair or Symbicort have, in  
6 the labeling, approval for reducing exacerbations?

7 DR. MICHELE: There is an exacerbation  
8 claim and indication for Advair.

9 DR. BRANTLY: Let me see if I can  
10 stimulate a little discussion about the mortality  
11 again. It's an important issue here.

12 So we have data that suggests that at  
13 least in the UPLIFT trial, that there may be a  
14 mortality benefit associated with this particular  
15 study. That's an important indication and,  
16 obviously, it's the world's best outcome variable  
17 in a lot of ways for a drug. So we have a little  
18 of a conflict on shorter trials that suggest  
19 actually that there is not a mortality benefit;  
20 indeed, there may be an increase in mortality with  
21 some short-term trials using a similar, but not an  
22 identical formulation.

1                   The question is what is this telling us?

2                   Dr. Hendeles?

3                   DR. HENDELES: I'd like to first address  
4 this Respimat data. It doesn't make sense to me  
5 that the 5 microgram Respimat and the 18 microgram  
6 HandiHaler give the same area on the curves, saying  
7 that they have the same exposure, yet the Respimat  
8 has a greater systemic adverse effect.

9                   If you look at cardiovascular, for  
10 example, how can it cause a cardiovascular adverse  
11 event unless the drug gets to the heart? So that  
12 part doesn't make sense to me.

13                   The fact that there's a trend toward a  
14 dose response makes me wonder if either there is  
15 something in the two studies, like, for example, in  
16 the UPLIFT study, maybe people didn't inhale as  
17 deeply as the subjects did in the pharmacokinetic  
18 study. So maybe as much wasn't delivered or maybe  
19 the Respimat is a much more efficient delivery  
20 system than the dry powder inhaler.

21                   But it just doesn't compute to me that  
22 you could have the same exposure, systemic



1 exposure, and have an adverse cardiovascular event  
2 with one formulation and not the other.

3 DR. BRANTLY: Dr. Schoenfeld, let me just  
4 ask a question to you as part of the discussion.

5 Is there a weakness in looking at  
6 mortality in short-term studies?

7 DR. SCHOENFELD: That's a good question.  
8 I think that the problem is that you're actually  
9 looking at a somewhat different thing. The  
10 mortality in short-term studies is going to be the  
11 short-term mortality, and it may be different in  
12 the long-term mortality over four years.

13 It's also true that I guess in the long-  
14 term study, there was a -- since it was designed --  
15 it was more -- it was designed to look at  
16 mortality. They did do long-term follow-up, which  
17 they apparently didn't do in some of these other  
18 studies.

19 The problem with long-term follow-up has  
20 already been alluded to in some of the  
21 presentations; that is, if you don't do long-term  
22 follow-up, that is, ask everybody after four years

1   whether or not -- find out for everybody after four  
2   years whether or not they're surviving, then you  
3   have this problem that people sometimes drop out of  
4   trials and it's well known. I've seen it in a lot  
5   of data, my data. People drop out of trials when  
6   they get very sick and then they die subsequently,  
7   and their death is not counted in the dropout of  
8   the group and this can happen differentially. So  
9   this was mentioned.

10               So I certainly think that the best trial  
11   for looking at the adverse events is the UPLIFT  
12   trial, because it was designed for that purpose.  
13   It was designed as a trial to sort of have -- at  
14   least it appears, from what we've been told, at  
15   least, that it was designed as a large-scale trial  
16   for determining the full spectrum of the effects of  
17   this medication rather than a trial specifically  
18   designed for a specific purpose of showing efficacy  
19   in a different endpoint.       So it seems like the  
20   best trial for making judgments.

21               My view of the mortality issue is I think  
22   there's evidence that there is an improvement in

1 mortality and that's largely from the UPLIFT study.  
2 It's conceivable that the -- and, also, an  
3 improvement in cardiovascular events. It seems to  
4 me that the Respimat data could just be a matter of  
5 bad luck in Europe, bad luck, in a sense. I don't  
6 know that there is a significant interaction  
7 between these results. In other words, the results  
8 are close enough to 1 that it could be chance that  
9 things didn't work well in Europe. It's a fairly  
10 small trial and it sort of remains unexplained.

11           Now, I noticed that they withdrew the  
12 claim for mortality in a previous meeting with a  
13 different drug for the same indication. The P  
14 value for mortality was, I think, 0.05 and we  
15 neglected to approve the mortality indication,  
16 although I actually voted to approve it.

17           Here, we're at a 0.03. There's really  
18 not that much difference between 0.03 and 0.05. I  
19 guess the reason that they withdrew it is because  
20 there is some data, extra data, outside the trial  
21 that would indicate that it wasn't the case and it  
22 would make maybe the 0.03 more suspicious.

1           You might want to comment on why you  
2   withdrew the mortality claim.

3           DR. KESTEN: Thank you for raising that  
4   issue, and I would like to take the opportunity to  
5   clarify. We interpret the data from the UPLIFT  
6   trial as indicating a mortality benefit or survival  
7   benefit. There is no other tiotropium HandiHaler  
8   data that has influenced the decision or additional  
9   HandiHaler data that would take us away from that.

10           Indeed, with the pooled analysis of the  
11   26 trials of 17,000 patients, again, 12,000 patient  
12   years of exposure, we see that finding. Now, we  
13   recognize, though, here, the complexity that  
14   happens when we have the smaller numbers from the  
15   Respimat and having that kind of discussion.

16           So our decision here was we wanted to  
17   focus on the exacerbation efficacy endpoint in the  
18   discussions in the committee and, also, address  
19   those outstanding issues from observational studies  
20   and the early communication and focus on that.  
21   But, again, let me reiterate there is no additional  
22   HandiHaler data and we interpret it as you have.

1 DR. BRANTLY: Dr. Wolfe and then Dr.  
2 Platts-Mills.

3 DR. WOLFE: A small part of my question  
4 was answered by the last question and the response.  
5 The reason we have not been asked to vote on these  
6 discussion questions 1 and 2 is because the request  
7 for getting approval for mortality has been  
8 withdrawn.

9 So I think the two are very, very linked.  
10 You've heard just the company say that they had  
11 some concerns about the Respimat, which may or may  
12 not have been part of their decision to withdraw  
13 the request for an indication for mortality.

14 But I think it's reasonable to discuss  
15 these together. And I think that with the  
16 exception of the meta analysis, which I think there  
17 was a very good analysis of it by the FDA and, as  
18 was pointed out in your materials, a correction by  
19 the authors of at least part of the data, the rest  
20 of it is, at worst, neutral. In other words, it  
21 doesn't show -- the company says it prevents lies,  
22 but they are not even asking us to vote on that, so

1     that's not the issue.

2                 So you've got the UPLIFT, which at least,  
3     to me, seems not to show significant huge decreases  
4     in mortality, but certainly doesn't -- there is  
5     nothing about it or the other studies on this  
6     product that show an increase in mortality, again,  
7     with the exception of the at least criticized meta  
8     analysis in the JAMA.

9                 On the other hand, you have the smaller,  
10    shorter, smaller numbers, shorter duration, six  
11    months as opposed to four years, in this Respimat  
12    and, as I mentioned earlier, it is a larger dose  
13    and there at least is some suggestion of an  
14    increased mortality.

15                Again, we're not voting on that, because  
16    this product -- I don't think it's been submitted  
17    yet for approval from the FDA. I mean, you're not  
18    allowed to say that if it has been. Our discussion  
19    is simply saying same chemical, slightly higher  
20    dose, how do we interpret that, and I would argue  
21    we need to interpret the two together. The  
22    discussion of the two points, since there are no

1 votes at all, can, I think, easily and properly go  
2 together. What you come away with, for me,  
3 particularly because the company has already  
4 withdrawn the request, no comment or nothing will  
5 be made to change the label from what it is now on  
6 the HandiHaler and sort of reserve judgment on what  
7 happens with the other one, but informed somewhat  
8 about some risk information there.

9 DR. BRANTLY: Dr. Platts-Mills?

10 DR. PLATTS-MILLS: Can you answer a  
11 question? Did being in the UPLIFT study improve  
12 mortality? That is, I'm not talking about the  
13 comparison between the drug and placebo, but  
14 overall, it's a big enough study that you can  
15 relate it to population-based figures for COPD  
16 mortality.

17 So can you compare it to the -- that is,  
18 being followed this closely and monitored, does  
19 that improve mortality?

20 In relation to the Respimat data, one of  
21 the most striking things in the Respimat data was  
22 one arm of the study where the placebo group had no

1 mortality, which is always an extraordinary finding  
2 in a COPD study.

3           Could that be related to population-  
4 based? Then I have a comment.

5           DR. KESTEN: So I'll try and address each  
6 of the questions here. The first issue is, well,  
7 how does this compare to our population compared to  
8 the population as a whole, I suppose, is what  
9 you're referring to. We think we have a fairly  
10 representative population of patients who would be  
11 seen in the community. We did allow all sorts of  
12 medications, as Dr. Michele alluded to. Our  
13 criteria for inclusion were quite liberal. We had  
14 lots of patients with cardiovascular disease,  
15 musculoskeletal disease, gastrointestinal,  
16 psychiatric disease, as often you see in this  
17 population.

18           So we interpret the data from UPLIFT as  
19 being representative. We interpret the findings in  
20 a controlled clinical trial, so that caveat there.  
21 There is indication that there was lower rate or a  
22 lower hazard ratio for mortality with the group



1     treated with tiotropium.

2                 Now, I just want to get to the other  
3     issue about Respimat which is in there and Dr.  
4     Wolfe also alluded to.

5                 DR. PLATTS-MILLS:   But I think you're  
6     missing the point.

7                 Can you relate it to known rate of  
8     mortality in patients with COPD of this severity  
9     who are not included in a clinical trial?

10                DR. KESTEN:   I'm not sure I can answer  
11     that question, because the disease itself -- in  
12     population studies, and included some of the  
13     population studies which we included in the  
14     briefing document, shows, compared to a matched  
15     population, there is a significant increase in risk  
16     for mortality by having COPD and, indeed, for  
17     having cardiovascular events by the nature of  
18     having COPD.   I'm not sure if I'm answering your  
19     question.

20                DR. PLATTS-MILLS:   No, no.   But I think  
21     Dr. Tashkin definitely has figures for what the  
22     expected mortality rate over four years is of a

1 group of patients who are X percent Gold, 2-Gold,  
2 3-Gold, 4-Gold.

3 DR. KESTEN: Now, I understand. Right.  
4 So there is population data. I'm sorry. Now, I  
5 understand.

6 Dr. Tashkin, do you want to address the  
7 specific issue of mortality rate in COPD and the  
8 relationship to FEV1?

9 DR. TASHKIN: Actually, in my  
10 presentation, I misspoke. I wasn't really quoting  
11 David Mannino's work, but rather Soriano's using a  
12 U.K. administrative database. In that study, the  
13 results were not broken down by severity, but the  
14 overall mortality from COPD, three-year mortality  
15 was 33 percent. I don't know what it was in UPLIFT  
16 in the placebo group.

17 DR. KESTEN: I would like to address the  
18 other point that you made and Dr. Wolfe was  
19 referring to in terms of the dose issue of Respimat  
20 5 micrograms versus 18, because it has come up a  
21 couple times.

22 Mr. Chairman, may I just clarify that?

1 DR. BRANTLY: Yes.

2 DR. KESTEN: Also, Dr. Disse, with his  
3 expertise in pharmacology, perhaps could clarify  
4 that.

5 DR. DISSE: Can I have the slide from the  
6 adverse event dosing consideration, Respimat for  
7 fatal adverse events?

8 So here is, again, the studies which we  
9 used to address the dosing issues. They were one-  
10 year studies, with a reasonable number of patients,  
11 as indicated there, and compared 5, 10 and placebo.

12 Maybe we'll focus only on the numbers and  
13 ignore the incidence rates. All cause, with vital  
14 status follow-up, an appropriate measure for a  
15 mortality assessment, 9 placebo, 14 versus 17.  
16 That looks like an apparent increase.

17 But similar to the slide that also Dr.  
18 Michele has outlined, if you then look at the  
19 system organ class distribution, you find in  
20 cardiac disorders 161. You find in general death,  
21 sudden death, 306. If you add the two up, which  
22 you might do, it's 467.

1           Then respiratory system is against  
2 everything which we have seen in other tiotropium  
3 databases. So a high mortality by, I believe,  
4 chance in the Respimat 5 group. Gastrointestinal,  
5 202. Neoplasms, 101. Respiratory neoplasms, 012.  
6 Nervous system, 201. Then some scattered cases in  
7 individual classes.

8           So I think that doesn't make sense. That  
9 doesn't give a pattern.

10           We further analyzed adverse events and  
11 serious adverse events for any dose relationship  
12 and, yes, you find it, but for those adverse events  
13 which are anticholinergic mechanism-related. So it  
14 starts with dry mouth. It's dyspepsia. It is  
15 urinary retention, urinary tract infection.

16           There you find the signal in a dose-  
17 dependent fashion. If you then use this sensitive  
18 signal and compare the database for Respimat versus  
19 the database for HandiHaler, the adverse event  
20 signal is similar.           So from that point of  
21 view, we have concluded, no, we don't find evidence  
22 supporting a dose response relationship for

1 mortality, but certainly we find it for typical  
2 anticholinergic events.

3 DR. BRANTLY: Dr. Platts-Mills?

4 DR. PLATTS-MILLS: I just wanted to make  
5 a final comment. I was impressed with Dr.  
6 Pinheiro's analysis of the meta analysis and I have  
7 had great trouble with meta analysis in the past.  
8 I'm increasingly impressed that the criteria for  
9 choosing studies that go into meta analysis  
10 controls the analysis and it can be done in a  
11 thousand ways with a thousand different intents.

12 DR. BRANTLY: So I just wanted to go back  
13 and, one more time, frame Dr. Platts-Mills'  
14 discussion and see if I can also get at that just a  
15 bit. What you were speaking about is there is a  
16 center effect.

17 That is, we know that oftentimes these  
18 clinical trials are conducted in really centers of  
19 excellence regarding therapies and that oftentimes  
20 just by the fact of being at a center, patients  
21 have decreased mortality and some improvement in  
22 outcomes.

1                   It would seem like that issue would be  
2   taken care of by the fact that it's randomized,  
3   placebo-controlled, though.

4                   Dr. Hendeles?

5                   DR. HENDELES: I have a question for Dr.  
6   Tashkin. In the TORCH study, as I recall, there  
7   was no difference in mortality between the  
8   salmeterol-fluticasone. Maybe you could refresh  
9   our memory and how that may differ from the UPLIFT  
10   study.

11                  DR. TASHKIN: I'd like to respond to your  
12   question, but we have an expert here who has  
13   published on the results and the TORCH data with  
14   respect to the impact of salmeterol on mortality  
15   results, and perhaps Dr. Suissa would like to  
16   comment.

17                  DR. SUISSA: My name is Samy Suissa. I'm  
18   from McGill University-Montreal. I do have  
19   conflicts. I was paid an honorarium to attend this  
20   meeting by Boehringer Ingelheim and I do receive  
21   research grant funding. I have been a speaker and  
22   attended at board meetings for Boehringer

1     Ingelheim.

2                 Regarding the TORCH study, which is  
3     another very large-scale, randomized control trial,  
4     a two-by-two factorial design, where the LABAC,  
5     long-acting beta-agonist component, and the inhaled  
6     corticosteroid component were evaluated.

7                 Indeed, I believe maybe this committee  
8     has looked at that study already in the past, where  
9     they found that a combination therapy compared to  
10    placebo almost reached statistical significance in  
11    reducing mortality.

12                I believe Dr. Tashkin wants me to speak  
13    about my further analysis of these data, which have  
14    shown, by a two-by-two factorial analysis, that, in  
15    fact, what was driving this reduction in mortality  
16    was the long-acting beta-agonist component of  
17    salmeterol rather than the inhaled corticosteroid  
18    component. And this, again, goes along with the  
19    discussion that we've had here regarding the effect  
20    on bronchodilation in COPD as opposed to asthma and  
21    the beneficial effects of bronchodilation regarding  
22    mortality.

1                   So, in fact, we can even say that from  
2   that perspective, there is very good concordance  
3   between the TORCH results regarding bronchodilator  
4   effects on mortality and this UPLIFT study that we  
5   are seeing here, also, regarding bronchodilator  
6   effects on mortality.

7                   Does this answer your question, Dr.  
8   Hendeles?

9                   DR. HENDELES:   Yes.

10                  DR. BRANTLY:   Any further comments on the  
11   two questions associated with mortality?  Mr.  
12   Terry?  I'm sorry.

13                  MS. HOLKA:   Andrea Holka.  That's okay.  
14   My question relates to the Respimat trial, the 372.  
15   I'm just wondering if there are any plans that the  
16   sponsor has to extend this trial out to more years  
17   or whether or not you plan to take on another trial  
18   to watch this mortality.

19                  DR. KESTEN:   Yes.  I think that's also an  
20   important issue.  With the Respimat program, as has  
21   been described, we are looking at smaller numbers.  
22   We're looking at a smaller dataset when we look at



1 the totality of our experience with the extensive  
2 HandiHaler clinical trial program. So the  
3 important issue is to generate information and we  
4 do plan to conduct a larger long-term trial  
5 comparing the relative benefits and safety of  
6 tiotropium Respimat to that of HandiHaler.

7 DR. BRANTLY: Dr. Newman?

8 DR. NEWMAN: If I could just follow-up on  
9 that?

10 Are there any plans in that -- and I  
11 think it's important and a great idea to be doing  
12 that study. Are there plans in that or what are the  
13 plans for how you'll look at the mortality signal  
14 in that?

15 Will it be like what was done in UPLIFT  
16 or are there some other approaches that you're  
17 likely to take to examine mortality specifically?  
18 I'm glad to hear that that's going to be happening.

19 DR. KESTEN: We think it is important and  
20 that's the reason for doing it. We believe that  
21 the standard that we've set and established in our  
22 experience with UPLIFT gives us guidance here. So

1 we will be collecting vital status information of  
2 prematurely discontinued patients.

3 We are planning on establishing an  
4 independent mortality adjudication committee.  
5 We're going to have a data safety monitoring board.  
6 We're into just the planning stages and we're  
7 looking forward to discussions and suggestions on  
8 this trial.

9 DR. BRANTLY: Dr. Honsinger?

10 DR. HONSINGER: Just a comment. I'm glad  
11 that the sponsor withdrew the application for us to  
12 review the mortality data and to make a decision  
13 regarding the Spiriva HandiHaler.

14 Certainly, the data looks like the  
15 Spiriva HandiHaler doesn't cause mortality. It may  
16 actually improve mortality. But when we have to  
17 evaluate then on the basis of the limited data and  
18 the limited study on Respimat, it would have been  
19 hard to make a decision that we should approve that  
20 as a package insert.

21 DR. BRANTLY: I'd like to make a stab at  
22 providing sort of a summary of the committee's

1 feelings regarding the mortality data and where we  
2 should go with that. I'd like the other committee  
3 members to add into that.

4           So I would say, number one, that the  
5 UPLIFT data is very compelling, very important;  
6 that the conflict with the shorter-term data  
7 regarding the Respimat is a bit concerning, but is  
8 likely not to bear fruit when this is taken out on  
9 a longer period of time; and, that our  
10 encouragement would be that this would be pursued  
11 as an indication in the future of this very  
12 important outcome variable.

13           Anybody to add to that?

14           DR. NEWMAN: Well, I'm not sure I would  
15 go quite as far as you did with that, Mark, but I  
16 would agree that the UPLIFT data is -- I think this  
17 was already said -- suggests that at least we're  
18 not seeing an increase in mortality. In my  
19 opinion, I think it trumps the meta analysis for  
20 the reasons that have been discussed.

21           I don't know what to make about the  
22 Respimat data, except not to have it at this point

1    override the data from UPLIFT.  I guess that's  
2    about as far as I would go with it and just add  
3    that I'm pleased that there is going to be a larger  
4    study done.

5                   DR. BRANTLY:  Dr. Chowdhury?

6                   DR. CHOWDHURY:  Thank you for your  
7    summary comment.  It was very, very helpful for us  
8    to hear that.  I just wanted to probe a bit more  
9    about your comment, the company's comment regarding  
10   the possible procedure for the indication for  
11   mortality.

12                  We also hear -- acknowledging that  
13   Respimat has this finding which sort of goes in the  
14   direction opposite to the HandiHaler.  The  
15   explanation for that is really not there,  
16   acknowledging what Dr. Hendeles has said, possibly  
17   related to exposures, related to the two products.

18                  My point here that I wanted to discuss a  
19   bit more and perhaps comment on is before pursuing  
20   the mortality potential as an indication, what  
21   further studies would the company think would be  
22   necessary, if any?

1 DR. BRANTLY: Comments?

2 DR. HENNESSY: Given we have a large  
3 randomized trial that doesn't suggest an increased  
4 risk of mortality and does suggest a reduced risk  
5 of mortality and that any randomized trial -- that  
6 a randomized trial, particularly if this outcome,  
7 is going to be much more convincing than any non-  
8 randomized study, I don't know that we need any  
9 additional data concerning the concern about  
10 elevated mortality rates.

11 DR. LESAR: Tim Lesar. My only comment  
12 about the mortality is I kind of look at things a  
13 little bit differently. There are a lot of other  
14 variables. While patients may have looked similar  
15 at the start in randomization, at four years, do  
16 the populations again look the same in terms of  
17 what other medications they're on?

18 Are there intercurrent illnesses that  
19 serve to recur? How much beta-agonist are they  
20 using? So there are some things that didn't occur  
21 at the analysis at that point, but did occur at the  
22 beginning.

1                   So over long periods of time, patients  
2   become different, and does that occur similarly  
3   within the two populations? That's my only comment  
4   about comparing mortality.

5                   DR. BRANTLY: Dr. Knoell?

6                   DR. KNOELL: Thank you. I don't have  
7   much to add. Maybe it's my pharmacy background,  
8   but I keep going back to the picture of the  
9   absorption values, systemic blood levels after  
10   inhalation.

11                  One thing that did strike me is that at  
12   the early time points, to reach peak plasma  
13   concentrations, there's an amazing amount of  
14   variability between those three different delivery  
15   platforms.

16                  In the case of the 10 microgram dose of  
17   Respimat, the peak levels at the highest point are  
18   extremely high relative to the other two groups.  
19   So that does continue to raise concern for me.

20                  Related to that, we've talked about the  
21   convincing evidence that you could not relate blood  
22   plasma levels of the drug to well established side

1 effects as a function of anticholinergic activity.

2 I believe that.

3           Something we haven't talked about today,  
4 and I would assume that you're interested in  
5 pursuing, but cannot yet do given limitations in  
6 the field, and that is the receptor responsivity of  
7 the patients and the possibility that different  
8 patients respond differently to similar doses of  
9 the drug because of changes in the way their  
10 receptor interacts with the lung, although we can't  
11 do that yet, I understand. In the future, that may  
12 be possible and that may be a way to get at better  
13 understanding of potential toxicity.

14           DR. BRANTLY: Dr. Wolfe, you had a  
15 comment?

16           DR. WOLFE: Yes. I think someone, I  
17 can't remember who, from the company, when someone  
18 in our group asked about number needed to treat.  
19 The figure that was given out was you need to treat  
20 100 patients to prevent one death.

21           I think Dr. Platts-Mills' question is a  
22 good one. In this randomized control trial,

1    admirably long, admirably large, the UPLIFT trial,  
2    we see this difference, although it's only going as  
3    far as the non-hospitalized version of  
4    exacerbations. But do we really think -- I'm  
5    pursuing the same question that was raised by  
6    someone else. You raised the issue about the  
7    company possibly pursuing a mortality benefit.

8                   Are there other drugs right now that have  
9    been approved with a mortality benefit for COPD? I  
10   don't know that.

11                  FDA?

12                  DR. MICHELE: If I could respond to that.  
13   There are no drugs that have a specific indication  
14   for the improvement of mortality for COPD. In the  
15   Advair label, the TORCH study is described with the  
16   results of the mortality data in the label.

17                  DR. WOLFE: So this would be the first  
18   drug, if someone sought that, and one might want to  
19   look at the mortality data from some of these other  
20   drugs and see how it compares.

21                  There was no reason for that to be  
22   presented today, but we also weren't discussing



1   whether it should be approved for a mortality  
2   indication.  So I think that before ever getting to  
3   the point of thinking about that, it would be worth  
4   the FDA and anyone else who is interested looking  
5   at the data, of which there is quite a bit, on some  
6   of these other treatments for COPD.

7                 DR. BRANTLY:  Dr. Platts-Mills?

8                 DR. PLATTS-MILLS:  I would encourage not  
9   to pursue mortality data, because this is a disease  
10  of which everyone dies fairly rapidly and the  
11  longer you go on with the study, the more  
12  inevitable death will become.  To go beyond four  
13  years would be an extraordinary achievement.

14                I would encourage focusing on quality of  
15  life and, obviously, an interaction with pulmonary  
16  rehab or the interaction with other aspects of  
17  management to try and control exacerbations would  
18  be very interesting.

19                That is, what do you provide patients  
20  with so that they can handle their own  
21  exacerbations at home?  I think that's a much more  
22  productive line than focusing on the mortality.

1 You're dealing with adult men who are already down  
2 to 30 percent of their lung function and pursuing  
3 survival is not a very good idea.

4 DR. BRANTLY: Dr. Schoenfeld?

5 DR. SCHOENFELD: I think this issue of  
6 whether the number needed to treat is the relevant  
7 statistic here, is an interesting one to me,  
8 because yesterday, of course, that was, I think, an  
9 important issue was the number needed to treat.

10 I think, again, this is different on a  
11 secondary claim. This is a different issue on a  
12 secondary claim versus a primary claim. That is,  
13 it seems to me that if your primary claim was  
14 mortality, if, in fact, this drug had no  
15 symptomatic effect, then I think the number needed  
16 to treat is a relevant quantity. And you might ask  
17 the question, if the drug had nothing to do with  
18 anything but mortality, is the kind of difference  
19 that you're seeing in this population worthwhile,  
20 and that would be a question.

21 But I think that the issue is not that.  
22 The issue is, rather, the primary indication is, in

1 fact, symptomatic, the forced vital capacity in  
2 dyspnea, as measured by FEV. The question is what  
3 are the secondary effects of this treatment. Then  
4 I think it's, again, descriptive; can you add  
5 mortality to that advertisement?

6 So the question then is not a number  
7 needed to treat question, but just what does the  
8 data show.

9 DR. BRANTLY: Dr. Chowdhury?

10 DR. CHOWDHURY: If I can just go back and  
11 comment on Dr. Wolfe's question about mortality  
12 indications throughout the COPD trials. The answer  
13 is no. Advair was the drug that we discussed at  
14 this committee a couple of years or months ago with  
15 that question and the P value was not significant,  
16 missed it marginally. And the committee was of the  
17 opinion, and we ultimately went in the direction,  
18 of not giving a mortality claim. A mortality claim  
19 for any drug, particularly a drug for COPD, would  
20 be a substantial claim. It is a substantial claim.

21 So I just wanted to make sure that we get  
22 feedback from the committee. But for this

1 particular drug, based on the existing data, should  
2 the committee recommend or would the committee  
3 think that more studies or more data needs to be  
4 generated before one would consider a mortality  
5 claim?

6 DR. BRANTLY: Dr. Honsinger?

7 DR. HONSINGER: In answer to your  
8 question, I think we have adequate data for this  
9 Spiriva HandiHaler. But there's the onus of this  
10 other study and another formulation of tiotropium.  
11 And I think we need to remove the onus of the fear  
12 of other tiotropium before we say that it doesn't  
13 change mortality data.

14 So I think we can say that it doesn't  
15 cause increased death. Before we say it saves  
16 lives and prevents death, we need to know more  
17 about tiotropium in other studies and other  
18 formulations.

19 DR. BRANTLY: Dr. Schoenfeld, did you  
20 have another comment?

21 DR. SCHOENFELD: Well, I guess the  
22 implication of what you said was that a mortality

1 claim is treated differently than other secondary  
2 claims, that it becomes sort of a primary claim.

3 I guess then that requires two well  
4 controlled studies. So what would be suggested by  
5 that, if you're going to treat it as that, what  
6 obviously is needed is another study that shows a  
7 mortality benefit.

8 DR. BRANTLY: Dr. Chowdhury?

9 DR. SCHOENFELD: That would clearly do  
10 it.

11 DR. CHOWDHURY: I just wanted to come  
12 back. It's not necessarily that all the claims in  
13 this application -- and mortality is a claim, and I  
14 think one can be open to whether one study would do  
15 it or not.

16 If you really have a drug that has a  
17 mortality benefit, doing a subsequent study for the  
18 same drug with the same question becomes something  
19 of a difficult issue. Here, the question is, going  
20 back to what Dr. Honsinger just mentioned, we have  
21 two products with the same active moiety seemingly  
22 not agreeing with each other.

1           In that situation, if one is to pursue a  
2   mortality claim for one product, what do you think  
3   one should do? I mean, one thing that we heard is  
4   remove the mortality sort of question from that  
5   product that Dr. Honsinger mentioned, as I think we  
6   first hear. I just wanted to hear if anybody else  
7   has anymore comments on that.

8           DR. PLATTS-MILLS: Can I just comment  
9   very briefly on Dr. Schoenfeld's comment?

10          Doing a four-year, 5,000-patient study  
11   again is an incredible thing to ask. This is an  
12   extraordinary database that we've got and the  
13   results are very clearly what they are. They're  
14   there. I think asking for another one is  
15   extraordinary.

16          DR. SCHOENFELD: There is also the issue  
17   as to whether mortality is a -- whether we can  
18   treat mortality in this setting in the same way  
19   that we treat mortality, for instance, in cancer or  
20   in other settings in the sense that this is a very,  
21   very highly symptomatic disease in which people are  
22   extremely disabled.

1           The lung volume reduction study, which  
2   was a major study in this disease, actually  
3   considered mortality as a secondary endpoint.  
4   Basically, they used -- at least in my memory of  
5   the study, it was sort of a non-equivalent -- it  
6   was an equivalence, a noninferiority endpoint.

7           They wanted to improve patient symptoms  
8   and they just wanted to be sure that mortality  
9   wasn't made worse by the treatment. So in a  
10   certain sense, mortality doesn't become the major -  
11   - at least in that trial, in that interpretation,  
12   mortality was not the major issue. The major issue  
13   was quality of life, basically, and the problem  
14   with quality of life, of course, is it's very hard  
15   to measure. So in that study, they did a six-  
16   minute walk as a measure and in this study, I  
17   guess, it's lung function.

18           DR. BRANTLY: So I'd just like to go back  
19   to this discussion one more time. I think that in  
20   pulmonary medicine, we have not thought about COPD  
21   and the concept of it reducing mortality. I think  
22   that it's a new thought. It's something that we

1 never believed that we'd ever come to, quite  
2 frankly.

3           It remains an exciting outcome variable  
4 for me, and I would like to see this pursued with  
5 greater vigor at the present time. Whether that's  
6 going to end up having another, unfortunately,  
7 long-term study or whether there needs to be  
8 resolution on the other drug as far as its  
9 mortality, I really would encourage going for the  
10 gold regarding this in a lot of ways.

11           Dr. Hendeles?

12           DR. HENDELES: One thought I had is is it  
13 possible that the bioavailability or  
14 pharmacokinetic studies were done in healthy  
15 volunteers and not in patients with COPD.

16           DR. CHOWDHURY: Is that a question or  
17 just a comment?

18           DR. HENDELES: It's a question, because  
19 then I have a comment depending upon the answer.

20           DR. DISSE: It was, of course, done in  
21 both, but the data shown by us and Dr. Michele were  
22 inpatients.



1 DR. HENDELES: Thank you.

2 DR. BRANTLY: With those comments, I'd  
3 like to move on to our first voting question.  
4 Again, it's my interpretation, unless somebody  
5 disagrees, that questions 1 and 2 are really linked  
6 to each other and that we've provided the agency  
7 with the appropriate guidance.

8 DR. CHOWDHURY: Yes. They are linked to  
9 each other and you have. Thank you very much.

10 DR. BRANTLY: So question 3, do the data  
11 from the trials 205.235, the UPLIFT, and the VA  
12 study provide substantial and convincing evidence  
13 to support the claim that Spiriva HandiHaler  
14 reduces COPD exacerbations, and if not, what  
15 additional data is needed?

16 We'll go ahead and start the vote,  
17 please.

18 Does anybody want to clarify the  
19 question? Is everybody clear on the question? You  
20 want some discussion. Sorry to hurry this.

21 Dr. Hennessy?

22 DR. HENNESSY: So from the VA study, it

1 looks like there's a 4.4 percent absolute reduction  
2 in exacerbation over six months, which, over a  
3 year, if you do the math, it's 8.8 percent. In the  
4 UPLIFT study, it looks like the absolute difference  
5 is about 12 percent per person per year.

6           Those numbers are very consistent,  
7 although I want to be careful about considering  
8 results from exploratory analyses when looking at  
9 the label. I think we can consider the UPLIFT  
10 study to be supportive of the primary data from the  
11 VA study, particularly since reduction of  
12 exacerbations was the principal endpoint of the VA  
13 study.

14           DR. BRANTLY: Dr. Knoell?

15           DR. KNOELL: Thank you. Just a quick  
16 clarification. You mentioned early on in the day  
17 that you actually did look extensively at quality  
18 of life indices. We haven't talked about them, for  
19 the most part. I did pull up Dr. Tashkin's paper  
20 and clearly there is a nice figure in there  
21 showing, with the St. George questionnaire, that  
22 there were differences.

1                   But could you comment further? The  
2 bottom line is did these patients feel better over  
3 the course of four years?

4                   DR. KESTEN: Thank you for asking that  
5 question, because it's one of the critical issues.  
6 Are we making patients feel better here? We have  
7 done a number of studies with the St. George's  
8 Respiratory Questionnaire as a questionnaire  
9 measuring health-related quality of life specific  
10 to COPD patients.

11                  Now, I recognize that there is debate  
12 about the questionnaire, but it's probably the most  
13 widely used questionnaire in COPD. We have seen  
14 consistent reductions in -- we have seen consistent  
15 improvements in the St. George's Respiratory  
16 Questionnaire in our registration studies. We also  
17 had conducted a primary outcome study on it in  
18 France and had that a positive result. In the  
19 UPLIFT study, we see the scores improving over four  
20 years with treatment.

21                  So we believe that the data is very  
22 strongly supportive of exactly what you're

1 referring to, that there was symptomatic  
2 improvement that sustained.

3 DR. BRANTLY: Dr. Platts-Mills?

4 DR. PLATTS-MILLS: Can I pursue a  
5 question that I was asking this morning? In  
6 asthma, active smoking has a profound anti-  
7 inflammatory effect. That is, you can actually  
8 measure decreased exhaled nitric oxide, decreased  
9 eosinophils in the peripheral blood, decreased ECP  
10 in secretions. It would pass muster as an anti-  
11 inflammatory drug.

12 Therefore, if your drug is addressing the  
13 issue of mechanism of decreased exacerbations, if  
14 your drug was an anti-inflammatory effect, then you  
15 might expect, as we see in asthma, that anti-  
16 inflammatory drugs have very little effect in  
17 active smokers; that is, the inhaled steroids  
18 appear not to work in patients who are currently  
19 smoking.

20 So the question is, can you see any  
21 effect of that kind in COPD, because if you can't  
22 see any difference, it argues very strongly against

1   there being an anti-inflammatory or some other  
2   anti-something effect, i.e., that the only effect  
3   is bronchodilator?

4               DR. KESTEN:   Maybe I should clarify my  
5   previous comments.   The basic laboratory  
6   investigations on antimuscarinics are just that, in  
7   the lab only.   No one has shown that there's  
8   clinical benefit of this putative anti-inflammatory  
9   lab stuff.   And we do believe that the major  
10   benefit and the reason we're seeing the  
11   exacerbation reduction relates to the ability of  
12   keeping the airways, the pipes open and reducing  
13   lung volumes.   It's an effect of sustained airway  
14   patency, providing that throughout 24 hours.   And I  
15   think our data is consistent with that, and we are  
16   not proposing other mechanisms.

17              DR. BRANTLY:   Dr. Michele?

18              DR. MICHELE:   I just wanted to add a  
19   clarifying comment with regard to the St. George  
20   Respiratory Questionnaire.   There was a  
21   statistically significant improvement in UPLIFT,  
22   but I did want to mention, as is noted in your

1 background package on pages 101 and 102, that while  
2 the results were highly statistically significant,  
3 they did not reach the clinically important  
4 difference of four, which is considered kind of the  
5 threshold for that.

6 DR. BRANTLY: Dr. Wolfe, you had another  
7 comment?

8 DR. WOLFE: In the briefing package and,  
9 to some extent, in the presentation by the  
10 statistician before, we have the situation where,  
11 in the VA study, the primary outcome was reduction  
12 in exacerbations and it seemed to have worked  
13 there, but the primary outcome in the UPLIFT study  
14 was reduction in the slope of the FEV1 before and  
15 after. And it didn't work at all, and, therefore,  
16 the secondary outcome can be just looked upon as  
17 exploratory.

18 So the question I'm raising is I believe  
19 that FDA has, as a standard for approving a drug or  
20 adding a new indication, that there need to be data  
21 from two randomized control trials, and we  
22 essentially have it from one. The other one is

1 looked at in an exploratory kind of way.

2           The phrase is used it's supportive and  
3 everything, but I just want to ask the FDA, is it  
4 not the case that you need to have data from two  
5 randomized trials, where presumably it was the  
6 primary outcome variable, to say, yes, we have two  
7 different sets of data that show that there is a  
8 reduction in exacerbation?

9           DR. CHOWDHURY: The substantial and  
10 convincing evidence is the standard that we use for  
11 a labeling claim. Generally, it has translated for  
12 most of the situations, requiring two studies.  
13 However, there are exceptions to that and there are  
14 situations where one study may be adequate for  
15 approval if the evidence is strong and the evidence  
16 is supported by other supporting information.

17           In fact, there is an FDA guidance  
18 document that we call the effective document that  
19 talks about situations where one study may be  
20 enough. This is a situation that we often deal  
21 with, and the situation here is kind of that, where  
22 we have one study where the primary endpoint is

1   exacerbation, as you mentioned, the other one which  
2   is not. And we do not necessarily always apply the  
3   two-study principal all the time for all the  
4   claims.

5               So having said that, I would like to take  
6   this opportunity to perhaps invite Dr. Permutt, who  
7   is our division director in the Office of  
8   Biostatistics, to comment on this secondary  
9   endpoint in the UPLIFT study. Thank you.

10              DR. PERMUTT: Tom Permutt, Division of  
11   Biometrics II. On the immediate question, as Dr.  
12   Chowdhury says, the standard is substantial  
13   evidence based on adequate and well controlled  
14   studies, which we've taken to mean the plural to be  
15   significant in most cases. But as he says, also,  
16   there are exceptions. One of the most important  
17   exceptions is the one that he raised earlier, that  
18   it's often not desirable ethically to repeat  
19   mortality studies when there are unclear findings.

20              Dr. Chowdhury has also asked me to  
21   comment on the question that came up earlier about  
22   the FDA's view of secondary endpoints. And that's



1 also somewhat complicated, as you heard from Dr.  
2 Buenconsejo and one of the sponsor's consultants.

3           We do exercise judgment to avoid  
4 suppressing important information simply because it  
5 wasn't foreseen in the planning of the study. On  
6 the other hand, one has to consider really the  
7 possibility of doing studies in drugs that don't  
8 have the desired effects and what happens when we  
9 apply various inferential procedures to those  
10 studies.

11           So in this case, if the UPLIFT study had  
12 produced a statistically significant result on the  
13 FEV1 measures and on nothing else, I don't think  
14 that the applicant would be here telling us how  
15 important exacerbations are. I mean, they are  
16 important, but we wouldn't be told that we couldn't  
17 approve a labeling supplement on the primary  
18 endpoint because it wasn't supported by the  
19 secondary endpoint.

20           So if you take seriously the notion of a  
21 Type I error of finding an effect when there is no  
22 effect, we clearly had the whole 0.05 probability

1 of making that error, of making that incorrect  
2 finding, and we clearly have added to that, if we  
3 choose to, the probability of making a finding on  
4 this other variable.

5           Now, there are reasons to think, as Dr.  
6 Lee wrote in the briefing document and some of you  
7 have commented, that given the very high nominal  
8 significance and the corroboration from the other  
9 study, that we're not adding very much to the  
10 probability, but we are adding something to the  
11 probability.

12           I'd also like to say something, because  
13 it's also relevant to this question, about what the  
14 regulatory situation is here. So we're not  
15 actually discussing providing or withholding a  
16 treatment from any population here.

17           What we're asking you to discuss is a  
18 rather technical point about -- or even, for that  
19 matter, of suppressing the information about the  
20 results of this study. You've heard them. They  
21 will be published. They will be discussed in a  
22 variety of forums.

1                   What we're discussing is whether there  
2   can be an FDA-endorsed claim for these effects. I  
3   think my personal feeling is that that is a  
4   situation in which we can afford to maintain  
5   standards and we don't really need to stretch to  
6   try to find a way to make these important data  
7   available.

8                   I've heard the word "indication" here  
9   several times and I'm puzzled by it, because  
10   neither the prevention of exacerbations nor the  
11   mortality claim is an indication, as I understand  
12   the word. It does not say in what patients it is  
13   indicated to treat with this product. It's merely,  
14   as Dr. Schoenfeld said, a kind of advertisement and  
15   a special kind, one with official endorsement to  
16   it. So that's the regulatory situation we're in.

17                  DR. BRANTLY: I think Dr. Schoenfeld had  
18   his hand up fastest. So I guess this is sort of  
19   the interesting issue as to what is raised here,  
20   which I'm not sure what the answer is, what is the  
21   standard; what should the standard be for  
22   essentially not an issue of indication, but simply

1 an issue of what the sponsor can tell doctors and  
2 patients, if they choose to, in their advertising  
3 claim; that is, what can they basically say is  
4 known about this drug? That's the issue.

5           So the question then is, is there  
6 substantial evidence of this indication, despite  
7 the fact that it wasn't -- in the biggest study,  
8 the clearest study, it wasn't the primary  
9 indication. I personally think there is  
10 substantial evidence.

11           So it will be Dr. Newman, Dr. Wolfe and  
12 then Dr. Michele.

13           DR. NEWMAN: I guess I want to hear more  
14 from people here on this point, because I'm sitting  
15 here struggling with the concept that one designs a  
16 study with primary endpoints, with a data analysis  
17 plan for secondary endpoints that only get  
18 seriously considered -- we heard from the  
19 statisticians that this is the way we went into  
20 this.

21           What does it mean to then post hoc say,  
22 "Well, that doesn't matter. We're going to go

1 ahead and take the interesting secondary endpoints  
2 that we want to pursue and add those to the  
3 package?"

4 DR. BRANTLY: Dr. Wolfe?

5 DR. WOLFE: We've seen this data, but  
6 it's, I think, relevant to the discussion we're  
7 having. In the VA study, the exacerbation P value  
8 for any exacerbation was 0.037, which was  
9 significantly, obviously, and with hospitalization,  
10 it was 0.056.

11 In the UPLIFT study, where, as we've  
12 agreed, this was a secondary outcome, the primary  
13 one failed, the percentage of patients with an  
14 exacerbation, the P value was 0.35, and the  
15 patients with hospitalization due to exacerbation,  
16 the P value was 0.18.

17 Now, as Dr. Michele pointed out, when you  
18 went into some elaborations of that time to  
19 exacerbation and so forth and so on, there were  
20 some differences there, but that was not what the  
21 even secondary outcome was, as I remember. It was  
22 the percentage of patients who had an exacerbation.

1                   So that aside from the issue of whether  
2   this is the primary or the secondary outcome, it  
3   was not statistically significant.

4                   DR. BRANTLY: Dr. Michele first and then  
5   Dr. Schoenfeld.

6                   DR. MICHELE: Dr. Schoenfeld, did you  
7   have a comment on what he just said? Because I  
8   have a different topic.

9                   DR. SCHOENFELD: Well, I thought -- and  
10   maybe the people from the sponsor -- I thought that  
11   the measure of exacerbations that was primary in  
12   the UPLIFT study was, in fact, the time until the  
13   first exacerbation. That's correct, isn't it?

14                  DR. KESTEN: May I clarify? Yes. So we  
15   specified two secondary endpoints in our  
16   statistical analysis plan and the data were  
17   analyzed based on having a completed, locked,  
18   blinded dataset. Those were time to first  
19   exacerbation and time to first hospitalization.

20                  Just to also clarify, if we had done a  
21   10-year trial with tiotropium, the proportion of  
22   patients with an exacerbation would probably be 80

1 to 100 percent. Eventually, everyone is going to  
2 have this event and that's why it was important in  
3 the long-term study to use time to first event.

4 DR. SCHOENFELD: But when you design a  
5 trial, there are two issues, actually. There is  
6 not only the issue of what is the important sort of  
7 physiologic, biologic or patient-centered effect  
8 that you're going to measure, but there is also the  
9 question of what is the best way to analyze that  
10 effect. And the design of the trial, its duration  
11 and the way it's designed determine how you're  
12 going to analyze that effect.

13 So in some trials, the occurrence of the  
14 effect would be the best way to do that.  
15 Sometimes, the number of such would be the best  
16 way. Sometimes, the time until the event would be  
17 the best way. So that decision is usually made  
18 before the trial is designed or before the trial is  
19 analyzed. So in a sense, their method of measuring  
20 it was time until, because it was a long-term  
21 trial, and that was statistically significant. So  
22 I don't think that that comment is an indication of

1 sort of that the result might be spurious, because  
2 it isn't an issue.

3 DR. BRANTLY: Dr. Wolfe?

4 DR. WOLFE: The question we're being  
5 asked is, does it provide substantial and  
6 convincing evidence to support the claim that  
7 Spiriva HandiHaler reduces COPD exacerbations.  
8 That's the question we're being put.

9 Whereas in the VA study, at least for the  
10 non-hospitalization ones, the answer was yes. In  
11 the hospitalization ones, it was no. That same  
12 question, again, what we're voting on, neither of  
13 those measures were statistically significant.  
14 That's the question we're voting on.

15 DR. BRANTLY: I think it reduces --  
16 "reduces" is actually, interestingly, vague. I'm  
17 sure this question is purposely vague, because  
18 "reduces," I think, as this meaning, means that --  
19 really, what we're actually being asked is, is  
20 there evidence that over the long course, it will  
21 reduce the number of exacerbations patients have,  
22 and that could occur.



1               Like you do in most of clinical medicine,  
2   you extrapolate beyond clinical trials. What we're  
3   trying to see is if a patient takes this treatment,  
4   whether that patient will have less exacerbations  
5   overall over their life span, and if you reduce the  
6   time between them, you reduce the exacerbations  
7   just as well as if you reduce the number in one  
8   year, which is the other endpoint.

9               DR. WOLFE: But what we're talking about  
10   is an advertising claim. Your point is absolutely  
11   right. The advertising is not going to say the time  
12   to the first, whatever; it's going to be does or  
13   does not reduce exacerbations.

14              DR. BRANTLY: Yes, which could mean  
15   either in this case. What I'm saying is that  
16   that's vague enough. It is an interesting  
17   question.

18              Would you reword the claim so that it  
19   covers both endpoints?

20              DR. WOLFE: Whoever said that we're not  
21   voting on it, it's not an indication. It's  
22   essentially a benefit claim, which, if it gets in

1 labeling, it can be used in the advertising and  
2 would have a distinct advantage. But, I mean, the  
3 way in which it's worded in our question is  
4 probably the way it might get worded in the  
5 advertising. I'm just simply pointing out that the  
6 UPLIFT doesn't confirm that at all.

7 Dr. Michele had something else.

8 DR. MICHELE: Yes. To further clarify  
9 all of this discussion here, Dr. Schoenfeld very  
10 astutely picked up on the vague wording here, which  
11 was intentionally worded as such, because we didn't  
12 want to detract from the issue of does it improve  
13 COPD exacerbations or not. How the claim is worded  
14 is a totally different issue.

15 I just wanted to provide a bit of clarity  
16 to Dr. Permutt's very nice discussion of the  
17 primary versus secondary endpoint and specifically  
18 to clarify the terminology for indication.

19 So while this would not be the primary  
20 indication for this drug that brought it onto the  
21 market, in other words, the first indication for  
22 approval, it would indeed be an indication. So a

1 bit of semantics, just to clarify.

2 DR. BRANTLY: Dr. Newman? No.

3 Dr. Platts-Mills?

4 DR. PLATTS-MILLS: Can I address the  
5 issue of the primary and secondary claims? The  
6 company, as far as I can see, has this really  
7 exciting idea that this continuous treatment for  
8 four years might actually decrease the decline,  
9 which would be, obviously, an extraordinarily  
10 important thing, if they manage to show it, and  
11 actually proving that there is no effect is almost  
12 equally important.

13 It's a major biological contribution to  
14 understanding COPD. That's really important to me.  
15 Therefore, their decision to make that the primary  
16 thing was made ages ago. I don't know when it was  
17 made. What, in 1995 or something? Somewhere back  
18 in the dark ages.

19 To say somehow that we're not allowed to  
20 look and not allowed to take seriously results that  
21 come out of it is very anti/against what really  
22 happens in clinical trials. That is, in clinical

1 trials, the idea that in 1995, you're going to know  
2 what the real outcome in 2009 is is ridiculous and  
3 I think it would be a major disservice if this  
4 committee implied that analyzing the data and  
5 presenting the data, we were not allowed to look at  
6 it because someone 10 years earlier had said, "Oh,  
7 I think something else is more important."

8 DR. BRANTLY: Dr. Terry?

9 DR. TERRY: In the studied drug group in  
10 the UPLIFT study, the time to first exacerbation  
11 was significantly longer. What I wanted to know  
12 is, did that effect persist? There were a number  
13 of individuals in both the experimental and the  
14 control group who had a second and third and a  
15 fourth exacerbation.

16 Was the time interval between the first  
17 and the second exacerbation and the second and the  
18 third greater in the Spiriva group than in the  
19 control group?

20 DR. BRANTLY: Would you like to comment?

21 DR. KESTEN: So there are a couple issues  
22 here which relate to that, because that gets to a

1 very complex issue when, after you've had a first  
2 event, there are interventions that can influence  
3 these subsequent risk. However, that being said,  
4 we have looked at that. I'll ask our statistician,  
5 Dr. Menjoge, to address that first and then another  
6 point.

7 DR. MENJOGE: We looked at the number of  
8 exacerbations in many ways. We looked at the time  
9 to first exacerbation, as well as time to second  
10 and third and subsequent exacerbations. You can  
11 imagine, in four years, there were plenty of  
12 exacerbations some of the patients had.

13 So in all of those, the results were very  
14 consistent. Our hazard ratios remained somewhere  
15 around 0.85, sometimes a little less, sometimes a  
16 little bit more. But overall, they're just really  
17 insignificant.

18 Have I answered your question?

19 DR. TERRY: So that means the time  
20 interval between the first and the second and the  
21 second and the third in the Spiriva group was a  
22 significantly longer interval than between --

1 DR. MENJOGE: Correct. That's exactly  
2 correct.

3 DR. TERRY: Thank you.

4 DR. KESTEN: Just one more point to Dr.  
5 Platts-Mills, and I thank you for the comment  
6 there. That's certainly our views.

7 I wonder if I could ask Dr. Suissa to  
8 also comment from his point about exacerbations and  
9 the primary endpoint and the issue looking at the  
10 other endpoints.

11 DR. SUISSA: Samy Suissa. The one thing  
12 that I think we have to notice here -- well, two  
13 things. I think that the protocol -- I agree with  
14 you. The protocol was written a long time ago and  
15 it probably is absurd to many people to see that  
16 you basically don't look at any data if you don't  
17 pass the first P value, and that is something that  
18 I have to say is absurd.

19 I think it's important also to note that  
20 the authors of the study used the state-of-the-art  
21 methodology to analyze this decline in lung  
22 function. What we see here is a state-of-the-art

1 method, mixed models, random effect, to analyze  
2 these data.

3           In fact, the FDA statisticians actually  
4 confirmed that analysis, until two years ago, when  
5 I published a paper that reminded the scientific  
6 world about a bias that was discovered 100 years  
7 ago by Sir Francis Galton, who talked about  
8 regression to the mean phenomenon.

9           In fact, what we have here in terms of  
10 these slopes of FEV1 decline, we have a major  
11 effect of regression to the mean. It's maybe not  
12 noticeable to you, but about 20 percent of the  
13 patients are not contributing any data to this  
14 decline in lung function. So out of 3,000 patients  
15 in each arm, it's about 2,300 or 2,400 that are  
16 actually contributing data to this decline. The  
17 ones who were excluded are probably the most severe  
18 ones, and these severe ones, because of regression  
19 to the mean, would have a different slope of FEV1.

20           So if you ask my opinion, my opinion is  
21 that I do not believe these two slopes. I do not  
22 believe that the two slopes are equal and I cannot

1 say whether the two slopes are actually different,  
2 whether the decline is different. And in view of  
3 this, I would not put any value to any of the data  
4 on FEV1 decline because of our friend, Sir Francis  
5 Galton, 100 years ago, talking about regression to  
6 the mean. However, if we talk about exacerbation  
7 data, then time to first exacerbation is much less  
8 affected by such dropouts, because all patients are  
9 included in that analysis.

10 DR. BRANTLY: Have we had sufficient  
11 discussion to vote on this particular question? If  
12 so, let me go back and just go over the electronic  
13 voting system for a moment.

14 Each of you have three voting buttons on  
15 your microphone, yes, no and abstain. Once we  
16 begin the vote, please press the button that  
17 corresponds to your vote. After everyone has  
18 completed their vote, the vote will be locked in  
19 and then the vote will be displayed on the screen.  
20 I will read the vote from the screen into the  
21 record and next we'll go around to each individual  
22 and state their name and describe why they voted



1 the way they did.

2           So let me go ahead and reread the  
3 question one more time. Do the data from Trials  
4 UPLIFT and VA study provide substantial and  
5 convincing evidence to support the claim that  
6 Spiriva HandiHaler reduces COPD exacerbations?  
7 Yes, no or abstain, you can vote now.

8           [Voting.]

9           DR. BRANTLY: Everyone press their vote  
10 one more time, please.

11           DR. HENNESSY: My attend button is  
12 blinking and my yes and no buttons are not  
13 blinking.

14           DR. BRANTLY: Do we want to try the vote  
15 one more time? Everything is fine. Great.

16           Can we have the display of the  
17 information? The voting results are yes-11, no-1,  
18 abstain-zero.

19           I'd like to begin over here with Dr.  
20 Knoell in justifying his particular vote.

21           DR. KNOELL: Thank you. I voted yes. I  
22 thought both trials, in my opinion, shows

1 unequivocal evidence of benefit. I note that the  
2 pulmonary function rate of decline had not  
3 decreased, but had improved and was sustained.  
4 Patients generally felt better, didn't reach  
5 statistical significance, but there was a strong  
6 trend, and exacerbation rate was improved.

7 DR. PLATTS-MILLS: I voted yes for  
8 basically the same reasons. I thought both studies  
9 showed a clear and convincing effect and, in  
10 addition, that that was biologically plausible  
11 given the bronchodilator effect that was obvious  
12 from the drug.

13 DR. SCHOENFELD: I voted yes for similar  
14 reasons.

15 DR. WOLFE: I voted no for, I think,  
16 reasons I implied, which is, as worded, the  
17 question says does it support the claim that it  
18 reduces exacerbations. The severe exacerbations  
19 were not reduced in the VA study, and from a  
20 statistical standpoint, neither type of  
21 exacerbations were reduced in the UPLIFT study.

22 DR. BRANTLY: I voted yes, because I

1 believe the combination of both studies support the  
2 effect of reduction of exacerbations.

3 DR. NEWMAN: I voted yes, with some  
4 hesitation, in part, because I don't care how  
5 stupid we want to say we used to be when we  
6 designed studies. I think that when we change the  
7 rules after we've got the data, it calls into all  
8 kinds of questions for me whether we're doing the  
9 right thing and causing the question, the whole  
10 concept of having primary and secondary endpoints.

11 So that was the one point of concern that  
12 I had. But at the end of the day, I don't think  
13 that a vote either way here is going to deprive  
14 people of a medicine that is going to benefit them.

15 DR. LESAR: Timothy Lesar. I voted yes  
16 for the reasons already stated and, also, with some  
17 of the same reservations.

18 DR. TERRY: Peter Terry. I voted yes for  
19 the reasons already enumerated.

20 MS. HOLKA: Andrea Holka. I voted yes,  
21 and just a comment. I don't understand trial  
22 design. I don't understand -- well, I understand

1 primary and secondary endpoints, but I don't know  
2 all the history and how this all comes about, how  
3 this all works together. It's very interesting for  
4 me.

5 But as a patient representative, what I  
6 can't ignore is the data, regardless of primary or  
7 secondary endpoint. I think if we had seen  
8 secondary endpoints that had fallen off the deep  
9 end, I don't think that we would go back and forth  
10 and question those. They would be quite obvious  
11 and we would look at those. So I did vote yes.

12 DR. HENNESSY: Sean Hennessy. I voted  
13 yes based primarily on the results of the VA study  
14 for which reduction in exacerbations was the  
15 primary endpoint and then the UPLIFT study  
16 providing supporting information.

17 If I was making my vote just based on the  
18 UPLIFT study, I would have voted no. Not that I  
19 don't believe the result. In fact, as a journal  
20 editor, I would accept a paper that said that it  
21 was effective, but I think there's a different  
22 hurdle for putting language into a label and don't

1 think that label language should be based primarily  
2 on secondary exploratory analyses.

3 DR. HENDELES: Leslie Hendeles. I voted  
4 yes. I think the effect was small, but there was  
5 substantial evidence of that effect. I think the  
6 supporting evidence is the decrease in mortality  
7 and the improvement in lung function.

8 DR. HONSINGER: Richard Honsinger. I  
9 voted yes. Eight thousand patients, almost 8,000  
10 patients, two studies of a six-month trial  
11 certainly showed the benefit. The four-year trial  
12 showed that this drug does not have tachyphylaxis  
13 and that it can be used long-term with benefit.

14 The study of the St. George  
15 questionnaire, I'm not surprised that it didn't  
16 turn out to meet the statistics that we would have  
17 liked. These are sick people. We try to make them  
18 better. We don't make them well.

19 DR. BRANTLY: Thank you very much.

20 I'd like to move on to discussion  
21 regarding -- let me just go back.

22 Is there any additional data that might

1 be useful for studies in the future or specifically  
2 around this particular issue? Any comment? Dr.  
3 Knoell?

4 DR. KNOELL: Just very briefly. We  
5 touched on it. We also identified it's very  
6 difficult to do, but continued pursuit of trying to  
7 look for inflammatory indices, whether there is a  
8 direct or indirect effect.

9 DR. BRANTLY: I would like to encourage  
10 the sponsor to look at in more detail about the  
11 exact mechanisms in which this occurs. I think  
12 it's important both for this particular drug, as  
13 well as the field in discovery. I think that while  
14 it's a compelling argument to talk about airway  
15 patency, it is not proven and it should be proven  
16 to the best of our ability.

17 Dr. Platts-Mills?

18 DR. PLATTS-MILLS: Apologies. I would  
19 like to encourage the company to continue looking  
20 at ways of -- almost along the same lines of what  
21 is the mechanism of exacerbations, how does this  
22 drug prevent them and, above all, how does

1 pulmonary rehab combined with bronchodilation in  
2 long-term improvement, using six-minute walking or  
3 some other measurement of improvement.

4 DR. BRANTLY: Dr. Schoenfeld?

5 DR. SCHOENFELD: I don't think we need  
6 any additional data. I want to commend the sponsor  
7 for doing a long-term study. I think long-term  
8 studies are extremely difficult to do and  
9 incredibly important for finding out the full  
10 spectrum of what a drug does. Every long-term  
11 disease should have a long-term study and I think  
12 it's great that this was done.

13 DR. BRANTLY: I'd like to now move on to  
14 question 4. Do the data from the Trial 205.235,  
15 the UPLIFT, adequately address the potential safety  
16 signal of stroke events and if not, what additional  
17 data is needed?

18 Discussion? Dr. Schoenfeld?

19 DR. SCHOENFELD: This is a difficult  
20 question. I'm going to answer yes on this, but the  
21 issue is what really are the standards for  
22 demonstrating safety. It's very difficult to

1 demonstrate safety, especially in regard to a rare  
2 event, to a really tight confidence interval and it  
3 sort of can't be done.

4 I think this is enough data to sort of  
5 obviate the previous concerns that were based on a  
6 lot less data. So we now know that the stroke risk  
7 isn't twofold and I think we know that from this  
8 study.

9 Whether there's a slight increased risk  
10 in stroke we can't tell and I think it throws it  
11 back to -- we're sort of thrown back to the  
12 surveillance that's going to have to go on in the  
13 future with many, many  
14 more patients. If it appears that there is an  
15 increased risk of anything, then, of course, it  
16 should be studied.

17 I think, also, a 30 percent increased  
18 risk of stroke, which is the top of the confidence  
19 interval, would be extremely difficult to rule out  
20 and, also, may not be that relevant in a disease  
21 with a mortality of 5 to, what was it, 10 to 15  
22 percent a year. It may not be that relevant, a 30



1 percent increase in the risk of stroke.

2 DR. BRANTLY: Dr. Platts-Mills?

3 DR. PLATTS-MILLS: Can I ask the sponsor  
4 two questions? How closely was blood pressure  
5 monitored during this four-year period? Clearly,  
6 blood pressure is the major risk factor for stroke  
7 in this age group. So that's one question.

8                   How many of the patients were taking low  
9   dose aspirin?  Most men in the United States are  
10  taking low dose aspirin.  Is that true  
11  internationally at this point and does that  
12  influence the risk of stroke or was any other drug  
13  they were taking influencing the risk of stroke?

14 DR. KESTEN: So two questions. One, Dr.  
15 Platts-Mills, is the blood pressure, hypertension,  
16 and the other is the aspirin. At clinic visits  
17 where we measured spirometry, vital signs were  
18 measured, heart rate and blood pressure. Then we  
19 looked at the proportion of patients who had what  
20 we considered a marked change or significant  
21 change.

22 Overall, whichever way we'd look at it,

1 we couldn't see treatment differences and we  
2 haven't seen treatment differences in blood  
3 pressure across trials. But those are single  
4 measurements at clinic visits. The other way,  
5 if I may, to look at it is through adverse event  
6 reporting.

7 DR. PLATTS-MILLS: But more seriously,  
8 what policy was laid down if blood pressure was  
9 found to be elevated in relation to treatment of  
10 blood pressure?

11 DR. KESTEN: There was no policy. We  
12 were expecting that treating physicians would treat  
13 their patients as they normally would. We  
14 certainly had no restrictions on that and, as I  
15 said, we tried to have this as a real world study.

16 We looked, also, at adverse event  
17 reporting of hypertension -- now, that will only  
18 give us the extremes when physicians are putting  
19 that down -- and didn't see any trends one way or  
20 the other. And I can't answer your question about  
21 aspirin specifically, how often it was and if there  
22 were any differences.

1 DR. PLATTS-MILLS: My question is  
2 designed to illustrate how extraordinarily  
3 difficult it would be to study 5,000 sick grownups  
4 over a four-year period.

5 DR. BRANTLY: Dr. Newman?

6 DR. NEWMAN: Somewhat along those same  
7 lines, a question that I have for the company on  
8 this. What do we know about the screen failures?  
9 If I remember right, in the UPLIFT study, we went  
10 into the study knowing that stroke was something of  
11 concern.

12 First of all, is that true or not? Was  
13 that something that the centers would have been  
14 aware of?  
15 Why don't you answer that one first?

16 DR. KESTEN: No. Actually, when we  
17 started this, which was not quite 10 years ago, but  
18 it was a while ago, it was not an issue.

19 DR. NEWMAN: So not at any time during  
20 the course of this study.

21 DR. KESTEN: Let me clarify that. I'm  
22 sorry to interrupt. The stroke signal that we

1 reported did occur during the study. So what we  
2 did is we asked the DSMB to specifically look at  
3 that in their sessions and their recommendation  
4 was, obviously, continue the trial.

5 DR. BRANTLY: Are there any further  
6 questions regarding this particular issue before  
7 us?

8 Dr. Hendeles?

9 DR. HENDELES: I just want to make a  
10 comment. I don't understand how a drug could cause  
11 a stroke if it doesn't get to the brain. It's a  
12 quaternary ammonium compound. It has very low  
13 bioavailability and it would not cross the blood-  
14 brain barrier. So it's very hard for me to  
15 understand what the mechanism would be if it did do  
16 that.

17 DR. PLATTS-MILLS: It doesn't have to  
18 cross the blood-brain barrier.

19 DR. HENDELES: Then it wouldn't be a  
20 drug-induced stroke. In other words, what I'm  
21 talking about is a drug-induced stroke.

22 DR. BRANTLY: If we're going to speak,

1 speak on the microphone, please.

2 DR. SCHOENFELD: This isn't my field at  
3 all, but isn't it possible that the drug could  
4 cause clotting in the vasculature and then it would  
5 cause stroke later on? I suppose we could also ask  
6 if there were changes in pulmonary embolism, but  
7 that's pretty rare, as well, I guess.

8 DR. HENDELES: But none of those are an  
9 effect of an anticholinergic.

10 DR. BRANTLY: Dr. Newman?

11 DR. NEWMAN: I want to throw out a  
12 question to my colleagues here. This has to do  
13 with the point about generalizability of findings.  
14 If you design a study that excludes people who have  
15 recent prior MI, severe arrhythmias and heart  
16 failure, and we know that there is a covariance  
17 with stroke risk for people in those categories,  
18 can we really generalize more broadly about whether  
19 the studies that we have to rely on have ruled out  
20 stroke?

21 Basically, can we go out and say, more  
22 broadly, stroke is not a problem?

1 DR. BRANTLY: Dr. Schoenfeld?

2 DR. SCHOENFELD: I think one of the  
3 interesting questions is how safe does a drug have  
4 to be to be safe. So, therefore, in that case, we  
5 want to look at the absolute risk, not the relative  
6 risk.

7 So we've ruled out a relative risk of a  
8 30 percent increase, because that's the top of the  
9 confidence interval. One could even say, well,  
10 should we really use the top of the confidence  
11 interval. If you're a Bayesian, you'll say we're  
12 ruling out a risk of 2.5 percent risk and maybe 70  
13 percent sure would be good enough for me, which is  
14 one standard deviation, which then would be around  
15 a 20 percent increase in risk.

16 But the thing is, is the percent increase  
17 in risk that relevant? That is, does it matter to  
18 a patient whether they have a 1 percent chance of a  
19 stroke or a 1.2 percent chance of a stroke? It  
20 would seem to me that if I was balancing that  
21 against feeling better, I would do with the feeling  
22 better situation. So there is a question of how

1 safe and that question is very, very specific to  
2 the population.

3           So if we were talking about giving a drug  
4 to children, which -- well, even then, a 1.2  
5 percent increase in their risk of stroke is very  
6 small, also. You have to look at this from the  
7 point of view of the population and from the point  
8 of view of the absolute risk. So it becomes a big  
9 judgment as to whether this is serious and how  
10 important this is. A twofold increase or a  
11 threefold increase is always, obviously, important.

12           DR. BRANTLY: Dr. Newman?

13           DR. NEWMAN: So if you could just follow  
14 that logic a little further for me, if you would.  
15 Think about a 65-year-old man or woman and the  
16 study that you're relying on to answer the question  
17 has potentially excluded people who are at risk for  
18 stroke, because you've excluded people who have  
19 cardiovascular disease, if this is the population  
20 that you want to treat to begin with.

21           DR. SCHOENFELD: I didn't believe that it  
22 did exclude those people, did it, the UPLIFT study?

1                   DR. KESTEN: Thank you. For the UPLIFT  
2 study -- you are correct in what you say, but we  
3 had a number of people, a significant proportion  
4 with cardiovascular disease. What we sought to do  
5 is just at the time of randomization, not recruit  
6 unstable patients who would not be reasonably  
7 expected to complete the trial. This is four years  
8 and these patients do develop all sorts of co-  
9 morbidities that are diagnosed during the trial.

10                   Just in terms of the frame of the  
11 question here, just as a clarification, we didn't  
12 expect and we don't know of a mechanism through  
13 direct muscarinic pharmacology and this was  
14 generated from information provided from Boehringer  
15 Ingelheim's safety database that led to the early  
16 communication.

17                   The exact same analysis, the same safety  
18 database, except for a lot more patients, 162  
19 versus low 40s, in fact, with perhaps more liberal  
20 inclusion criteria added to that, and that's the  
21 frame of the signal of stroke that was forwarded  
22 and that was a fourfold increase in the database.



1 DR. BRANTLY: Other comments?

2 DR. PLATTS-MILLS: Just to repeat. The  
3 idea of excluding cardiovascular risk in 65-year-  
4 old men who are already sick is ridiculous. They  
5 are clearly all at risk and, in this case, at very  
6 high risk of thrombotic and cardiovascular events.

7 DR. BRANTLY: If there's not any further  
8 discussion, I'd like to reread the question one  
9 more time and then we'll vote.

10 Do the data from the UPLIFT trial  
11 adequately address the potential safety signal of  
12 stroke events?

13 Can you vote now?

14 [Voting.]

15 DR. BRANTLY: Can we put the data up?

16 So voting results for question 4 are yes-  
17 11, no-1, abstain zero.

18 I'd like to begin with Dr. Honsinger  
19 explaining his vote.

20 DR. HONSINGER: I voted yes. To me, the  
21 UPLIFT trial looked at long-term in 5,000 patients.  
22 The other studies we looked at were not that

1 extensive. They did not study all the same drug.  
2 There were things other than tiotropium involved.  
3 They weren't as long a term of study. I think that  
4 we don't need to do any further studies for this  
5 suspicion.

6 DR. HENDELES: Leslie Hendeles. I voted  
7 yes. I think the data very clearly, from a  
8 randomized control trial that lasted as long as it  
9 did in as large a population as it was, from my  
10 view, excludes it, especially when I have the bias  
11 that if the drug doesn't get to the brain, other  
12 than causing blood effects, that it probably  
13 wouldn't be a drug-induced effect.

14 DR. HENNESSY: Sean Hennessy. I voted  
15 yes, because all of the relative risks are close to  
16 and below 1 and all of the confidence intervals  
17 exclude numbers that are even reasonably high  
18 risks.

19 MS. HOLKA: Andrea Holka. I voted yes.  
20 I don't believe that there was a clear stroke  
21 signal.

22 DR. TERRY: Peter Terry. I voted yes

1 based on the strength of the study design and the  
2 numbers and the length of time of follow-up.

3 DR. LESAR: Timothy Lesar. I voted yes,  
4 again, on the results of the UPLIFT trial.

5 DR. NEWMAN: Lee Newman. I'm the "no"  
6 guy and it's because I think that -- and I almost  
7 abstained, but I just think this is still a gray  
8 area. I don't actually know what study you could do  
9 to better address it, but I think it's still gray.

10 I am concerned about taking this message  
11 out to people more broadly to say it definitely  
12 does not cause stroke, because we haven't really  
13 done a study that matches the population of people  
14 who are going to be taking the drug.

15 To that point, I think having the  
16 opportunity to look at the post-marketing data and  
17 potentially being more informative, although it's  
18 going to be numerator data, I think, in some ways,  
19 that's where we ultimately will find out when  
20 people who do have higher cardiovascular risk and  
21 stroke risk are given this drug, unfortunately.

22 DR. BRANTLY: Mark Brantly. I voted yes,

1   because I believe the UPLIFT data does not support  
2   any evidence of a safety signal in the stroke.

3               DR. WOLFE:   Sid Wolfe.   I voted yes,  
4   because the question -- I am a strict stickler for  
5   questions -- is does it adequately address the  
6   safety signal.   It does address it and, as has been  
7   pointed out, the upper bound is not that high.

8               With common events, such as strokes or  
9   heart attacks, it is I don't think that likely that  
10   we're going to learn anything more from post-  
11   marketing spontaneous adverse reports for things  
12   like liver damage and so forth.   They are the gold  
13   standard.   So I think that the data that there are  
14   now are comforting enough, from my perspective,  
15   that I voted yes.

16              DR. SCHOENFELD:   I voted yes for the same  
17   reasons.

18              DR. PLATTS-MILLS:   Tom Platts-Mills.   I  
19   voted yes.   I think it's important to say  
20   "adequately address."   They adequately address the  
21   potential safety signal of stroke events.  
22   Excluding a stroke signal would be incredibly

1   difficult and I don't think it's a reasonable thing  
2   to ask for. I think the data in UPLIFT adequately  
3   addresses the potential safety signal and gives no  
4   suggestion that there is a such a signal.

5               DR. KNOELL: I voted yes for reasons  
6   already stated. Then to just simply comment on  
7   what we already talked about earlier, if this was  
8   Respimat, I'd obviously be concerned. I think as  
9   you expressed to us today, considering that that  
10  drug remains on the market, even at the 5 microgram  
11  indication, that you're going to continue to look  
12  into that drug and its potential toxicity profile.

13             DR. BRANTLY: I'd just like to go back  
14  for one moment and ask Dr. Newman to comment on  
15  what additional data he would require in this  
16  particular case.

17             DR. NEWMAN: I already answered that. I  
18  don't actually know that you could do the study  
19  that you could acquire the additional data. That's  
20  why, as I said, this is gray. I think it's going  
21  to remain gray.

22             DR. BRANTLY: Very good.

1                   Let's go on to question number 5. Do the  
2 data from the UPLIFT trial adequately address the  
3 potential safety signal of adverse cardiovascular  
4 outcomes?

5                   Let me begin with the discussion.

6                   No comments? Very good.

7                   Excuse me. Dr. Honsinger?

8                   DR. HONSINGER: Certainly, if these  
9 people live longer and are more active, they may  
10 have more cardiovascular outcomes. If they should  
11 happen to be hospitalized more, they'll probably  
12 have more -- excuse me -- they'll have less. If  
13 they're hospitalized more, they'll probably have  
14 more cardiovascular outcomes. There are a lot of  
15 other variables that affect this other than taking  
16 the drug.

17                  DR. PLATTS-MILLS: Dick, are you implying  
18 that if they have better lung function and they do  
19 more exercise, they'll have more accidents and,  
20 therefore, end up hurting themselves? That's quite  
21 likely.

22                  DR. HONSINGER: Well, they all will have

1 cardiac events if they should live long enough.

2 DR. PLATTS-MILLS: I think the hope is,  
3 and I think something that the company could try  
4 and focus on is showing that improved lung function  
5 allows more activity and decreased cardiovascular  
6 events, and I think that would be a really  
7 interesting outcome.

8 DR. BRANTLY: Dr. Hendeles?

9 DR. HENDELES: Just to respond to Dr.  
10 Platts-Mills, I think their TV ads already do that.

11 DR. PLATTS-MILLS: It's much more  
12 interesting than that, because the latest computer  
13 game, Wii, has now got an aerobic element to it.  
14 Have you seen this thing? There's a paper that's  
15 just been published in the cardiovascular  
16 literature showing that playing -- it's a Nintendo  
17 game called Wii and that it actually has aerobic  
18 effects.

19 I think Boehringer Ingelheim should be  
20 encouraged to combine with Nintendo.

21 [Laughter.]

22 DR. BRANTLY: I'm sorry. That has to

1     come to a different committee because of the device  
2     issue there.

3             [Laughter.]

4             DR. BRANTLY:   With this, let's go ahead  
5     and vote.

6             [Voting.]

7             DR. BRANTLY:   Can we show the data?

8             The results for question 5 are yes-11,  
9     no-zero and 1 abstaining.

10            I'd like to begin with Dr. Knoell.

11            DR. KNOELL:   I voted yes and it's for the  
12     same reasons we just discussed with the last issue.  
13     I saw unequivocally no indices to put me at concern  
14     for increased cardiovascular risk with continued  
15     use of this medication.

16            DR. PLATTS-MILLS:  Dr. Platts-Mills.  I  
17     voted yes, because I think the data in the UPLIFT  
18     are convincing and the overall mortality data is  
19     convincing.  I think the data adequately addresses  
20     the potential safety signal.

21            DR. SCHOENFELD:  I found the UPLIFT data  
22     convincing.



1 DR. WOLFE: I voted yes. But again, if  
2 the question had to do with the other dosage form,  
3 which looks like it gets absorbed more at higher  
4 blood levels, it would be different. But we're  
5 confining it to UPLIFT, so that's why I voted yes.

6 DR. BRANTLY: Mark Brantly. I voted yes.  
7 I believe the data is compelling that there is no  
8 increased cardiovascular risk.

9 DR. NEWMAN: Lee Newman. This time, I  
10 abstained, because resistance is futile. But  
11 rather than voting yes, I still want to make the  
12 point that I worry about the generalizability. If  
13 it was a specific question, it might have been yes,  
14 but I worry about the generalizability from this  
15 one study to what we tell the populous.

16 DR. LESAR: Timothy Lesar. I voted yes.  
17 Again, I thought it was adequately shown by the  
18 UPLIFT data.

19 DR. TERRY: Peter Terry. I voted yes  
20 based on the strength of the UPLIFT data, but also  
21 on the weakness of the studies that indicated that  
22 the safety signal should be raised.

1                   MS. HOLKA: Andrea Holka. I voted yes  
2 based on UPLIFT not suggesting an increase in rates  
3 of cardiovascular events.

4                   DR. HENNESSY: Sean Hennessy. I voted  
5 yes for reasons already discussed.

6                   DR. HENDELES: Leslie Hendeles. I voted  
7 yes for those reasons and for the fact that there  
8 was even a suggestion that it may have helped  
9 cardiovascular outcomes.

10                  DR. HONSINGER: Richard Honsinger. I  
11 voted yes, the strength of the UPLIFT data and the  
12 weakness of the alternative data.

13                  DR. BRANTLY: Well, I think that our  
14 meeting has come to a conclusion. I'd like to  
15 thank the committee for taking the time to consider  
16 this and would like to also thank both the FDA, as  
17 well as the sponsor for providing us with some  
18 opportunity to discuss this issue.

19                  DR. ROSEBRAUGH: I would also like to  
20 take the opportunity to thank the committee. We  
21 really appreciate the thoughtfulness with your  
22 discussion. I think we learned a lot from this.

1                   I've always told Dr. Chowdhury I consider  
2   it a successful meeting if I don't have to say  
3   anything, and then he had to go and leave. So his  
4   performance evaluation will reflect that.

5                   [Laughter.]

6                   DR. ROSEBRAUGH: But otherwise, I'd like  
7   to thank you guys again. We really appreciate it.

8                   [Whereupon, at 3:08 p.m., the meeting was  
9   concluded.]

10